

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
15 November 2001 (15.11.2001)

PCT

(10) International Publication Number
WO 01/85970 A2

(51) International Patent Classification⁷: C12N 15/82,
9/88, A01H 1/00

(21) International Application Number: PCT/US01/15072

(22) International Filing Date: 9 May 2001 (09.05.2001)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
60/203,434 10 May 2000 (10.05.2000) US

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(81) Designated States (national): AL, AG, AI, AM, AT, AU,
AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU,
CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH,
GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC,
LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW,
MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK,
SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA,
ZW.

(84) Designated States (regional): ARIPO patent (GH, GM,
KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian
patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European
patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE,
IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF,
CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Declarations under Rule 4.17:

- as to applicant's entitlement to apply for and be granted a
patent (Rule 4.17(ii)) for all designations
- as to the applicant's entitlement to claim the priority of the
earlier application (Rule 4.17(iii)) for all designations
of inventorship (Rule 4.17(iv)) for US only

Published:

- without international search report and to be republished
upon receipt of that report

For two-letter codes and other abbreviations, refer to the "Guid-
ance Notes on Codes and Abbreviations" appearing at the begin-
ning of each regular issue of the PCT Gazette.

(54) Title: RESISTANCE TO ACETOHYDROXYACID SYNTHASE-INHIBITING HERBICIDES

(57) Abstract: Nucleotide sequences are disclosed that may be used to impart herbicide resistance to green plants. The sources of novel resistance were originally isolated in mutant rice plants. The sequences impart pre-emergence resistance, post-emergence resistance, or both pre-emergence resistance and post-emergence resistance to multiple herbicides. To date, resistance has been demonstrated against at least the following herbicides: imazethapyr, imazapic, imazapyr, imazamox, sulfometuron methyl, imazaquin, chlorimuron ethyl, metsulfuron methyl, rimsulfuron, thifensulfuron methyl, pyriithiobac sodium, tribenuron methyl, and nicosulfuron. Green plants transformed with these sequences are resistant to these herbicides and to derivatives of these herbicides, and to at least some of the other herbicides that normally inhibit acetohydroxyacid synthase (AHAS), particularly imidazolinone and sulfonyleurea herbicides.

WO 01/85970 A2

RESISTANCE TO ACETOHYDROXYACID SYNTHASE-INHIBITING HERBICIDES

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The benefit of the 10 May 2000 filing date of United States provisional patent application serial number 60/203,434 is claimed under 35 U.S.C. § 119(e) in the United States, and is claimed under applicable treaties and conventions in all countries.

TECHNICAL FIELD

This invention pertains to herbicide resistant plants, and to nucleotide sequences conferring herbicide resistance to plants, particularly resistance to herbicides that normally interfere with the plant enzyme acetohydroxyacid synthase (AHAS), such herbicides including for example those of the imidazolinone class and those of the sulfonylurea class.

BACKGROUND ART

The development of novel herbicide resistance in plants offers significant production and economic advantages. As one example, rice production is frequently restricted by the prevalence of a weedy relative of rice that flourishes in commercial rice fields. The weed is commonly called "red rice," and belongs to the same species as cultivated rice (*Oryza sativa* L.). The genetic similarity of red rice and commercial rice has made herbicidal control of red rice difficult. The herbicides Ordram™ (molinate: S-ethyl hexahydro-1-H-azepine-1-carbothioate) and Bolero™ (thiobencarb: S-[(4-chlorophenyl)methyl] diethylcarbamothioate) offer partial suppression of red rice, but no herbicide that actually controls red rice is currently used in commercial rice fields because of the simultaneous sensitivity of existing commercial cultivars of rice to such herbicides. The release of mutant commercial rice lines having resistance to herbicides that are effective on red rice will greatly increase growers' ability to control red rice infestations. The development of herbicide resistance in other crops and other plants will have similar benefits.

Rice producers in the southern United States typically rotate rice crops with soybeans to help control red rice infestations. While this rotation is not usually desirable economically, it is frequently necessary because no herbicide is currently available to control red rice infestations

selectively in commercial rice crops. During the soybean rotation, the producer has a broad range of available herbicides that may be used on red rice, so that rice may again be grown the following year. United States rice producers can lose \$200 - \$300 per acre per year growing soybeans instead of rice, a potential loss affecting about 2.5 million acres annually. Additional losses in the United States estimated at \$50 million per year result from the lower price paid by mills for grain shipments contaminated with red rice. Total economic losses due to red rice in the southern United States alone are estimated to be \$500 to \$750 million a year. Economic losses due to red rice may be even greater in other rice-producing countries.

Rice producers typically use the herbicides propanil (trade name StamTM) or molinate (trade name OrdramTM) to control weeds in rice production. Propanil has no residual activity. Molinate is toxic to fish. Neither of these herbicides controls red rice. Imazethapyr ((±)-2-[4,5-dihydro-4-methyl-4-(1-methylethyl)-5-oxo-1H-imidazol-2-yl]-5-ethyl-3-pyridinecarboxylic acid) offers an environmentally acceptable alternative to molinate, has the residual weed control activity that propanil lacks, and is a very effective herbicide on red rice. Imazethapyr also offers excellent control of other weeds important in rice production, including barnyardgrass. Barnyardgrass is a major weed in rice production, and is currently controlled with propanil or molinate. However, barnyardgrass has developed resistance to propanil in some regions.

The total potential market for rice varieties that are resistant to a herbicide that can control red rice is about 5.3 million acres in the United States, and the potential market outside the United States is much larger. World rice production occupies about 400 million acres. Red rice and other weeds are major pests in rice production in the United States, Brazil, Australia, Spain, Italy, North Korea, South Korea, Philippines, Vietnam, China, Taiwan, Brazil, Argentina, Colombia, India, Pakistan, Bangladesh, Japan, Ecuador, Mexico, Cuba, Malaysia, Thailand, Indonesia, Sri Lanka, Venezuela, Myanmar, Nigeria, Uruguay, Peru, Panama, Dominican Republic, Guatemala, Nicaragua, and other rice-producing areas.

A number of herbicides target acetohydroxyacid synthase (AHAS), an enzyme also known as acetolactate synthase (ALS), and also designated as E.C. 4.1.3.18. This enzyme catalyzes the first step in the synthesis of the amino acids leucine, valine, and isoleucine. Inhibition of the AHAS enzyme is normally fatal to plants. Herbicides that inhibit the enzyme acetohydroxyacid synthase would offer a number of advantages over currently available herbicides if they could be used in commercial rice production, and the production of other crops, in circumstances where they could not otherwise be used. Potential advantages include long residual activity against weeds, effective control of the more important weeds in rice production, including red rice, and relative environmental acceptability. Even in regions where red rice is not currently a problem, the availability of herbicide-resistant rice can have a major influence on rice

production practices by providing the farmer with a new arsenal of herbicides suitable for use in rice fields.

Total potential demand for resistance to AHAS-acting herbicides in plants other than rice is difficult to estimate, but could be very large indeed.

U.S. Patent 4,761,373 describes the development of mutant herbicide-resistant maize plants through exposing tissue cultures to herbicide. The mutant maize plants were said to have an altered enzyme, namely acetohydroxyacid synthase, that conferred resistance to certain imidazolinone and sulfonamide herbicides. See also U.S. Patents 5,304,732, 5,331,107, 5,718,079, 6,211,438, 6,211,439, and 6,222,100; and European Patent Application 0 154 204 A2. Lee *et al.*, "The Molecular Basis of Sulfonylurea Herbicide Resistance in Tobacco," *The EMBO J.*, vol. 7, no. 5, pp. 1241-1248 (1988), describe the isolation and characterization from *Nicotiana tabacum* of mutant genes specifying herbicide resistant forms of acetolactate synthase, and the reintroduction of those genes into sensitive lines of tobacco.

Saxena *et al.*, "Herbicide Resistance in *Datura innoxia*," *Plant Physiol.*, vol. 86, pp. 863-867 (1988) describe several *Datura innoxia* lines resistant to sulfonylurea herbicides, some of which were also found to be cross-resistant to imidazolinone herbicides.

Mazur *et al.*, "Isolation and Characterization of Plant Genes Coding for Acetolactate Synthase, the Target Enzyme for Two Classes of Herbicides," *Plant Physiol.* vol. 85, pp. 1110-1117 (1987), discuss investigations into the degree of homology among acetolactate synthases from different species.

U.S. Patent No. 5,767,366 discloses transformed plants with genetically engineered imidazolinone resistance, conferred through a gene cloned from a plant such as a mutated *Arabidopsis thaliana*. See also a related paper, Sathasivan *et al.*, "Nucleotide Sequence of a Mutant Acetolactate Synthase Gene from an Imidazolinone-resistant *Arabidopsis thaliana* var. Columbia," *Nucleic Acids Research* vol. 18, no. 8, p. 2188 (1990).

Examples of resistance to AHAS-inhibiting herbicides in plants other than rice are disclosed in U.S. Patent 5,013,659; K. Newhouse *et al.*, "Mutations in corn (*Zea mays* L.) Conferring Resistance to Imidazolinone Herbicides," *Theor. Appl. Genet.*, vol. 83, pp. 65-70 (1991); K. Sathasivan *et al.*, "Molecular Basis of Imidazolinone Herbicide Resistance in *Arabidopsis thaliana* var Columbia," *Plant Physiol.* vol. 97, pp. 1044-1050 (1991); B. Miki *et al.*, "Transformation of *Brassica napus* canola cultivars with *Arabidopsis thaliana* Acetohydroxyacid Synthase Genes and Analysis of Herbicide Resistance," *Theor. Appl. Genet.*, vol. 80, pp. 449-458 (1990); P. Wiersma *et al.*, "Isolation, Expression and Phylogenetic Inheritance of an Acetolactate Synthase Gene from *Brassica napus*," *Mol. Gen. Genet.*, vol. 219, pp. 413-420 (1989); J. Odell *et*

al., "Comparison of Increased Expression of Wild-Type and Herbicide-Resistant Acetolactate Synthase Genes in Transgenic Plants, and Indication of Postranscriptional Limitation on Enzyme Activity," *Plant Physiol.*, vol. 94, pp. 1647-1654 (1990); published international patent application WO 92/08794; U.S. Patent No. 5,859,348; published international patent application WO 98/02527; published European Patent Application EP 0 965 265 A2, and published international patent application WO 90/14000.

U.S. Patents 5,853,973 and 5,928,937 disclose the structure-based modeling of AHAS, the presumptive binding pockets on the enzyme for AHAS-acting herbicides, and certain designed AHAS mutations to confer herbicide resistance. These patents also disclose amino acid sequences for the AHAS enzymes and isozymes from several plants, including that from *Zea mays*. See also published international patent application WO 96/33270.

S. Sebastian *et al.*, "Soybean Mutants with Increased Tolerance for Sulfonylurea Herbicides," *Crop. Sci.*, vol. 27, pp. 948-952 (1987) discloses soybean mutants resistant to sulfonylurea herbicides. See also U.S. Patent 5,084,082.

K. Shimamoto *et al.*, "Fertile Transgenic Rice Plants Regenerated from Transformed Protoplasts," *Nature*, vol. 338, pp. 274-276 (1989) discloses a genetic transformation protocol in which electroporation of protoplasts was used to transform a gene encoding β -glucuronidase into rice.

T. Terakawa *et al.*, "Rice Mutant Resistant to the Herbicide Bensulfuron Methyl (BSM) by *in vitro* Selection," *Japan. J. Breed.*, vol. 42, pp. 267-275 (1992) discloses a rice mutant resistant to a sulfonylurea herbicide, derived by selective pressure on callus tissue culture. Resistance was attributed to a mutant AHAS enzyme.

Following are publications by the inventor (or the inventor and other authors) concerning research on herbicide-resistant rice varieties. These publications are T. Croughan *et al.*, "Rice and Wheat Improvement through Biotechnology," *84th Annual Research Report, Rice Research Station, 1992*, pp. 100-103 (1993); T. Croughan *et al.*, "Rice and Wheat Improvement through Biotechnology," *85th Annual Research Report, Rice Research Station, 1993*, pp. 116-156 (1994); T. Croughan, "Application of Tissue Culture Techniques to the Development of Herbicide Resistant Rice," *Louisiana Agriculture*, vol. 37, no. 3, pp. 25-26 (1994); T. Croughan *et al.*, "Rice Improvement through Biotechnology," *86th Annual Research Report, Rice Research Station, 1994*, pp. 461-482 (1995); T. Croughan *et al.*, "Assessment of Imidazolinone-Resistant Rice," *87th Annual Research Report, Rice Research Station, 1994*, pp. 491-525 (September 1996); T. Croughan *et al.*, "IMI-Rice Evaluations," *88th Annual Research Report, Rice Research Station, 1996*, pp. 603-629 (September 1997); T. Croughan *et al.*, "Rice Biotechnology Research," *89th Annual Research Report, Rice Research Station, 1997*, p. 464 (September 1998); T. Croughan *et*

al., "Imidazolinone-Resistant Rice," *90th Annual Research Report, Rice Research Station, 1998*, p. 511 (December 1999); T. Croughan *et al.*, "Rice and Wheat Improvement through Biotechnology," USDA CRIS Report Accession No. 0150120 (for Fiscal Year 1994 -- actual publication date currently unknown); T. Croughan, "Improvement of Lysine Content and Herbicide Resistance in Rice through Biotechnology," USDA CRIS Report Accession No. 0168634 (for Fiscal Year 1997 -- actual publication date currently unknown); T. Croughan, "Improvement of Lysine Content and Herbicide Resistance in Rice through Biotechnology," USDA CRIS Report Accession No. 0168634 (for Fiscal Year 1999 -- actual publication date currently unknown); T. Croughan, "Improvement of Lysine Content and Herbicide Resistance in Rice through Biotechnology," USDA CRIS Report Accession No. 0168634 (for Fiscal Year 2000 -- actual publication date currently unknown); T. Croughan, "Herbicide Resistant Rice," *Proc. 25th Rice Tech. Work. Groups*, p. 44 (1994); T. Croughan *et al.*, "Applications of Biotechnology to Rice Improvement," *Proc. 25th Rice Tech. Work. Groups*, pp. 62-63 (1994); T. Croughan, "Production of Rice Resistant to AHAS-Inhibiting Herbicides," *Congress on Cell and Tissue Culture, Tissue Culture Association, In Vitro*, vol. 30A, p. 60, Abstract P-1009 (June 4-7, 1994). (Note that the Annual Research Reports of the Rice Research Station are published in the year after the calendar year for which activities are reported. For example, the *84th Annual Research Report, Rice Research Station, 1992*, summarizing research conducted in 1992, was published in 1993.) The reports in the *87th and 88th Annual Research Report, Rice Research Station* (published September 1996 and September 1997, respectively) mention the breeding line 93AS3510 in tables giving data on certain herbicide resistance trials. These reports gave no information on how the breeding line was developed. The breeding line was not publicly available at the times these reports were published. The breeding line 93AS3510 is the same as the ATCC 97523 rice that is described in greater detail in the present inventor's later-published international patent application WO 97/41218 (1997) and U.S. Patents 5,736,629, 5,773,704, and 5,952,553, and U.S. patent application serial number 09/351,889, filed July 13, 1999.

See also E. Webster *et al.*, "Weed Control Systems for Imi-Rice," p. 33 in *Program of the 27th Rice Technical Working Group Meeting* (March 1998); L. Hipple *et al.*, "AHAS Characterization of Imidazolinone Resistant Rice," pp. 45-46 in *Program of the 27th Rice Technical Working Group Meeting* (March 1998); W. Rice *et al.*, "Delayed Flood for Rice Water Weevil Control using Herbicide Resistant Germplasm," p. 61 in *Program of the 27th Rice Technical Working Group Meeting* (March 1998); E. Webster *et al.*, "Weed Control Systems for Imidazolinone-Rice," p. 215 in *Proceedings of the 27th Rice Technical Working Group Meeting* (1999); L. Hipple *et al.*, "AHAS Characterization of Imidazolinone Resistant Rice," pp. 68-69 in *Proceedings of the 27th Rice Technical Working Group Meeting* (1999); W. Rice *et al.*, "Delayed

Flood for Rice Water Weevil Control using Herbicide Resistant Germplasm," p. 134 in *Proceedings of the 27th Rice Technical Working Group Meeting* (1999); and W. Rice *et al.*, "Delayed flood for management of rice water weevil (Coleopterae: Curculionidae)," *Environmental Entomology*, vol.28, no. 6, pp.1130-1135 (December 1999).

5 The present inventor's U.S. Patent 5,545,822 discloses a line of rice plants having a metabolically-based resistance to herbicides that interfere with the plant enzyme acetohydroxyacid synthase; i.e., the herbicide resistance of these rice plants was not due to a resistant AHAS enzyme. (See published international patent application WO 97/41218, pages 6-9.) See also the present inventor's U.S. Patent 5,773,703.

10 The present inventor's published international patent application WO 97/41218 discloses one line of rice plants having a mutant AHAS enzyme that is resistant to herbicides that interfere with the wild-type plant enzyme acetohydroxyacid synthase. This line of rice plants was developed by exposing rice seeds to the mutagen methanesulfonic acid ethyl ester (EMS), and screening millions of progeny for herbicide resistance. See also the present inventor's U.S.
15 Patents 5,736,629, 5,773,704, and 5,952,553, and U.S. patent application serial number 09/351,889, filed July 13, 1999, CPA filing date May 15, 2000.

20 The present inventor's published international patent application WO 00/27182 discloses additional lines of rice plants having mutant AHAS enzymes that are resistant to herbicides that interfere with the wild-type plant enzyme acetohydroxyacid synthase. These lines of rice plants were developed by exposing rice seeds to EMS, and screening millions of progeny for herbicide resistance.

U.S. Patent 4,443,971 discloses a method for preparing herbicide tolerant plants by tissue culture in the presence of herbicide. U.S. Patent 4,774,381 discloses sulfonylurea (sulfonamide) herbicide-resistant tobacco plants prepared in such a manner.

25 U.S. Patent 5,773,702 discloses sugar beets with a resistant mutant AHAS enzyme, derived from cell cultures grown in the presence of herbicide. See also published international patent application WO 98/02526.

30 Published international patent application WO 00/26390 discloses the cloning and sequencing of the *Arabidopsis* AHAS small subunit protein, and an expression vector to transform plants with that small AHAS subunit to impart herbicide tolerance.

U.S. Patent 5,633,437 discloses a herbicide resistant AHAS enzyme and gene isolated from cocklebur.

35 U.S. Patent 5,767,361 discloses a mutant, resistant AHAS enzyme from maize. The definitions of the 5,767,361 patent are incorporated into the present disclosure by reference, to the extent that those definitions are not inconsistent with the present disclosure, as are that

patent's descriptions of certain genetic transformation techniques for plants. See also U.S. Patent 5,731,180 and European Patent Application 0 525 384 A2.

U.S. Patent 5,605,011; European Patent Application 0 257 993 A2; and European Patent Application 0 730 030 A1 disclose resistant acetolactate synthase enzymes derived from callus culture of tobacco cells in the presence of herbicide, from spontaneous mutations of the ALS gene in yeast; EMS-induced mutations in *Arabidopsis* seeds; certain modifications of those enzymes; and the transformation of various plants with genes encoding the resistant enzymes. These patents disclose several techniques for modifying AHAS genes to produce herbicide-resistant AHAS enzymes, and for transforming plants with those genes.

U.S. Patent Re 35,661 (a reissue of U.S. Patent No. 5,198,599) discloses lettuce plants with enhanced resistance to herbicides that target the enzyme acetolactate synthase. The initial source of herbicide resistance was a prickly lettuce weed infestation in a grower's field, an infestation that was not controlled with commercial sulfonylurea herbicides.

T. Shimizu *et al.*, "Oryza sativa ALS mRNA for acetolactate synthase, complete cds, herbicide sensitive wild type," BLAST accession number AB049822 (April 2001), available through www.ncbi.nlm.nih.gov/blast, discloses the nucleotide sequence and inferred amino acid sequence for wild type ALS cDNA from *Oryza sativa* var. Kinmaze. These two sequences are reproduced below as SEQ ID NOS 2 and 3, respectively.

T. Shimizu *et al.*, "Oryza sativa ALS mRNA for acetolactate synthase, complete cds, herbicide resistant biotype," BLAST accession number AB049823 (April 2001), available through www.ncbi.nlm.nih.gov/blast, discloses the nucleotide sequence and inferred amino acid sequence for an ALS cDNA from *Oryza sativa* var. Kinmaze that was reported to be herbicide resistant, although the nature of the herbicide resistance is not specified in the BLAST description. These two sequences are reproduced below as SEQ ID NOS 4 and 5, respectively.

Following are selected data taken from some of the references cited above, concerning the locations of certain imidazolinone or sulfonylurea herbicide tolerance mutations in AHAS/ALS from various species. No attempt has been made to reconcile or align the different nucleotide or amino acid numbering systems used in the different references. In describing the substitutions below (as well as in the remainder of the specification and the claims), the wild-type nucleotide or amino acid is always listed first, followed by the mutant nucleotide or amino acid. All substitutions discussed refer to the AHAS/ALS DNA coding sequence, or to the expressed or inferred AHAS/ALS amino acid sequence.

Lee *et al.* (1988) reported that there were two homologous ALS genes in *Nicotiana tabacum*. The sulfonylurea herbicide-resistant C3 mutant in one ALS gene had a Pro-Gln replacement at amino acid 196; while the sulfonylurea herbicide-resistant S4-Hra mutant in the other ALS gene had two amino acid changes: Pro-Ala at amino acid 196, and Trp-Leu at amino acid 573.

Sathasivan *et al.* (1990), Sathasivan *et al.* (1991), and U.S. Patent 5,767,366 reported a G-A nucleotide substitution at position 1958, corresponding to a Ser-Asn substitution at position 653, in an imidazolinone herbicide-resistant *Arabidopsis thaliana*.

Wiersma *et al.* (1989) reported sulfonylurea herbicide resistance in tobacco plants that had been transformed with a mutant *Brassica napus* ALS gene, in which codon 173 had been altered by site-directed mutagenesis to replace Pro with Ser.

European patent application 0 257 993 A2 reported several spontaneous mutations in the yeast (*Saccharomyces cerevisiae*) ALS gene that resulted in sulfonylurea herbicide resistance: at amino acid position 121, a substitution of wild-type Gly by Ser; at amino acid position 122, a substitution of wild-type Ala by Pro, Asp, Val, or Thr; at position 197, a substitution of wild-type Pro by Ser or Arg; at position 205, a substitution of wild-type Ala by Asp or Thr; at position 256, a substitution of wild-type Lys by Glu, Thr, or Asn; at position 359, a substitution of wild-type Met by Val; at position 384, a substitution of wild-type Asp by Glu, Val, or Asn; at position 588, a substitution of wild-type Val by Ala; at position 591, a substitution of wild-type Trp by Arg, Cys, Gly, Leu, Ser, or Ala; at position 595, a substitution of wild-type Phe by Leu. The same patent application reported several site-directed mutations of the yeast ALS gene at some of the same positions to also produce sulfonylurea herbicide resistance: at amino acid position 122, a substitution of wild-type Ala by Ser, Val, Thr, Pro, Asn, Ile, His, Arg, Leu, Tyr, Cys, Phe, Glu, Met, Lys, Gln, or Trp; at position 205, a substitution of wild-type Ala by Arg, Cys, Glu, or Trp; at position 256, a substitution of wild-type Lys by Asp, Gly, Leu, Pro, or Trp; at position 359, a substitution of wild-type Met by Pro or Glu; at position 384, a substitution of wild-type Asp by Pro, Trp, Ser, Gly, Cys, or Lys; at position 591, a substitution of wild-type Trp by Asp, Glu, Phe, His, Tyr, Ile, Val, Lys, Arg, Met, Asn, Gln, or Thr. See also U.S. Patent 5,605,011, which also describes experimental data for the following site-directed mutations: at amino acid 121, a substitution of wild-type Gly by Asn or Ala; at amino acid 197, a substitution of wild-type Pro by Gln, Glu, Ala, Gly, Trp, Tyr, Cys, or Val; at amino acid 205, a substitution of wild-type Ala by Tyr, Val, or Asn; at amino acid 359, a substitution of wild-type Met by Gln, Lys, Tyr, or Cys; at position 583, a substitution of wild-type Val by Ser, Asn, Trp, or Cys; and at position 595, a substitution of wild-type Phe by Gly, Asn, Arg, Cys, Pro, Ser, or Trp. Other amino substitutions

at the same positions are also described prophetically, without experimental data. See also U.S. Patent 5,013,659.

WO 98/02527 reported sulfonylurea and triazolopyrimidine resistance in one line of sugar beets resulting from a C-T substitution at nucleotide 562, corresponding to a Pro-Ser substitution at amino acid 188. This same reference also reported sulfonylurea, imidazolinone, and triazolopyrimidine resistance in a second line of sugar beets resulting from two mutations: The same mutation as reported in the first line (from which the second line had been derived), coupled with a G-A substitution at nucleotide 337, corresponding to an Ala-Thr substitution at amino acid 113. See also WO 98/02526, U.S. Patents Nos. 5,859,348 and 5,773,702.

WO 96/33270 describes a number of designed or predicted mutations from a structure-based modeling method, that were said to induce imidazolinone tolerance in AHAS. Experimental results confirming such tolerance in mutated *Arabidopsis* AHAS, either *in vitro* or in transformed tobacco plants *in vivo*, were provided for the following substitutions: Met-Ile at amino acid position 124, Met-His at position 124, Arg-Glu at position 199, and Arg-Ala at position 199. See also U.S. Patents Nos. 5,928,937 and 5,853,973.

WO 92/08794 reported imidazolinone resistance in two lines of maize. One had a G-A substitution at nucleotide position 171, resulting in an Ala-Thr substitution at the corresponding amino acid position. The other had a G-A substitution at position 1888, resulting in a Ser-Asn substitution at the corresponding amino acid position.

U.S. Patent 5,731,180 reported imidazolinone resistance in maize resulting from a G-A substitution at nucleotide position 1898, resulting in a Ser-Asn substitution at amino acid position 621. See also U.S. Patent 5,767,361 and European patent application 0 525 384.

U.S. Patent 5,633,437 reported imidazolinone resistance in cocklebur, characterized by five differences between resistant ALS enzyme biotypes and sensitive biotypes: Lys-Glu at amino acid position 63, Phe-Leu at position 258, Gln-His at position 269, Asn-Ser at position 522, and Trp-Leu at position 552. The changes at positions 522 and 552 were thought to be particularly important.

T. Shimizu *et al.*, "Oryza sativa ALS mRNA for acetolactate synthase, complete cds, herbicide resistant biotype," BLAST accession number AB049823 (April 2001) reported a nucleotide sequence and inferred amino acid sequence for a rice ALS that was said to be herbicide resistant, although the nature of the herbicide resistance was not specified in the BLAST entry. As compared to a contemporaneous wild type ALS for the same rice variety (Kinmaze), the inferred amino acid sequence for the resistant ALS appeared to display two differences: a Trp-Leu substitution at position 548, and a Ser-Ile substitution at position 627.

DISCLOSURE OF INVENTION

I have discovered nucleotide sequences that may be used to impart herbicide resistance to green plants. These sources of novel herbicide resistance were originally isolated in mutant rice plants. The nucleotide sequences impart pre-emergence resistance, post-emergence resistance, or both pre-emergence resistance and post-emergence resistance to multiple herbicides. To date, resistance has been demonstrated against at least the following herbicides, as well as some mixtures of the same herbicides: imazethapyr, imazapic, imazapyr, imazamox, sulfometuron methyl, imazaquin, chlorimuron ethyl, metsulfuron methyl, rimsulfuron, thifensulfuron methyl, pyriproxyfen, sodium, tribenuron methyl, and nicosulfuron. Green plants transformed with these nucleotide sequences are also resistant to derivatives of these herbicides, and to at least some of the other herbicides that normally inhibit acetohydroxyacid synthase (AHAS), particularly imidazolinone and sulfonylurea herbicides. The degree of herbicide resistance imparted by some of the novel nucleotide sequences is comparable to (and is possibly greater than) the highest levels of resistance to AHAS-inhibiting herbicides that have previously been found in resistant mutants of any species of green plant that is normally susceptible to this class of herbicides.

These nucleotide sequences may be used to transform green plants including, but not limited to, rice. Alternatively, analogous mutations may be introduced into green plants by site-directed mutagenesis of the plant's native AHAS coding sequence(s). As a particular example, transformation of the nucleotide sequences into rice plants, or site-directed mutagenesis of rice plants, will accelerate what could also be accomplished, perhaps more slowly, by traditional breeding techniques such as crossing and back-crossing. Since no coding sequence from another species is thereby introduced into the rice, most researchers would not consider such a transformed rice plant to be "transgenic." (Not even a marker gene is needed for such a transformation, since selection may be performed directly for the herbicide resistance trait itself.) Similarly, where site-directed mutagenesis is used to introduce an analogous point mutation into the native AHAS coding sequence of a green plant other than rice, most researchers would not consider the resulting plant to be transgenic.

Besides controlling red rice, many AHAS-inhibiting herbicides also effectively control other weeds commonly found in fields in which rice and other crops are grown. Several of these herbicides have residual activity, so that one treatment controls both existing weeds and weeds that sprout later -- a significant advantage in production.

No herbicide currently labelled for use on rice has residual activity against a broad spectrum of weeds including red rice. With effective residual activity against red rice and other weeds, rice producers now have a weed control system far superior to those currently used. One

role of water in rice production is in weed control -- a layer of standing water in the rice field inhibits the growth of weeds. With a herbicide having residual weed control properties, producers will have much greater flexibility in water management. Flooding of fields may now be delayed, which in turn will help control the rice water weevil, a primary insect pest of rice.
5 Alternatively, or perhaps in conjunction, pumping costs could be reduced by delaying flooding until sufficient rain falls to flood a field at no cost to the producer.

The herbicide resistance of each of the novel nucleotide sequences is attributable to mutation of the expressed rice AHAS enzyme, producing enzymes that express direct resistance to levels of herbicide that normally inhibit the wild-type AHAS enzymes. That the resistance is
10 due to mutant AHAS enzymes (rather than another route such as gene copy number, enhanced promoter activity, metabolic degradation, etc.) has been confirmed by *in vitro* assays.

The following mutations have been observed in one or more of the AHAS coding sequences from the various herbicide-resistant rice lines: (1) Some of the herbicide-resistant lines have a serine-to-asparagine mutation in the codon corresponding to amino acid location 627. (2)
15 One of the herbicide-resistant lines is believed to have a serine-to-lysine mutation in the codon corresponding to amino acid location 627, coupled with a deletion causing a frame shift, leading to a stop codon soon thereafter.

Some of the mutant rice AHAS amino acid sequences reported here show a serine-to-asparagine mutation at amino acid 627, a location near the carboxy terminus of the AHAS protein
20 that is analogous to the location of serine-to-asparagine mutations that have been previously reported in imidazolinone herbicide-resistant AHAS from *Arabidopsis* and maize plants (see discussion above). However, the novel sequences show surprising properties that could not have been predicted from the earlier work. The degree of herbicide resistance imparted by some of the novel nucleotide sequences is comparable to (and is possibly greater than) the highest levels of
25 resistance to AHAS-inhibiting herbicides that have previously been found in resistant mutants of any species of green plant that is normally susceptible to this class of herbicides. The underlying reason is currently unknown. Without wishing to be bound by this theory, it is possible that the rice AHAS molecule provides a particularly favorable setting for the Ser-Asn mutation. In other words, it is hypothesized that, as compared to other plants in which a Ser-Asn AHAS mutation
30 has previously been reported, there exists a synergy between other portions of the rice AHAS molecule and the site of the mutation, leading to some very high levels of herbicide resistance. These very high levels of herbicide resistance make the novel mutant rice AHAS sequences more attractive as candidates for transforming other plants for herbicide resistance.

From the data reported here, as well as data reported in some of the references previously
35 cited, it appears that mutations at locations homologous to the 627 serine in the rice AHAS

molecule can lead to resistance to AHAS-inhibiting herbicides in green plants. Without wishing to be bound by this theory, it is hypothesized that a Ser-Asn amino substitution at this location is particularly favorable for a herbicide resistant phenotype. We also report results here for line CMC31 showing instead a Ser-Lys substitution at this location, followed by a frame-shift mutation; and we note that the Shimizu (April 2001) BLAST submissions reported herbicide resistance (of currently unknown nature) following a Ser-Ile substitution at position 627 and a Trp-Leu substitution at position 548.

Due to their chemical similarity to Asn, their steric similarity to Asn, or both, it is also expected that the following amino acid substitutions at locations homologous to the 627 serine in the rice AHAS molecule will also lead to resistance to AHAS-inhibiting herbicides: Gln, Asp, and Glu. These mutations could not, however, result from single-nucleotide substitutions in the coding sequence, and would therefore be considerably less likely to result from undirected mutation breeding efforts. However, these mutations could be induced by site-directed mutagenesis techniques known in the art. See, e.g., R. Higuchi, "Recombinant PCR," pp. 177-183 in M. Innis *et al.* (Eds.), *PCR Protocols: A Guide to Methods and Applications*, Academic Press (1990); U.S. Patent 6,010,907; Kunkel, *Proc. Natl. Acad. Sci. USA*, vol. 82, pp. 488-492 (1985); Kunkel *et al.*, *Methods Enzymol.*, vol. 154, pp. 367-382 (1987); U.S. Patent 4,873,192; Walker *et al.* (Eds.), *Techniques in Molecular Biology* (MacMillan, New York, 1983); or the Genoplasty™ protocols of ValiGen (Newtown, PA).

For that matter, any of the mutations described here may be incorporated into the genome of rice or of any other green plant using site-directed mutagenesis. Doing so can, among other things, speed the process of introducing herbicide resistance into an existing cultivar, by eliminating the time needed for crossing and back-crossing through traditional breeding techniques, and without introducing a gene from another species.

Likewise, the Ser-Lys substitution at position 627, followed by a frame shift could instead be a Ser-Arg or Ser-His substitution; followed by a frame shift, since Lys, Arg, and His are chemically similar.

Thus one aspect of this invention provides green plants transformed with an oligonucleotide sequence encoding the rice AHAS molecule, in which the serine at amino acid position 627 has been replaced with asparagine.

Another aspect of this invention provides green plants having an oligonucleotide sequence encoding an AHAS molecule in which the serine homologous to that of position 627 in the rice AHAS molecule has been replaced with glutamine, glutamic acid, or aspartic acid. The AHAS molecule may otherwise be native to the same plant in which it is being expressed, or it

may be derived from another plant. One embodiment of this aspect of the invention is a green plant comprising an oligonucleotide sequence encoding an AHAS molecule identical to the wild-type rice AHAS molecule, except that the serine of position 627 in the rice AHAS molecule has been replaced with glutamine, glutamic acid, or aspartic acid. If the mutant AHAS molecule is otherwise native to the plant in which it is expressed, except for the substitution at position 627, then such a plant should not be considered a "genetically modified organism," in the popular sense of an organism that has been artificially transformed with an oligonucleotide coding sequence derived from a different species. For example, such a plant could be a rice plant containing an oligonucleotide sequence encoding an AHAS molecule identical to the wild-type rice AHAS molecule, except that the serine of position 627 in the rice AHAS molecule has been replaced with glutamine, glutamic acid, or aspartic acid.

Another aspect of this invention provides green plants having an oligonucleotide sequence encoding an AHAS molecule in which the serine homologous to that of position 627 in the rice AHAS molecule has been replaced with lysine, arginine, or histidine -- preferably lysine -- followed by whatever amino acids may be encoded between position 627 and the carboxy terminus as the result of a frame shift in the AHAS coding sequence at or following position 627. The AHAS molecule may otherwise be native to the same plant in which it is being expressed, or it may be derived from another plant. One embodiment of this aspect of the invention is a green plant comprising an oligonucleotide sequence encoding an AHAS molecule identical to the wild-type rice AHAS molecule, except that the serine of position 627 in the rice AHAS molecule has been replaced with lysine, arginine, or histidine -- preferably lysine -- followed by whatever amino acids may be encoded between position 627 and the carboxy terminus as the result of a frame shift in the AHAS coding sequence at or following position 627. If the mutant AHAS molecule is otherwise native to the plant in which it is expressed, except for the substitutions at position 627 and subsequent, then such a plant should not be considered a "genetically modified organism," in the popular sense of an organism that has been artificially transformed with an oligonucleotide coding sequence derived from a different species. For example, such a plant could be a rice plant containing an oligonucleotide sequence encoding an AHAS molecule identical to the wild-type rice AHAS molecule, except that the serine of position 627 in the rice AHAS molecule has been replaced with lysine, arginine, or histidine -- preferably lysine -- followed by whatever amino acids may be encoded between position 627 and the carboxy terminus as the result of a frame shift in the AHAS coding sequence at or following position 627.

The procedures used below to assay the activity of the acetohydroxyacid synthases were substantially as described in B.K. Singh *et al.*, "Assay of Acetohydroxyacid Synthase," *Analytical*

Biochemistry, vol. 171, pp. 173-179 (1988), except as noted. In the first paragraph of Singh's "Materials and Methods," instead of corn suspension culture cells, shoot tissues from greenhouse-grown rice seedlings at the 3-4 leaf stage of development, or rice suspension culture cells were used. For shoot tissues, 40.0 grams (fresh weight) of tissue were extracted in the same manner for each of the breeding lines; for Cypress suspension cells, 16.0 grams of cells were used, harvested eight days after subculture. At the suggestion of the first author, B.K. Singh (personal communication), the desalting step mentioned at the bottom of Singh's first column under "Materials and Methods" was eliminated. Pursuit™ herbicide (imazethapyr) or Arsenal™ herbicide (imazapyr) was included in the "standard reaction mixture" for the AHAS assay in various concentrations. Colorimetric absorbance was measured at 520 nm. Checks were made of direct acetoin formation during the enzyme assay.

An alternative AHAS assay that could be used (but that was not used in collecting the data reported here) is that disclosed in U.S. Patent 5,605,011, at col. 53, line 61 through col. 54, line 37.

MODES FOR CARRYING OUT THE INVENTION

A total of 27 new rice lines expressing resistance to AHAS-inhibiting herbicides were identified, following exposure of rice seeds to the mutagen methanesulfonic acid ethyl ester (EMS). Additional resistant rice lines will be developed and identified using similar mutation and screening techniques. Other mutagens known in the art may be substituted for EMS in generating such mutations, for example, sodium azide, *N*-methyl-*N*-nitrosourea, *N*-ethyl-*N*-nitrosourea, nitrosoquanidine, hydroxylamine, hydrazine, ionizing radiation (such as X-rays, gamma rays, or UV), or radiomimetic compounds such as bleomycins, etoposide, and teniposide. (Bleomycins, for example, are glycopeptide antibiotics isolated from strains of *Streptomyces verticillus*. One bleomycin is sold under the trademark Blenoxane® by Bristol Laboratories, Syracuse, NY.) The resistant AHAS nucleotide sequences from these rice lines will be cloned and used to transform other rice lines, and lines of other green plants, to impart herbicide resistance characteristics.

Examples 1-15

Approximately 52 million mutated (M_2) rice seed were screened. The mutated seed were developed by soaking a total of 340 pounds of seed (M_1), of the rice cultivars "Cypress" or "Bengal," in a 0.175% (by weight) aqueous solution of EMS. Approximately 170 lb. of rice were exposed to EMS for 16 hours; approximately 85 lb. were exposed for 24 hours; and

approximately 85 lb were exposed for 35 hours. Seed from the three exposure regimens were pooled for the screening experiments described below.

Following EMS treatment, the M_1 seed were thoroughly rinsed with water and drained before being planted by broadcast-seeding into shallow water, water that was drained 24 hours later. The field was re-flooded three days later, and the field was maintained in a flooded condition until it was drained for harvesting. The harvested M_2 seed were stored over the winter, and plants grown from the M_2 seed were screened for herbicide resistance the following spring. Following drill-seeding of approximately 52 million M_2 seed, a pre-emergence application of imazethapyr at a rate of 0.125 lb ai/A (pounds of active ingredient per acre) was applied prior to the first flush. A post-emergence treatment of imazethapyr at 0.063 lb ai/A was applied when the rice reached the 3-leaf stage. The fifteen M_2 plants that survived the herbicide application were collected and transferred to the greenhouse.

The herbicide resistance of the progeny of these plants (M_3) was confirmed through a post-emergence application of 0.125 lb ai/A imazethapyr at the 3-leaf stage in the greenhouse. The 15 resistant plants of 52 million total M_2 plants represent a success rate of approximately 1 imidazolinone-resistant mutant identified per 3.5 million mutated seeds screened.

M_4 progeny seed were collected from the resistant M_3 plants, and were used in a field test. The field test comprised 8 replicate sets. Each of the sets contained 100 rows, each row four feet in length. Each of the sets had 74 rows of the M_4 resistant lines. Each set had multiple rows of each of the 15 resistant lines, with the number of rows of each of the lines varying due to the different numbers of seeds of each that were available at the time. Each of the replicate sets also contained 16 rows of the non-resistant cultivar "Cypress" as a negative control, and 10 rows of earlier-developed herbicide-resistant rice lines as positive controls. (The positive controls were either ATCC 97523 or a hybrid of ATCC 97523 and ATCC 75295.)

A different herbicide treatment was applied post-emergence to each of these eight replicate sets when the rice reached the 3-leaf stage. The control set was treated with 4 quarts/acre of Arrosolo™. Arrosolo™ is a herbicide that is currently used commercially with conventional rice varieties. The remaining 7 sets were treated with imidazolinone herbicides as follows: (1) imazethapyr (trade name Pursuit™ or Newpath™) at 0.125 lb ai/A; (2) imazethapyr at 0.188 lb ai/A; (3) imazapic (trade name Cadre™) at 0.063 lb ai/A; (4) imazapic at 0.125 lb ai/A; (5) imazapyr (trade name Arsenal™) at 0.05 lb ai/A; (6) imazapyr at 0.09 lb ai/A; and (7) a mixture of 75% imazethapyr and 25% imazapyr (trade name Lightning™) at 0.052 lb ai/A.

Note that all herbicide application rates tested were equal to or greater than the recommended application rates for the use of the same herbicides on other crops.

Levels of resistance to herbicide were determined both at three weeks after spraying, and at maturity. No row was significantly injured by the control treatment with the conventional rice herbicide Arrosolo™. By contrast, each of the seven imidazolinone treatments resulted in 100% control of the rows of non-resistant Cypress rice, without a single surviving plant among any of the 112 treated rows. Each of the herbicide-resistant M_4 progeny rows in each of the sets, and each of the herbicide-resistant positive controls in each of the sets, displayed insignificant injury or no injury from the various imidazolinone treatments. The rows of resistant M_4 progeny treated with the imidazolinones, and the rows of herbicide-resistant positive controls treated with the imidazolinones, were visually indistinguishable from the Arrosolo™-treated rows with respect to height, vigor, days to maturity, and lack of visible herbicide injury.

Samples of the seed harvested from each of the fifteen lines of the M_4 progeny, i.e., samples of M_3 seed from each of the fifteen separate lines; lines designated by the inventor as SSC01, SSC02, SSC03, SSC04, SSC05, SSC06, SSC07, SSC08, SSC09, SSC10, SSC11, SSC12, SSC13, SSC14, and SSC15; were separately deposited with the American Type Culture Collection (ATCC), 10801 University Boulevard, Manassas, Virginia 20110-2209 on 05 November 1998; and were assigned ATCC Accession Nos. 203419, 203420, 203421, 203422, 203423, 203424, 203425, 203426, 203427, 203428, 203429, 203430, 203431, 203432, and 203433, respectively. Each of these deposits was made pursuant to a contract between ATCC and the assignee of this patent application, Board of Supervisors of Louisiana State University and Agricultural and Mechanical College. Each of the contracts with ATCC provides for permanent and unrestricted availability of these seeds or the progeny of these seeds to the public on the issuance of the U.S. patent describing and identifying the deposit or the publication or the laying open to the public of any U.S. or foreign patent application, whichever comes first, and for the availability of these seeds to one determined by the U.S. Commissioner of Patents and Trademarks (or by any counterpart to the Commissioner in any patent office in any other country) to be entitled thereto under pertinent statutes and regulations. The assignee of the present application has agreed that if any of the seeds on deposit should become nonviable or be lost or destroyed when cultivated under suitable conditions, they will be promptly replaced on notification with a viable sample of the same seeds.

Examples 16-27

Approximately 60 million additional mutated (M_2) rice seed were screened. The mutated seed were developed by soaking a total of 300 pounds of seed (M_1) of the rice cultivar "Cypress" in a 0.175% (by weight) aqueous solution of the mutagen EMS for 23 hours.

Following EMS treatment the M_1 seed were thoroughly rinsed with water and drained before being planted by broadcast-seeding into shallow water, water that was drained 24 hours later. The field was re-flooded three days later, and the field was maintained in a flooded condition until it was drained for harvesting. The harvested M_2 seed were stored over the winter, and plants grown from the M_2 seed were screened for herbicide resistance the following spring. Following broadcast-seeding and shallow soil incorporation of approximately 60 million M_2 seed, a post-emergence application of imazapic (trade name Cadre™) at 0.125 lb ai/A was sprayed on half the field, and a post-emergence application of imazapyr (trade name Arsenal™) at 0.10 lb ai/A was applied to the remaining half of the field at the three-leaf stage. The twenty-three M_2 plants that survived the herbicide application were collected and transferred to the greenhouse. Later testing (described below) showed that twelve of these plants represented new herbicide resistant lines; the other plants were "volunteer" seed of the ATCC 97523 line that had remained in the soil from a prior season.

The 12 resistant plants of 60 million total M_2 plants represent a success rate of approximately 1 imidazolinone-resistant mutant identified per 5 million mutated seeds screened.

The herbicide resistance of the progeny of these plants (M_3) was confirmed with the following herbicide applications in the greenhouse: 0.125 lb ai/A imazethapyr (trade name Pursuit™) as a pre-emergence application; 0.063 lb ai/A imazethapyr as a post-emergence application; 0.10 lb ai/A sulfometuron methyl (trade name Oust™) as a pre-emergence application; 0.05 lb ai/A sulfometuron methyl as a post-emergence application; 0.10 lb ai/A nicosulfuron (trade name Accent™) applied pre-emergence; and 0.05 lb ai/A nicosulfuron applied post-emergence. Two M_3 seed from each of the twenty-three herbicide-resistant lines were planted in each of four replicate pots for each treatment. Equivalent plantings of control lines were made with (non-resistant) Cypress and Bengal rice seeds.

Samples of the seed harvested from several of these lines of the M_4 progeny; namely, samples of M_5 seed from each of the seven separate lines designated by the inventor as PWC16, PWC23, CMC29, CMC31, WDC33, WDC37, and WDC38; were separately deposited with the American Type Culture Collection (ATCC), 10801 University Boulevard, Manassas, Virginia 20110-2209 on 02 November 1999; and were assigned ATCC Accession Nos. PTA-904, PTA-905, PTA-902, PTA-903, PTA-906, PTA-907, and PTA-908, respectively. Each of these deposits was made pursuant to a contract between ATCC and the assignee of this patent application, Board of Supervisors of Louisiana State University and Agricultural and Mechanical College. Each of the contracts with ATCC provides for permanent and unrestricted availability of these seeds or the progeny of these seeds to the public on the issuance of the U.S. patent describing and identifying the deposit or the publication or the laying open to the public of any

U.S. or foreign patent application, whichever comes first, and for the availability of these seeds to one determined by the U.S. Commissioner of Patents and Trademarks (or by any counterpart to the Commissioner in any patent office in any other country) to be entitled thereto under pertinent statutes and regulations. The assignee of the present application has agreed that if any of the seeds on deposit should become nonviable or be lost or destroyed when cultivated under suitable conditions, they will be promptly replaced on notification with a viable sample of the same seeds.

Five other lines, designated by the inventor as PWC17, PWC19, PWC21, PWC22, and CMC27, exhibited lower levels of herbicide resistance. These lines appear to differ both from the lines that have now been deposited with ATCC, and from prior line ATCC 97523. Due to their lower levels of resistance, these lines had not been deposited with ATCC as of the 10 May 2000 priority date of the present application. However, these lines may have potential value as breeding material to cross with other sources of herbicide resistance, or with each other, in order to enhance total levels of resistance. If these five lines involve different resistance mechanisms, or different AHAS isozymes as compared to the ATCC-deposited lines, then crossing one of these lines with one of the ATCC-deposited lines could result in a hybrid with an enhanced total level of resistance. Their herbicide resistance levels would not, however, appear to make any of these five lines, standing alone, suitable candidates for breeding new herbicide resistant rice lines.

Example 28

Mutations were induced in seeds of ten rice varieties by exposure either to gamma rays or to EMS. Ten-pound lots of seed of each variety were subjected to 25 k-rad of gamma irradiation from a Cobalt-60 source at the Nuclear Science Center, Louisiana State University, Baton Rouge, La. prior to planting. An additional ten pounds of seed of each variety was divided into three equal portions; and each portion was soaked for 16 hours in either 0.1%, 0.5%, or 1% EMS immediately prior to planting. Several hundred pounds of seed were harvested from plants grown from the seeds subjected to these mutagenic treatments.

The following spring the harvested seed was planted in strips in a field planting occupying a total of about three acres. At the 3-4 leaf stage of seedling development, herbicides were applied to screen for herbicide-resistant mutants. Half of the seedlings of each variety were sprayed with a 2x treatment of nicosulfuron, and half were sprayed with a 2x treatment of imazethapyr, in both cases by a tractor-mounted sprayer. Nicosulfuron was applied at the rate of 0.063 lb active ingredient (a.i.) per acre, and imazethapyr was applied at 0.125 lb a.i. per acre. Non-ionic surfactant (0.25%) was added to each spray solution. Approximately 35 million rice

seedlings were sprayed in this manner. About four weeks later a single surviving plant was identified. The surviving plant was in a strip that had been sprayed with imazethapyr, and was derived from the "parent" rice variety "AS3510," treated by exposure to 0.5% EMS. No symptoms of injury from the herbicide treatment were evident on this plant at the time it was discovered, while all the other plants were either severely injured or dead. The plant was transferred to the greenhouse for seed increase and further testing.

Subsequent testing in the greenhouse and field demonstrated that the progeny of this rice plant possessed resistance to several AHAS-inhibiting herbicides, including at least the following herbicides: imazethapyr, nicosulfuron, imazaquin, imazameth, imazapyr, and imazamox. AHAS enzyme assays indicated that this rice line possessed a mutant AHAS enzyme that was responsible for resistance to AHAS-inhibiting herbicides.

A sample of the seed from this rice line, designated 93AS3510 or SPCW-1, was deposited with the American Type Culture Collection (ATCC), current address 10801 University Boulevard, Manassas, Virginia 20110-2209 on 25 April 1996, and was assigned ATCC Accession No. 97523. This deposit was made pursuant to a contract between ATCC and the assignee of this patent application, Board of Supervisors of Louisiana State University and Agricultural and Mechanical College. The contract with ATCC provides that these seeds or the progeny of these seeds are now available permanently and without restriction to the public. The assignee of the present application has agreed that if any of the seeds on deposit should become nonviable or be lost or destroyed when cultivated under suitable conditions, they will be promptly replaced on notification with a viable sample of the same seeds.

Further Field Tests and Greenhouse Tests

Further field tests and greenhouse tests were conducted to evaluate the tolerance of the resistant lines. The field tests included both pre-emergence and post-emergence herbicide application studies. The same lines were included in both studies, except that line WDC37 was included in the pre-emergence study only, due to the lack of sufficient quantity of seed at the time of the study.

The herbicides applied as pre-emergence applications were imazaquin, imazethapyr, and imazapic. Each treatment was applied to each of two replicate plots. Each replicate plot contained three-foot long rows of each herbicide resistant line, along with a check row of non-resistant rice. Two plots were left unsprayed to serve as untreated controls. All herbicide-

resistant lines exhibited little or no injury from the herbicide applications. All check rows of the non-resistant rice variety Cypress, by contrast, were either killed or severely injured in all plots given herbicide treatments.

5 Post-emergence application was studied in fifty replicate plots of the same herbicide-resistant lines, except that line WDC37 was not included in the post-emergence field study. For the post-emergence field study, each herbicide treatment was applied to each of two replicate plots. Four plots were left unsprayed to serve as untreated controls. Herbicide treatments studied post-emergence were imazethapyr (Pursuit™ or Newpath™), imazapic (Cadre™), imazamox (Raptor™), a 1:1 (by weight) mixture of imazapic and imazapyr, a 3:1 (by weight) mixture of imazapic (Cadre™) and imazapyr (Arsenal™), imazapyr (Arsenal™), chlorimuron ethyl (Classic™), metsulfuron methyl (Ally™), nicosulfuron (Accent™), rimsulfuron (Matrix™), a 2:1 mixture (by weight) (Harmony Extra™) of thifensulfuron methyl and tribenuron methyl, and pyriithiobac sodium (Staple™).

15 The greenhouse tests comprised two replicate studies using the same herbicides and rates as were used in the post-emergence field test. The greenhouse studies evaluated the post-emergence herbicide resistance of a few lines for which the quantity of seed then available was inadequate to include in the field tests. Seeds of the resistant lines were planted in 2 inch x 2 inch peat pots, and the seedlings were then sprayed at the 3-4 leaf stage. Non-resistant check lines were included for comparison. As in the field tests, the non-resistant checks were either killed or severely injured by the herbicide treatments.

The results of these field and greenhouse studies are summarized in Tables 3 and 4.

25 RESULTS AND DISCUSSION

Previous selections for imidazolinone-resistant rice by screening following seed exposure to EMS had resulted in fewer resistant rice lines. For example, screening approximately 35 million M_2 seed following exposure of the M_1 seed to 0.1%, 0.5%, or 1.0% EMS for 16 hours resulted in a single herbicide-resistant mutant plant (ATCC 97523), for a success ratio of 1 resistant mutant per 35 million mutated seed. By contrast, each of the two later series of screenings had a significantly higher rate of successfully producing herbicide-resistant mutants. It is believed, without wishing to be bound by this theory, that the improved efficiency was due to the difference in mutagen concentrations and exposure times used.

The more efficient mutation protocols described here used a relatively longer exposure to a relatively lower concentration of mutagen than had previously been used. In Examples 1-15 the average mutagen exposure time was 22.75 hours, and the EMS concentration was 0.175%. This represents a 42% longer average exposure time, and a 65% reduction in the mutagen concentration, as compared to the only successful event from the earlier screening of 35 million seeds (Example 28). The result was a ten-fold increase in the rate of resistant mutant recovery (one per 3.5 million seed versus one per 35 million seed).

Examples 16-27 used conditions similar to those for Examples 1-15, and were also more efficient in producing resistant mutants. The same EMS mutagen concentration (0.175%) was used, and only a slightly different exposure time (23 hours versus an average of 22.75 hours). The herbicide-resistant mutant production rate in this trial was 1 plant per 5 million seed. These results indicate that longer exposures to lower mutagen concentrations appear generally to produce higher rates of successful herbicide resistant mutants.

Each of the resistant mutants from these two screenings exhibited resistance to one or more imidazolinone and sulfonylurea herbicides. A summary of the herbicide applications used in the initial screening for resistance is given in Table 1. The results of the field tests for Examples 1-15 (SSC01 through SSC15) are given in Table 2. The results of the field tests for Examples 16-27 (those resistant lines having PWC, CMC, or WDC designations) are given in Tables 3 and 4. Note that the application rates in Tables 1, 2, and 3 are given in pounds of active ingredient per acre, while the rates in Table 4 are given in ounces of active ingredient per acre.

Table 1 -- Screening Herbicide Application

Line	Screening Herbicide Application (lb ai/A)		
	Imazethapyr 0.125 pre-emerge + 0.063 post-emerge	Imazapyr 0.10 post-emerge	Imazameth 0.125 post-emerge
SSC01	X		
SSC02	X		
SSC03	X		
SSC04	X		
SSC05	X		

	SSC06	X		
	SSC07	X		
	SSC08	X		
	SSC09	X		
5	SSC10	X		
	SSC11	X		
	SSC12	X		
	SSC13	X		
	SSC14	X		
10	SSC15	X		
	PWC16		X	
	PWC17		X	
	PWC18		X	
	PWC19		X	
15	PWC20		X	
	PWC21		X	
	PWC22		X	
	PWC23		X	
	PWC24		X	
20	CMC25			X
	CMC26			X
	CMC27			X
	CMC28			X
	CMC29			X
25	CMC30			X
	CMC31			X
	WDC32			X
	WDC33			X
	WDC34			X
30	WDC35			X
	WDC36			X
	WDC37			X

WDC38			X
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Table 2 -- Post-Screening Herbicide Testing

Herbicide Application Rate (lb ai/A); & whether applied pre-emergence or post-emergence													
Line	Imazethapyr				Imazapyr		Imazameth		Imazethapyr (75%) + Imazapyr (25%)		Sulfometuron Methyl		Nicosulfuron
	0.125 pre	0.063 post	0.125 post	0.188 post	0.05 post	0.09 post	0.063 post	0.125 post	0.052 post	0.10 pre	0.05 post	0.10 pre	0.05 post
SSC01	X	X	X	X	X	X	X	X	X	0	0	X	X
SSC02	X	X	X	X	X	X	X	X	X	0	0	X	X
SSC03	X	X	X	X	X	X	X	X	X	0	0	X	X
SSC04	X	X	X	X	X	X	X	X	X	X	0	X	X
SSC05	X	X	X	X	X	X	X	X	X	0	0	X	X
SSC06	X	X	X	X	X	X	X	X	X	X	0	X	X
SSC07	X	X	X	X	X	X	X	X	X	0	0	X	X
SSC08	X	X	X	X	X	X	X	X	X	X	0	X	X
SSC09	X	X	X	X	X	X	X	X	X	0	0	X	X
SSC10	X	X	X	X	X	X	X	X	X	X	0	X	X
SSC11	X	X	X	X	X	X	X	X	X	0	0	X	X
SSC12	X	X	X	X	X	X	X	X	X	0	0	X	X
SSC13	X	X	X	X	X	X	X	X	X	0	0	X	X
SSC14	X	X	X	X	X	X	X	X	X	0	0	X	X

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SSC15	X	X	X	X	X	X	X	X	X	X	0	0	X	X	X
PWC16	X	X	X	X	X	X	X	X	X	X	0	0	X	X	X
PWC17	X	X	X	X	X	X	X	X	X	X	X	0	X	X	X
PWC18	X	X	X	X	X	X	X	X	X	X	0	0	X	X	X
PWC19	X	X	X	X	X	X	X	X	X	X	0	0	X	X	X
PWC20	X	X	X	X	X	X	X	X	X	X	0	0	X	X	X
PWC21	X	X	X	X	X	X	X	X	X	X	0	0	X	X	X
PWC22	X	X	X	X	X	X	X	X	X	X	0	0	X	X	X
PWC23	X	X	X	X	X	X	X	X	X	X	0	0	X	X	X
PWC24	X	X	X	X	X	X	X	X	X	X	0	0	X	X	X
CMC25	X	X	X	X	X	X	X	X	X	X	0	0	X	X	X
CMC26	X	X	X	X	X	X	X	X	X	X	0	0	X	X	X
CMC27	X	X	X	X	X	X	X	X	X	X	0	0	X	X	X
CMC28	X	X	X	X	X	X	X	X	X	X	0	0	X	X	X
CMC29	X	X	X	X	X	X	X	X	X	X	0	0	X	X	X
CMC30	X	X	X	X	X	X	X	X	X	X	0	0	X	X	X
CMC31	X	X	X	X	X	X	X	X	X	X	0	0	X	X	X
WDC32	X	X	X	X	X	X	X	X	X	X	0	0	X	X	X
WDC33	X	X	X	X	X	X	X	X	X	X	0	0	X	X	X
WDC34	X	X	X	X	X	X	X	X	X	X	0	0	X	X	X
WDC35	X	X	X	X	X	X	X	X	X	X	0	0	X	X	X

WDC36	X								'	0		X	X
WDC37	X								/	0		X	X
WDC38	X								/	0		X	X

Notes to Table 2: X = sensitive (exhibited wild-type reaction to herbicide); blank = not yet tested.

Table 3 -- Post-Screening Herbicide Testing

Herbicide Application Rate (lb ai/A); & whether applied pre-emergence or post-emergence																			
Line	Imazethapyr						Imazapic						Imazaquin				Imazamox		Imaz: 0.05 Imaz: 0.0
	0.063 pre	0.125 pre	0.188 pre	0.063 post	0.125 post	0.037 pre	0.075 pre	0.15 pre	0.075 post	0.15 post	0.125 pre	0.25 pre	0.375 pre	0.05 post	0.10 post	po:			
SSC01	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X			
SSC02	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X			
SSC03	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X			
SSC04	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X			
SSC05	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X			
SSC06	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X			
SSC07	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X			
SSC08	X	X	X	X	X	X	X	X	X	X	X	X	X	X	0	X			
SSC09	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X			
SSC10	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X			
SSC11	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X			
SSC12	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X			
SSC13	X	X	X	X	X	X	X	X	X	X	X	X	X	X	0	X			
SSC14	X	X	X	X	X	X	X	X	X	X	X	X	X	X	0	X			

[illegible]

Notes to Table 3: X = sensitive (exhibited wild-type reaction to herbicide); blank = not yet tested.

Table 3 (cont.) -- Post-Screening Herbicide Testing

Line	Herbicide Application Rate (lb ai/A); & whether applied pre-emergence or post-emergence			
	Imazapic 0.075 + Imazapyr 0.025	Imazapic 0.15 + Imazapyr 0.05	0.05 post	0.10 post
SSC01	X	X	X	X
SSC02	X	X	X	X
SSC03	X	X	X	X
SSC04	X	X	X	X
SSC05	X	X	X	X
SSC06	X	X	X	X
SSC07	X	X	X	X
SSC08	X	X	X	X
SSC09	X	X	X	X
SSC10	X	X	X	X
SSC11	X	X	X	X
SSC12	X	X	X	X
SSC13	X	X	X	X
SSC14	X	X	X	X
SSC15	X	X	X	X

PWC16	X	X	X	X
PWC23	X	X	X	X
CMC29	X	X	X	X
CMC31	X	X	X	X
WDC33	X	X	X	X
WDC37				
WDC38	X	X	X	X

Notes to Table 3: X = resistant; 0 = sensitive (exhibited wild-type reaction to herbicide); blank = not yet tested.

Table 4 -- Post-Screening Herbicide Testing

Herbicide Application Rate (ounces ai/A); & whether applied pre-emergence or post-emergence													
	Chlorimuron Ethyl		Metsulfuron Methyl		Nicosulfuron		Rimsulfuron		Thifensulfuron methyl (66.7 %) + tribenuron methyl (33.3%)		Pyrrithiobac sodium		
Line	0.125 post	0.250 post	0.06 post	0.12 post	0.5 post	1.0 post	0.20 post	0.40 post	0.45 post	0.90 post	1.0 post	2.0 post	
SSC01	X	X	X	X	X	X	X	X	X	X	X	X	
SSC02	X	X	X	X	X	X	X	X	X	X	X	X	
SSC03	X	X	X	X	X	X	X	X	X	X	X	X	
SSC04	X	X	X	X	X	X	X	X	X	X	X	X	
SSC05	X	X	X	X	X	X	X	X	X	X	X	X	
SSC06	X	X	X	X	X	X	X	X	X	X	X	X	
SSC07	X	X	X	X	X	X	X	X	X	X	X	X	
SSC08	X	X	X	X	X	X	X	X	X	X	X	X	
SSC09	X	X	X	X	X	X	X	X	X	X	X	X	
SSC10	X	X	X	X	X	X	X	X	X	X	X	X	
SSC11	X	X	X	X	X	X	X	X	X	X	X	X	
SSC12	X	X	X	X	X	X	X	X	X	X	X	X	
SSC13	X	X	X	X	X	X	X	X	X	X	X	X	

Further examination of these plants led to the conclusion that the following herbicide resistant lines appeared to be identical to prior herbicide resistant line ATCC 97523, presumably because a few seeds of ATCC 97523 from prior trials had remained dormant in the soil between growing seasons: PWC18, PWC20, PWC24, CMC25, CMC26, CMC28, CMC30, WDC32, WDC34, WDC35, and WDC36.

Germination studies have confirmed the high levels of pre-emergence resistance displayed by several of the novel rice lines. Typically, when germination of a non-resistant line occurs in concentrations of imidazolinone in solution as low as 1-2 ppm, significant injury or death occurs. For the novel lines PWC16, PWC23, CMC29, CMC31, WDC33, WDC37, and WDC38, as well as for the parental (non-resistant) Cypress line, germination studies were conducted as follows. For each line, 15 seeds per dish in three replicate Petri dishes were germinated at concentrations of 0, 10, 20, 30, 40, 50, and 60 ppm for each herbicide tested. The parental Cypress line failed to germinate at concentrations of 10 ppm and higher for each of the herbicides tested. By contrast, each of the experimental lines germinated, to varying degrees (some better than others) at herbicide concentrations of 60 ppm, except as noted. With imazapyr, all experimental lines germinated to some extent at 60 ppm. With imazethapyr, all experimental lines germinated to some extent at 60 ppm. With imazamox, all experimental lines germinated to some extent at 60 ppm, except WDC38. With imazapic, only PWC16 and PWC23 germinated at 60 ppm. Thus the novel lines germinated in concentrations of herbicide 60 times that which ordinarily kills or causes significant injury to wild-type rice plants.

Enhanced resistance will result from crossing the novel rice lines with one another. Enhanced resistance will also result from the synergy of crossing one or more of the novel rice lines, with their resistant AHAS enzymes, with the metabolic-based resistant rice lines disclosed in U.S. Patent 5,545,822, as typified by the rice having ATCC accession number 75295. As disclosed in the present inventor's published international patent application WO 97/41218, such synergy has been seen in hybrids of the rice having ATCC accession number 75295 with the rice having ATCC accession number 97523, the latter having a mutant, resistant AHAS enzyme in rice.

Notes on Mutation Selection Procedures in the Field

The following procedures were used for screening large quantities of mutated rice seed for herbicide resistance in the field.

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Exposure to mutagen or to conditions conducive to the induction of mutations may be performed at different stages of growth and different culture conditions, e.g., exposing to mutagen dry seed; seed sprouted in water for 24 hours, or seed sprouted in water for 48 hours, etc.; or growing cells in tissue culture, such as anther culture, with or without the contemporaneous application of mutagen; and the like.

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Rice to be planted for seed is ordinarily cleaned after harvest. Once cleaning is completed, any standard planting equipment can be satisfactorily used. However, this laborious and time-consuming cleaning step can be bypassed if the planting equipment will tolerate the pieces of straw and other extraneous material that typically accompany combine-harvested rice. Eliminating the cleaning step allows generations of seed to be grown, screened, and increased more rapidly. For example, using a spinner/spreader attachment on a tractor allows broadcast planting of rice that is accompanied by a moderate amount of extraneous material. Broadcast planting is also more rapid than drill-seeding, saving further time and labor. Seed planted with a spinner/spreader can either be lightly incorporated into the soil following broadcast-spreading, or allowed to remain on the soil surface, in which case it must be kept sufficiently moist by irrigation if rainfall is inadequate.

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Freshly-harvested rice seed may have a degree of dormancy, which prevents some of the otherwise viable seed from sprouting immediately. This dormancy normally disappears during storage. However, if the harvested seed is to be planted for selection purposes shortly after harvest to accelerate generation time, then treatment to reduce or eliminate dormancy is beneficial. One method to eliminate dormancy is to expose the seed to a temperature of about 50°C for about five days; but temperatures significantly higher may injure the rice seeds. Moisture should be allowed to escape from the seed during this treatment, so relatively small containers of moisture-permeable material should be used, such as cloth bags. Alternatively, stems with panicles still attached may be positioned to allow air to circulate over the panicles, for example, by standing them upright in a paper bag. As a further alternative, forced-air drying may be used, with or without storage in bags, provided that the seed is situated so that moisture is not entrapped around portions of the grain.

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When spraying mutated rice seed or plants to identify resistant individuals, it is important to achieve as uniform and precise a treatment as possible. Since the number of true resistant individuals will be a very small fraction of the total number of seeds, even a small fraction of "escapes" (i.e., false positives, plants fortuitously not receiving any herbicide) can complicate and retard the screening process. Therefore the herbicide-spraying equipment should be in good condition, and should be calibrated as accurately as possible. Each spray nozzle along the spraying boom should deliver spray at the same volumetric rate. Nozzles should be accurately aligned to avoid insufficient spray overlap between nozzles. Relatively short tractor spray booms (for example, approximately 12 feet) are helpful in minimizing undesirable boom movements while spraying.

Appropriate nozzles include the following, each of which has a flat spray tip, and sprays approximately 15 gallons per acre at 40 pounds per square inch (gauge) spray pressure, with a 20-inch nozzle spacing, at the indicated ground speeds: 8001VS (2 mph), 80015VS (3 mph), 8002VS (4 mph), 8003VS (5 mph). (Spraying Systems Co., Wheaton, Illinois) To optimize the spray pattern, the nozzle height above the target (either the top of the plant canopy or the soil) should be adjusted so that the spray pattern from each nozzle overlaps the spray pattern from each adjacent spray nozzle by about 30% (as measured linearly). Using the 80 degree nozzles listed above, at a 40 psi spraying pressure, and a 20 inch spacing between nozzles, an optimum spray height above the target would be 17 to 19 inches. Holding other parameters constant, but changing the nozzle spacing to 30 inches, an optimum spray height would increase to 26 to 28 inches. Using spray pressures lower than 40 psi will typically reduce the nozzle spray angles, and adjusting to a lower spray height may be necessary to achieve proper overlap at lower pressures. All spray equipment should be precisely calibrated before use.

When spraying, carefully measured marking flags to guide the spray-rig operator are frequently beneficial, as are flags at midfield in larger fields, in addition to those at the ends of the fields. Wind speed should be essentially zero, a condition that is often seen in the early morning or late afternoon. Spraying should not be performed if rain is anticipated within about the next six hours (a time that varies, depending on the particular herbicide). Pre-emergence spraying should be applied to dry ground. If the herbicide requires moisture for activation, then irrigation or rainfall after planting is required.

Uniformity of spraying is best accomplished by dividing the herbicide to be applied equally between two consecutive sprayings, one after the other. The spray solution is prepared at half the final treatment concentration. Two passes are then made in opposite directions to

achieve the desired total treatment concentration. For example, if the first pass on a particular row is made in the North-to-South direction, the second pass is made in the South-to-North direction. When spraying with a tractor, this may be accomplished by traveling in the opposite direction in the same tracks for the second application.

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Complete coverage is promoted by using large spray volumes (i.e., dilute concentrations of herbicide) and small spray droplet size. Spray volumes of 30 to 40 gallons per acre have worked well, particularly with two applications of 15 to 20 gallons per acre each. Spray pressures of 30 to 40 pounds per square inch (gauge) have worked well in producing fine sprays that provide thorough coverage. Nozzles should be evenly spaced, preferably about 20 inches apart.

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The total rate of herbicide application used for the selection is preferably at least twice the normal use rate for the same herbicide. For example, if 0.063 lb ai/A is the normal use rate for crops, then an appropriate concentration to select for resistant individuals would be two applications at the same rate, resulting in 0.125 lb ai/A total treatment.

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The combination of two sprayings, large spray volumes, high spray pressures, and an elevated treatment concentration helps minimize the occurrence of escapes, i.e., individuals that are not truly resistant, but that survived the procedure simply because they were inadequately sprayed.

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There are advantages to conducting selection with herbicides that possess both soil and foliar activities. The soil activity of the herbicide can be used directly to select for resistant individuals that grow despite the pre-emergence application. Alternatively, a pre-emergence application can be used to eliminate a large percentage of the non-resistant entities, following which a foliar application is made on the surviving individuals. This early thinning of the stand density greatly reduces the problem of spray interception that can otherwise occur within a thick stand of young seedlings, i.e., the possibility of a seedling that is physically shielded from the spray by other seedlings.

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Using both soil and foliar application of a suitable herbicide also reduces the problem of "escapes," because the herbicide's soil activity will often eliminate individuals that might otherwise escape the foliar spray. When using a herbicide having primarily, or only, foliar activity, an additional spraying may be necessary for two reasons. One reason is to eliminate

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non-resistant individuals that escaped the foliar spray. Also important is the elimination of non-resistant individuals from late-sprouting seed. A plant that grows from a seed that sprouts after spraying will not be controlled by a herbicide having only foliar activity. Within two weeks, such a plant may reach a size that makes it appear to be a resistant mutant that survived the foliar treatment. If a second foliar spraying either is undesirable or is not feasible, an alternative is to leave a small area of the field unsprayed when applying the first application, to provide a direct standard for determining the size that resistant seedlings should achieve during the intervening period.

Using a herbicide with both soil and foliar activity also presents the opportunity to select efficiently for both pre-emergence and post-emergence resistance within the same individual plants. This selection is accomplished by applying sequential applications. If performed properly, the likelihood will then be high that individuals surviving sequential applications are resistant to both pre- and post-emergence treatments with that herbicide, rather than escapes.

As the selection procedure is in progress, care should be taken that the few surviving individuals are not eaten by birds or insects. Avoiding such predation is important for both post-emergence and pre-emergence treatments. Sound-making devices may be used to drive away birds, such as blackbirds, that consume rice seeds and small seedlings. Insects such as fall armyworms and rice water weevils also may kill small survivors, and the application of an insecticide on a preventative basis is frequently desirable. Daily monitoring of the situation should be undertaken if an investigator chooses not to use bird-discouraging devices or insecticides preventatively.

Assays for Total AHAS Activity

The procedures used to assay the activity of the acetohydroxyacid synthases from various rice lines as reported below were substantially as described in B.K. Singh *et al.*, "Assay of Acetohydroxyacid Synthase," *Analytical Biochemistry*, vol. 171, pp. 173-179 (1988), except as noted. In the first paragraph of Singh's "Materials and Methods," instead of corn suspension culture cells, shoot tissues from greenhouse-grown rice seedlings at the 3-4 leaf stage of development, or rice suspension culture cells were used. For shoot tissues, 40.0 or 50.0 grams (fresh weight) of tissue were extracted in the same manner for each of the breeding lines; for Cypress suspension cells, 16.0 grams of cells were used, harvested eight days after subculture. At the suggestion of the first author, B.K. Singh (personal communication), the desalting step mentioned at the bottom of Singh's first column under "Materials and Methods" was eliminated.

Express Mail No. EK655899032

38

Pursuit™ herbicide (imazethapyr, also known as Newpath™) or Arsenal™ herbicide (imazapyr) was included in the "standard reaction mixture" for the AHAS assay in various concentrations. Colorimetric absorbance was measured at 520 nm. Checks were made of direct acetoin formation during the enzyme assay.

5 The following nine rice lines have been assayed in this manner to date: the non-resistant Cypress line (the parental line for some of the herbicide resistant lines), ATCC 97523, PTA-904, PTA-905, PTA-902, PTA-903, PTA-906, PTA-907, and PTA-908. Some assays were conducted at different times, and assays at some herbicide concentrations were repeated. Differences were noted among the lines with respect to total AHAS enzyme activity and the levels of herbicide resistance. In the modified Singh assay for total AHAS activity, using crude enzyme extract, in the absence of herbicide, most (but not all) of the herbicide-resistant lines expressed greater total AHAS activity than did the non-resistant Cypress line. Following treatment with the herbicides Pursuit™ (imazethapyr, also known as Newpath™) or Arsenal™ (imazapyr), the reduction in AHAS activity was greater in the Cypress line than in any of the resistant lines assayed. For the line that has appeared to have the highest resistance in testing to date, PWC23 (PTA-905), enzyme activity in the presence of very high herbicide levels (1000 μ M of either imazethapyr or imazapyr) was similar to the enzyme activity of the nonresistant Cypress line in the absence of any herbicide. All the resistant lines assayed expressed resistance to both imazethapyr and imazapyr, while the nonresistant Cypress line was sensitive to both herbicides. Results are shown in Table 5. In Table 5, the first row ("No herbicide") is reported as absorbance at 520 nm. All other entries in a given column (i.e., for a given line of rice) are reported as a percentage of the absorbance for the same rice line in the absence of herbicide.

Table 5 -- Total AHAS Activity, Crude Enzyme Extracts,
measured as absorbance at 520 nm

	Cypress	ATCC 97523 (93AS3510)	ATCC PTA-904 (PWC16)	ATCC PTA-905 (PWC23)	ATCC PTA-902 (CMC29)	ATCC PTA-903 (CMC31)	ATCC PTA-906 (WDC33)	ATCC PTA-907 (WDC37)	ATCC PTA-908 (WDC38)
No herbicide	0.766	0.837	0.713	1.107	0.811	1.038	0.851	1.226	0.822
50 μ M imazethapyr	63%	101%	95%	99%	92%	84%	89%	92%	95%
100 μ M imazethapyr (first replicate)	54%	92%	83%	88%	88%	82%	85%	86%	90%
100 μ M imazethapyr (second replicate)	58%	91%	92%	93%	93%	87%	86%	---	---

1000 μ M imazethapyr	56%	78%	78%	80%	84%	81%	82%	64%	66%
50 μ M imazapyr	63%	91%	90%	95%	92%	86%	88%	84%	81%
100 μ M imazapyr	57%	83%	83%	88%	84%	80%	74%	78%	78%
1000 μ M imazapyr	45%	68%	76%	75%	75%	68%	73%	66%	66%

The results shown in Table 5 clearly show that each of the resistant lines listed in that Table (ATCC 97523, ATCC PTA-904, etc.) contains a resistant mutant AHAS enzyme. The lowest concentrations tested, 50 μ M of imazethapyr or imazapyr, reduced the activity of the non-resistant line's AHAS to about 63% of control -- a reduction in activity that is more than ample to be lethal to plants in the field. By contrast, the resistant lines had AHAS activities ranging from 84% to 101% of control at these herbicide concentrations. Even at the highest herbicide concentrations tested, 1000 μ M, herbicide activities in the resistant plants ranged from 64% to 84%, versus 45% or 56% for the non-resistant line. Put differently, each of the resistant plants showed higher AHAS activity at the extremely high herbicide concentration of 1000 μ M than the AHAS activity of the non-resistant line at the lowest herbicide rate tested, 50 μ M. In fact, the absolute activity exhibited by the most resistant line, PTA-905, at the highest 1000 μ M herbicide rates tested (activities of 0.883 for imazethapyr and 0.834 for imazapyr) were higher than the AHAS activity for the non-resistant Cypress line in the absence of any herbicide (0.766).

The results given in Table 5 therefore clearly demonstrate that the herbicide resistance characteristics of at least the resistant rice lines listed in Table 1 were due to a resistant mutant AHAS enzyme.

Germination Inhibition Levels

Pre-emergence herbicide applications were tested to identify the levels of two different herbicides that would completely inhibit germination for several rice lines. Seed of each line tested was germinated in a plastic disposable petri dish containing 8 mL of herbicide solution and a layer of Whatman No. 4 filter paper. The fungicide Vitavax 200 at a concentration of 0.5 mL/L was added to the incubation solutions to inhibit fungal growth. Untreated controls were incubated in solutions containing fungicide but no herbicide. Twenty seeds were placed in each of 3 replicate dishes per treatment, and were incubated at 25°C under 16 hour : 8 hour light/dark photoperiods at a fluorescent light intensity of 15 micro-Einsteins per square meter per second. (One Einstein = 1 mole of photons.) Treatments were evaluated 11 days after incubation. The results of these pre-emergence experiments are shown in the Table 6, which indicates the

herbicide concentrations, in parts per million, needed to completely inhibit the germination of the lines tested.

Table 6 -- Herbicide Concentrations (ppm) needed to completely inhibit germination.

	Imazapic (Cadre TM)	Imazethapyr (Pursuit TM or Newpath TM)
Cypress	0.5	1
ATCC 97523 (93AS3510)	10	10
ATCC PTA-904 (PWC16)	60	80
ATCC PTA-905 (PWC23)	90	100
ATCC PTA-902 (CMC29)	50	90
ATCC PTA-903 (CMC31)	70	60
ATCC PTA-906 (WDC33)	50	70
ATCC PTA-907 (WDC37)	50	60
ATCC PTA-908 (WDC38)	30	30

As shown Table 6, each of the lines ATCC PTA-904, ATCC PTA-905, ATCC PTA-902, ATCC PTA-903, ATCC PTA-906, ATCC PTA-907, and ATCC PTA-908 exhibited substantially higher resistance to pre-emergence applications of the herbicides imazapic and imazethapyr than did ATCC 97523 -- higher by a factor of 3 to 10. Also note that the pre-emergence resistance characteristics of each of these seven lines to imazapic and imazethapyr clearly demonstrate that they are different from the line ATCC 97523; similarly, see also the results reported in Tables 7 and 8 below.

Further Greenhouse and Field Tests

The post-emergence resistance of the new lines has also been tested: in peat pots in a greenhouse, and on plants in the field. In the peat pot test, the tolerance of fourth generation (M₄) plants to various post-emergence imidazolinone herbicide treatments was tested. Individual

seedlings, in 3 replicate peat pots per treatment, were sprayed at the 2-3 leaf stage with 0X, 5X, 10X, 15X, and 20X herbicide treatments. Plants were rated 42 days after treatment. The values listed in Table 7 below were the highest tested rates that were tolerated with no visible injury, in some cases accompanied by a value in parentheses giving a higher rate at which the plants survived, but with injury.

Table 7 -- Highest Rates of Post-Emergence Herbicide Treatment
Tolerated without visible injury

	Cypress	ATCC 97523 (93AS3510)	ATCC PTA-904 (PWC16)	ATCC PTA-905 (PWC23)	ATCC PTA-902 (CMC29)	ATCC PTA-903 (CMC31)	ATCC PTA-906 (WDC33)	ATCC PTA-907 (WDC37)	ATCC PTA-908 (WDC38)
Imazethapyr (Pursuit)	All died at 5X	All died at 5X	10X (survived 15X)	15X (survived 20X)	15X (survived 20X)	10X (survived 15X)	15X (survived 20X)	10X (survived 15X)	All died at 5X
Imazamox (Raptor)	All died at 5X	All died at 5X	All died at 5X	5X (survived 10X)	injured at 5X	5X (survived 10X)	5X	5X	10X
Imazapyr (Arsenal)	All died at 5X	All died at 5X	10X	10X	10X	10X	10X	10X	10X
Imazapic (Cadre)	All died at 5X	All died at 5X	5X	10X	5X	All died at 5X	5X	5X (survived 10X)	injured at 5X

Notes: (1) Except for imazamox, in each case a 10X application = 0.63 lb ai / A = 706 g ai / ha, and all other rates of application are proportional. For imazamox, a 10X application = 0.32 lb ai / A = 359 g ai / ha. (2) Because the number of replicates in this particular experiment was low, and because the lines were still segregating, a higher degree of confidence may be appropriate for the positive results in Table 7 (herbicide tolerance) than for the negative results (herbicide susceptibility).

Field tests were conducted to evaluate the herbicide-resistance of lines PTA-902, PTA-903, PTA-904, PTA-905, and PTA-908. The same tests were conducted on both the non-resistant rice variety Cypress, and the herbicide resistant rice line ATCC 97523. All lines were planted in 1-meter rows, with two replications of each treatment. Post-emergence treatments of various

herbicides were applied when the rice was at the 2-3 leaf stage of development. Herbicide applications were made with a backpack sprayer at a spray rate of 15 gallons per acre (163 liters per hectare). Evaluations of herbicide resistance were made as the plants reached the flowering stage, and were based on relative performance as compared to the non-treated control rows of the same lines. Technical difficulties in conducting this set of experiments prevented the acquisition of good data for line PTA-907. Line PTA-906 was evaluated in greenhouse tests, rather than field tests, in order to conserve the limited amount of seed that was available for this line at the time. Individual plants in 5 cm by 5 cm peat pots were sprayed at the 2-3 leaf stage with the same herbicide applications as were used in the post-emergence field tests. Herbicide treatments were also made with a backpack sprayer at a spray rate of 15 gallons per acre (163 liters per hectare). Evaluations of herbicide resistance were made as the plants reached the flowering stage, and were based on relative performance as compared to the non-treated controls of the same lines in the greenhouse. The greenhouse evaluation was conducted twice, and each treatment was replicated. As in the field test, controls were conducted for comparison with non-resistant plants (in this case, the non-resistant Bengal variety was used) and with the earlier ATCC 97523 plants. Results are shown in Table 8. (Several additional herbicide treatments, not shown in Table 8, were also conducted.)

Table 8 -- Post-Emergence Herbicide Tolerance in Field Trials or Greenhouse Trials.

Entries give percent injury as compared with untreated controls of the same lines.

	Imazapic (Cadre) 0.075 lb ai/A + imazapyr (Arsenal) 0.025 lb ai/A (=84 and 28 g ai / ha, respectively)	Rimsulfuron (Matrix) 0.025 lb ai/A (= 28 g ai / ha)
Cypress (field)	100%	100%
ATCC 97523 (93AS3510) (field)	95%	90%
ATCC 203419 (SSC01) (field)	28%	18%
ATCC 203420 (SSC02) (field)	60%	20%

	ATCC 203421 (SSC03) (field)	38%	18%
	ATCC 203422 (SSC04) (field)	23%	18%
5	ATCC 203423 (SSC05) (field)	75%	20%
	ATCC 203424 (SSC06) (field)	8%	8%
10	ATCC 203425 (SSC07) (field)	23%	75%
	ATCC 203426 (SSC08) (field)	15%	18%
	ATCC 203427 (SSC09) (field)	8%	13%
15	ATCC 203428 (SSC10) (field)	8%	18%
	ATCC 203429 (SSC11) (field)	48%	45%
20	ATCC 203430 (SSC12) (field)	33%	18%
	ATCC 203431 (SSC13) (field)	30%	20%
	ATCC 203432 (SSC14) (field)	63%	33%
25	ATCC 203433 (SSC15) (field)	43%	35%
	ATCC PTA-904 (PWC16) (field)	0%	100%
30	ATCC PTA-905 (PWC23) (field)	3%	100%
	ATCC PTA-902 (CMC29) (field)	0%	100%

	ATCC PTA-903 (CMC31) (field)	0%	100%
	ATCC PTA-908 (WDC38) (field)	5%	100%
5	Bengal (greenhouse)	100%	100%
	ATCC 97523 (93AS3510) (greenhouse)	100%	60%
	ATCC PTA-906 (WDC33) (greenhouse)	3%	100%
10	ATCC PTA-907 (WDC37)	N/A	N/A

15 *Sequencing, Cloning, and Plant Transformation*

Repeated attempts by the inventor and his colleagues to sequence the rice AHAS coding sequence by PCR amplification of genomic rice DNA over an extended period of time had not been successful. These difficulties have now been overcome by RT-PCR amplification of RNA instead.

The resistant mutant AHAS nucleotide sequences from the rice plants having accession numbers ATCC 97523, 203419, 203420, 203421, 203422, 203423, 203424, 203425, 203426, 203427, 203428, 203429, 203430, 203431, 203432, 203433, PTA-904, PTA-905, PTA-902, PTA-903, PTA-906, PTA-907, and PTA-908 are sequenced and cloned, along with the wild-type AHAS nucleotide sequence from the parental rice varieties AS3510 and Cypress. As of the filing date of the present application, substantial portions of this work have been completed, as described below. The remaining sequencing and cloning will hereafter proceed to completion using techniques known in the art, as described generally below. The sequences that have been obtained to date show the nature and locations of some of the point mutations responsible for the observed herbicide resistant phenotypes.

The parental non-resistant line Cypress has been publicly released by the Louisiana State University Agricultural Center's Rice Research Station, and is widely available commercially. Samples of the parental non-resistant line AS3510 are available upon request without charge from the inventor, Timothy P. Croughan, c/o Louisiana State University Agricultural Center, Rice Research Station, P.O. Box 1429, Crowley, Louisiana 70527-1429, United States. Samples

of line AS3510 may also be available from other rice breeding programs or rice germplasm collections.

Example 29 -- CMC31 (ATCC PTA-903)

5 Total RNA from the CMC31 line (ATCC accession number PTA-903) was extracted from rice callus tissue culture with the RNEASY Mini Kit (Qiagen Inc., Valencia, CA) using ~100 mg of tissue. The manufacturer's "RNeasy Plant Mini Protocol For Isolation of Total RNA from Plant Cells and Tissues, and Filamentous Fungi" was followed. Total RNA was eluted in 50 µl of diethylpyrocarbonate - water solution, for a yield of ~100 µg.

10

Next, poly A⁺ RNA (mRNA) was purified from the total RNA with the Oligotex mRNA Mini Kit (Qiagen Inc., Valencia, CA) following the Oligotex mRNA Spin-Column Protocol. Buffer amounts used were those recommended by the manufacturer for a Mini Prep size. The mRNA was eluted twice with 25 µl of the OEB buffer from the kit.

15

Reverse transcriptase - polymerase chain reaction (RT-PCR) results were obtained using Ready-To-Go RT-PCR Beads (Amersham Pharmacia Biotech, Piscataway, NJ), following the manufacturer's recommended Two-Step protocol for RT-PCR. The PCR primers were based on a published AHAS sequence from *Hordeum vulgare*, GenBank accession no. AF059600. Two primer pairs, HvAls-3 & HvAls-4, and HvAls-3 & HvAls-6 (HvAls-3 = TGG CGA GGC ACG GCG CCC; HvAls-4 = GAC GTG GCC GCT TGT AAG; HvAls-6 = AGT ACG AGG TCC TGC CAT) (SEQ ID NOS 6-8, respectively) produced two products, one approximately 550 and one approximately 1100 base pairs. (The ~550 bp product was a subset of the ~1100 bp product, and confirmed the sequence of the ~1100 bp product in the region of their overlap.) These products were electrophoresed on a 1.5% agarose gel at 70 volts for 90 minutes. The bands were excised and purified with the ZymoClean™ Gel DNA Recovery Kit (Zymo Research, Orange, CA), and were eluted in 13 µl of 10 mM Tris buffer.

25

The gel-extracted PCR products were sequenced using the Big Dye™ kit (PE Applied Biosystems, Foster City, CA), using 5 µl of template per PCR primer. The resulting 1095 base sequence was analyzed against previously reported sequences by the Blast Search software (National Center for Biotechnology Information, available at <http://www.ncbi.nlm.nih.gov/blast/>). The sequence is given below as SEQ ID NO 1, in the conventional 5'-3' orientation. These 1095 bases represent about half of the coding portion of the

30

AHAS gene. The inferred partial amino acid sequence is given below as SEQ ID NO 15, in the conventional amino terminus -carboxy terminus orientation.

SEQ ID NO 1 is 85% identical at the nucleotide level (902 bases of 1058) to the AHAS sequence for *Zea mays* reported as GenBank accession number X63553; 84% identical (896 bases of 1060 bases) to the AHAS sequence for *Zea mays* reported as GenBank accession number X63554; and 88% identical (603 bases of 678) to the AHAS sequence for *Hordeum vulgare* reported as GenBank accession number AF059600. It is 97% identical (1062 bases of 1088) to the AHAS sequence for *Oryza sativa* var. Kinmaze reported as accession number AB049822 (SEQ ID NO 2).

Until the point of the frame shift mutation, SEQ ID NO 15 is 99% identical at the inferred amino acid level (352 amino acids of 355) to the AHAS sequence for wild type *Oryza sativa* var. Kinmaze as reported by T. Shimizu *et al.*, "Oryza sativa ALS mRNA for acetolactate synthase, complete cds, herbicide sensitive wild type," BLAST accession number AB049822 (April 2001), available through www.ncbi.nlm.nih.gov/blast, SEQ ID NO 3. At amino acid position 627, there is a Ser-Lys substitution in the inferred amino acid sequence for line CMC31, followed by a single-base deletion in the DNA, causing a frame-shift mutation that generally alters the identity of most of the subsequent amino acids (i.e., those between position 627 and the carboxy terminus), and that introduces a "stop" seven codons downstream from the codon for amino acid position 627 (Ser-Lys):

Since the presumptive source of the herbicide resistance mutation has been identified in line CMC31, it is reasonable to infer that the complete coding sequence for this line is the same as that of the "parent" wild type Cypress AHAS (SEQ ID NO 14), except for replacing the AGT codon for serine at amino acid position 627 with the substitution and deletion A-A seen at the corresponding position in SEQ ID NO 1. The inferred complete AHAS coding sequence for line CMC31 is listed below as SEQ ID NO 20. The corresponding complete inferred amino acid sequence for this herbicide resistant AHAS is listed below as SEQ ID NO 21.

Examples 30-34 -- PWC16, PWC23, CMC29, WDC33, and WDC38 (ATCC PTA-904, PTA-905, PTA-902, PTA-906, and PTA-908)

Partial cDNA sequences were also determined for the AHAS coding sequence from the lines PWC16, PWC23, CMC29, WDC33, and WDC38 (ATCC PTA-904, PTA-905, PTA-902, PTA-906, PTA-908.)

Leaf material from young greenhouse-grown seedlings was ground, and total RNA was extracted using the "Plant RNEASY Total RNA Midi kit" from Qiagen, following the

manufacture's suggested protocols. Next mRNA was purified from the extracted total RNA using Qiagen's "Oligotex mRNA purification system," following the manufacture's suggested protocols.

5 PCR primers were designed based on an analysis of known AHAS coding sequences from other species. Primers were chosen to correspond to highly conserved regions with low codon degeneracy. These primers were then used in PCR amplification of segments of the sequence from RT-PCR reactions from the isolated rice mRNA.

10 The mRNA was reverse-transcribed using an oligo-dT primer supplied with Life Technologies' "Superscript First-Strand Synthesis System for RT-PCR." The manufacturer's suggested protocols were followed.

Two primers amplifying approximately 300-350 base pairs were used to amplify the 3' end of the AHAS coding sequence. The resulting DNA fragments were analyzed by agarose gel electrophoresis and were cloned into Topo-TA™ vectors, and a number of individual isolates were sequenced. Sequencing was conducted according to standard protocols, using a Perkin
15 Elmer ABI Prism 310 or a Beckman CEQ2000 automated sequencer. The resulting DNA sequence information was analyzed by commercially available DNA software analysis programs such as Sequencer™.

The observed sequences for lines PWC16, PWC23, CMC29, WDC33, and WDC38 are given below as SEQ ID NOS 9 through 13, respectively. Note that in the wild-type (Cypress)
20 AHAS sequence (SEQ ID NO 14), the codon corresponding to amino acid 627 is AGT, which encodes serine. In each of the sequences of lines PWC16, PWC23, CMC29, WDC33, WDC38 (SEQ ID NOS 9-13), the codon corresponding to amino acid 627 is AAT, which encodes asparagine. This serine-asparagine substitution is believed to be responsible for the herbicide resistance displayed by the AHAS enzyme of these lines.

25 Since the source of the herbicide resistance mutation has been identified in the lines PWC16, PWC23, CMC29, WDC33, and WDC38, it is reasonable to infer that the complete coding sequences for each of these lines is the same as that of the "parent" wild type Cypress AHAS (SEQ ID NO 14), except for replacing the AGT codon for serine at amino acid position
30 of lines PWC16, PWC23, CMC29, WDC33, and WDC38 is listed below as SEQ ID NO 18. The corresponding complete inferred amino acid sequence for this herbicide resistant AHAS is listed below as SEQ ID NO 19.

Because the different lines PWC16, PWC23, CMC29, WDC33, and WDC38 have demonstrated different levels of herbicide tolerance in the field, it was surprising that the
35 mutations identified for these five lines were all identical. The sequencing of the AHAS coding

sequences of these lines will be repeated for verification. In the event that this re-checking demonstrates that one or more of these lines in fact has a mutation in the AHAS coding sequence other than (or in addition to) that leading to the Ser-Asn substitution at amino acid 627, any such mutations are also considered to be within the scope of the present invention.

Example 35 -- Wild Type Parent (Cypress); and Comparison of Wild Type AHAS Sequences from Different Rice Varieties

The AHAS coding sequence for the wild-type "parent" Cypress is given below as SEQ ID NO 14. The inferred amino acid sequence for the wild-type (Cypress) AHAS sequence is listed below as SEQ ID NO 17, corresponding to the translation of the open reading frame of SEQ ID NO 14.

Using BLAST to compare sequences, it was found that there is about 89% identity at the amino acid level between wild-type rice AHAS (SEQ ID NO 17) and the AHAS sequence for *Zea mays* reported as GenBank accession number X63553 (576 amino acids of 641).

It is also interesting to compare the wild type AHAS coding sequence for the rice cultivar Cypress to the wild type AHAS coding sequence reported by T. Shimizu *et al.*, "Oryza sativa ALS mRNA for acetolactate synthase, complete cds, herbicide sensitive wild type," BLAST accession number AB049822. The two sequences are 98% identical at the nucleotide level (1955 bases of 1986). The inferred amino acid sequences are 99% identical (640 amino acids of 644). The vast majority of the 31 bases that differ between the two nucleotide sequences are "silent" mutations, i.e., they result in different codons that encode the same amino acids. The "non-silent" differences occur at amino acid 11 (Cypress, Thr; Kinmaze, Ala); amino acid 293 (Cypress, Arg; Kinmaze, Trp); amino acid 401 (Cypress, Asp; Kinmaze, Gln); and amino acid 643 (Cypress, Met; Kinmaze, Val).

Example 36 -- CMC31 (ATCC PTA-903)

The AHAS coding sequence from line CMC31 was sequenced again, by a different set of workers from those who derived SEQ ID NO 1, using the protocol described below.

1.0 g of fresh tissue from young greenhouse-grown seedlings was ground, and genomic DNA was isolated using the DNeasy Plant Maxi Kit (from Qiagen), following the manufacturer's recommended protocols. The amount of isolated DNA was measured by absorbance reading at 260 nm. 100 ng of DNA was used in a PCR reaction designed to amplify the 3' end of the AHAS coding sequence. The following primers, based on the published sequence of *Oryza sativa* var. Kinmaze (GenBank AB049822), were used to amplify the 3' end of the coding sequence: primer RA7, AGTGGCTGTCTTCGGCTGGTCT (SEQ ID NO 22), and primer RA5, CCTACCACTACCGTCCTGACACAT (SEQ ID NO 23).

The Expand High Fidelity PCR kit from Roche was used to amplify the DNA. DNA from the PCR reaction was checked for correct size using 1% agarose gel electrophoresis. Bands of the expected size were cut from the gel, and the DNA was then purified using the QIAquick Gel Extraction Kit (Qiagen) according to the manufacturer's instructions. Purified DNA was ligated to pCR2.1-TOPO™ vector DNA. After ligation, the DNA was transformed into One Shot™ competent *E. coli* cells. Cells were plated, and grown overnight. The following day single colonies were picked, re-plated and numbered.

E. coli cells were lysed by adding a small quantity of cells to 20 ml of water, and heating to 95°C for 5 minutes. PCR was then performed on the lysed cells with the primers RA7 and RA5 and Taq polymerase. Colonies that were positive for the inserted DNA were grown overnight, and DNA from these cultures was prepared using the Miniprep Plasmid kit from Qiagen. DNA was checked again by digestion with the restriction enzymes *Pst*I and *Hind*III.

DNA was sequenced by the Big Dye terminator method (Applied Biosystems, Foster City, CA). Primer RA7 was used in the sequencing reaction (in the forward direction). Sequencing was performed on a 377 Perkin Elmer instrument. Sequences were analyzed using Vector NTI Suite 6.

The resulting sequence is given below as SEQ ID NO 16. This time, the sequence showed the same, single nucleotide G-A substitution, resulting in the same Ser-Asn substitution at amino acid position 627, as was seen for each of the lines PWC16, PWC23, CMC29, WDC33, WDC38.

The reason for the discrepancy between SEQ ID NO 1 and SEQ ID NO 16 for line CMC31 is currently unknown. As of the filing date of this application, the scenario that is considered most likely is that the deletion/frame shift mutation shown in SEQ ID NO 1 is correct, and that the Ser-Asn substitution implied by SEQ ID NO 16 resulted from some currently unknown experimental error, for example mis-labeling of a packet of seed. It is also possible, although it is currently considered less likely, that line CMC31 does have the same Ser-Asn substitution seen in the other lines. Repeated sequencing of the AHAS coding sequence from seed that is confirmed to be from line CMC31 will clarify this discrepancy.

Example 37 -- WDC37 (ATCC PTA-907)

DNA from line WDC37 was isolated, cloned and sequenced as described above for CMC31 (namely, the method using primers RA5 and RA7), except that the DNA from line WDC37 was sequenced by automated dideoxy sequencing, generally according to the method of

Sanger *et al.*, *Proc. Natl. Acad. Sci. USA*, vol. 74, pp. 5463-5467 (1977). Reactions were performed with the Applied Biosystems (Foster City, CA) Prism Big Dye terminator cycle sequencing kit with AmpliTaq DNA polymerase FS and were electrophoresed on an Applied Biosystems Prism 377 DNA sequencer.

5 To date, the mutation responsible for herbicide resistance in WDC37 has not been identified. The sequences obtained to date have been indistinguishable from wild type. Possible reasons for this include mis-marked seed, segregation effects causing wild type AHAS sequences to occasionally be picked up in the herbicide resistant line, or a mutation in a different part of the AHAS molecule from that targeted by the PCR primers RA5 and RA7. Repetitions of these
10 sequencing efforts, using fresh seed confirmed to be from WDC37, and including the entire AHAS coding sequence will clarify this discrepancy.

Further Examples

15 The complete DNA sequences and inferred amino acid sequences of the AHAS molecules for each of the rice plants having ATCC Accession Nos. 97523, 203419, 203420, 203421, 203422, 203423, 203424, 203425, 203426, 203427, 203428, 203429, 203430, 203431, 203432, 203433, PTA-904, PTA-905, PTA-902, PTA-906, PTA-907, and PTA-908 will also be determined (or redetermined) using generally similar protocols, or other techniques well known
20 in the art.

Preliminary sequencing of the AHAS coding sequence for ATCC No. 97523 (93AS3510) has been inconclusive. The sequence as initially determined was identical to the Cypress wild type sequence. The reason for this result is currently unknown, since the 93AS3510 line clearly had a resistant AHAS enzyme as determined by the modified Singh *et al.* enzyme assay described
25 above. It is possible that there was an unknown experimental error, such as an inadvertent substitution of the parent susceptible "AS3510" line for the resistant "93AS3510" line by laboratory workers, due to the similarity in their names. Further work along the lines generally described above will resolve this discrepancy.

Cloning into Other Green Plants.

30 These and other cloned, herbicide-resistant AHAS nucleotide sequences from rice plants may be used to transform herbicide resistance into other rice plants, as well as transforming other green plants generally to impart herbicide resistance. Herbicide resistance may be introduced into other rice plants, for example, either by traditional breeding, back-crossing, and selection; or
35 by transforming cultivars with the cloned resistant AHAS nucleotide sequences. Direct

transformation of rice cultivars has the potential to allow quick introduction of the herbicide resistance characteristics into a variety, without requiring multiple generations of breeding and back-crossing to attain uniformity.

Furthermore, at least in the case of rice transformed via the preferred vector of U.S. Patent 5,719,055, much of the concern that some individuals have expressed over the propriety of the genetic transformation of agricultural species should be alleviated. The transformation of a rice variety with a nucleotide sequence from another rice plant would only speed up what could also be accomplished through traditional breeding techniques. No coding sequences exogenous to rice plants would be introduced; merely the efficient introduction of a rice AHAS allele from another rice plant. The use of the vector of U.S. Patent 5,719,055 allows the introduction of the desired coding sequence only, without any other coding sequences being introduced into the genome. No antibiotic-resistance genes or other markers will be needed: selection for successful transformation events can be based directly on the herbicide resistance itself. As explained more fully in U.S. Patent 5,719,055, the only sequences that need be introduced in addition to the nucleotide sequence of interest are flanking insertion sequences recognized by the transposase used by the vector. The insertion sequences are not themselves coding sequences, and are inert in the absence of the transposase; furthermore, the vector is designed so that the transposase is not encoded by any DNA that is inserted into the transformed chromosome. The only portion of the transformed DNA that will be active following transformation is the resistant AHAS nucleotide sequence itself. That AHAS nucleotide sequence is derived from rice, so the transformed rice plants will not be "transgenic" in the usual sense of carrying coding DNA from another species.

It will be understood by those skilled in the art that the nucleic acid sequences of the resistant mutant AHAS enzymes from ATCC Accession Nos. 97523, 203419, 203420, 203421, 203422, 203423, 203424, 203425, 203426, 203427, 203428, 203429, 203430, 203431, 203432, 203433, PTA-904, PTA-905, PTA-902, PTA-903, PTA-906, PTA-907, and PTA-908 are not the only sequences that can be used to confer resistance. Also contemplated are those nucleic acid sequences that encode identical proteins but that, because of the degeneracy of the genetic code, possess different nucleotide sequences. The genetic code may be found in numerous references concerning genetics or biology, including, for example, Figure 9.1 on page 214 of B. Lewin, *Genes VI* (Oxford University Press, New York, 1997). Figure 9.3 on page 216 of Lewin directly illustrates the degeneracy of the genetic code. For example, the codon for asparagine may be AAT or AAC.

The sequences may be transformed into a plant of interest with or without some or all of the native *Oryza sativa* AHAS gene's introns, which may be identified by means well known in the art. Introns can affect the regulation of gene expression.

The expression products are preferably targeted to the chloroplasts, which are believed to be the major site for wild type AHAS activity in green plants. The targeting signal sequence normally corresponds to the amino terminal end of the protein expression product, and the corresponding coding sequence should therefore appear upstream of the 5' end of the coding sequence. This targeting is preferably accomplished with the native *Oryza sativa* AHAS signal sequence, but it may also use other plant chloroplast signal sequences known in the art, such as, for example, those disclosed in Cheng *et al.*, *J. Biol. Chem.*, vol. 268, pp. 2363-2367 (1993); see also Comai *et al.*, *J. Biol. Chem.*, vol. 263, pp. 15104-15109 (1988).

The invention also encompasses nucleotide sequences encoding AHAS proteins having one or more silent amino acid changes in portions of the molecule not involved with resistance or catalytic function. For example, alterations in the nucleotide sequence that result in the production of a chemically equivalent amino acid at a given site are contemplated; thus, a codon for the amino acid alanine, a hydrophobic amino acid, may be substituted by a codon encoding another hydrophobic residue, such as glycine, or may be substituted with a more hydrophobic residue such as valine, leucine, or isoleucine. Similarly, changes that result in the substitution of one negatively-charged residue for another, such as aspartic acid for glutamic acid, or one positively-charged residue for another, such as lysine for arginine, can also be expected to produce a biologically equivalent product. See, e.g., Fig. 1.8 on page 10 of Lewin (1997), showing the nature of the side chains of the "standard" 20 amino acids encoded by the genetic code. (Note also a typographical error in that published figure, namely that the abbreviation for glutamine should be "Gln.")

The invention also encompasses chimeric nucleotide sequences, in which the mutated portion of a resistant rice AHAS nucleotide sequence is recombined with unaltered portions of the AHAS nucleotide sequence from another species.

This invention relates not only to a functional AHAS enzyme having the amino acid sequence encoded by a mutant, resistant AHAS nucleotide sequence described in this specification, including for example those from one of the identified rice plants deposited with ATCC, but also to an enzyme having modifications to such a sequence resulting in an amino acid sequence having the same function (i.e., a functional AHAS enzyme, with resistance to at least some herbicides that normally interfere with AHAS), and about 60-70%, preferably 90% or greater homology to the sequence of the amino acid sequence encoded by the AHAS nucleotide sequence of at least one such ATCC-deposited rice line, more preferably about 95% or greater

homology, particularly in conserved regions such as, for example, a putative herbicide binding site. "Homology" means identical amino acids or conservative substitutions (e.g., acidic for acidic, basic for basic, polar for polar, nonpolar for nonpolar, aromatic for aromatic). The degree of homology can be determined by simple alignment based on programs known in the art, such as, for example, GAP and PILEUP by GCG, or the BLAST software available through the NIH internet site. Most preferably, a certain percentage of "homology" would be that percentage of identical amino acids.

A particular desired point mutation may be introduced into an AHAS coding sequence using site-directed mutagenesis methods known in the art. See, e.g., R. Higuchi, "Recombinant PCR," pp. 177-183 in M. Innis *et al.* (Eds.), *PCR Protocols: A Guide to Methods and Applications*, Academic Press (1990); U.S. Patent 6,010,907; Kunkel, *Proc. Natl. Acad. Sci. USA*, vol. 82, pp. 488-492 (1985); Kunkel *et al.*, *Methods Enzymol.*, vol. 154, pp. 367-382 (1987); U.S. Patent 4,873,192; Walker *et al.* (Eds.), *Techniques in Molecular Biology* (MacMillan, New York, 1983); or the Genoplasty™ protocols of ValiGen (Newtown, PA).

Isolated AHAS DNA sequences of the present invention are useful to transform target crop plants, and thereby confer resistance. A broad range of techniques currently exists for achieving the direct or indirect transformation of higher plants with exogenous DNA, and any method by which one of the novel sequences can be incorporated into the host genome, and stably inherited by its progeny, is contemplated by the present invention.

The cloned AHAS coding sequence should be placed under the control of a suitable promoter, so that it is appropriately expressed in cells of the transformed plant. It is expected that the most suitable promoter would be a native AHAS promoter. The native AHAS promoter could be that of any plant, for example, the native AHAS promoter from the plant that is being transformed. Alternatively, it is expected that the native rice AHAS promoter will function appropriately in other green plants generally (including, e.g., both monocots and dicots), and that for simplicity the same rice AHAS promoter may be used when transforming any plant species of interest.

The native AHAS promoter, whether that from rice or from another plant, may be isolated as follows. AHAS cDNA is isolated and amplified, for example by PCR or by cloning into a bacterium such as *E. coli* or *Agrobacterium*, and is denatured into single-stranded DNA. A genomic library is prepared for rice or other plant of interest using standard techniques, and Southern blotting is used to hybridize segments of genomic DNA to the AHAS cDNA. The hybridizing DNA segments are amplified through PCR and sequenced. The promoter will be found in segments upstream of the transcription initiation site.

Note that it is not necessary to identify the sequence of the AHAS promoter precisely. Where the upstream sequence that constitutes the promoter has not been precisely identified, the promoter will nevertheless be included by taking a sufficiently large number of bases "upstream" of the transcription initiation site. The fact that "extra" bases may also be included in addition to the promoter is acceptable. The number of upstream bases needed to encompass a particular promoter may readily be determined in a particular case, and for the reasons just given, the precise number of bases is not crucial. In general, sequences of about 500, 1000, or 1500 bases upstream from the transcription initiation site should suffice in most cases.

As a further alternative, a constitutive promoter could be used to control the expression of the transformed mutant AHAS coding sequence. Promoters that act constitutively in plants are well known in the art, and include, for example, the cauliflower mosaic virus 35S promoter.

Transformation of plant cells can be mediated by the use of vectors. A common method for transforming plants is the use of *Agrobacterium tumefaciens* to introduce a foreign nucleotide sequence into the target plant cell. For example, a mutant AHAS nucleotide sequence is inserted into a plasmid vector containing the flanking sequences in the Ti-plasmid T-DNA. The plasmid is then transformed into *E. coli*. A triparental mating is carried out among this strain, an *Agrobacterium* strain containing a disarmed Ti-plasmid containing the virulence functions needed to effect transfer of the AHAS-containing T-DNA sequences into the target plant chromosome, and a second *E. coli* strain containing a plasmid having sequences necessary to mobilize transfer of the AHAS construct from *E. coli* to *Agrobacterium*. A recombinant *Agrobacterium* strain, containing the necessary sequences for plant transformation, is used to infect leaf discs. Discs are grown on selection media and successfully transformed regenerants are identified. The recovered plants are resistant to the effects of herbicide when grown in its presence.

Plant viruses also provide a possible means for transfer of exogenous DNA.

Direct uptake of DNA by plant cells can also be used. Typically, protoplasts of the target plant are placed in culture in the presence of the DNA to be transferred, along with an agent that promotes the uptake of DNA by protoplasts. Such agents include, for example, polyethylene glycol and calcium phosphate.

Alternatively, DNA uptake can be stimulated by electroporation. In this method, an electrical pulse is used to open temporary pores in a protoplast cell membrane, and DNA in the surrounding solution is then drawn into the cell through the pores. Similarly, microinjection can be used to deliver the DNA directly into a cell, preferably directly into the nucleus of the cell.

In many of these techniques, transformation occurs in a plant cell in culture. Subsequent to the transformation event, plant cells must be regenerated to whole plants. Techniques for the

regeneration of mature plants from callus or protoplast culture are known for a large number of plant species. See, e.g., Handbook of Plant Cell Culture, Vols. 1-5, 1983-1989 McMillan, N.Y.

Alternate methods are also available that do not necessarily require the use of isolated cells and plant regeneration techniques to achieve transformation. These are generally referred to as "ballistic" or "particle acceleration" methods, in which DNA-coated metal particles are propelled into plant cells by either a gunpowder charge (see Klein *et al.*, *Nature* 327: 70-73, 1987) or by electrical discharge (see EPO 270 356). In this manner, plant cells in culture or plant reproductive organs or cells, e.g. pollen, can be stably transformed with the DNA sequence of interest.

In certain dicots and monocots, direct uptake of DNA is the preferred method of transformation. For example, in maize or rice the cell wall of cultured cells is digested in a buffer with one or more cell wall-degrading enzymes, such as cellulase, hemicellulase, and pectinase, to isolate viable protoplasts. The protoplasts are washed several times to remove the degrading enzymes, and are then mixed with a plasmid vector containing the nucleotide sequence of interest. The cells can be transformed with either PEG (e.g. 20% PEG 4000) or by electroporation. The protoplasts are placed on a nitrocellulose filter and cultured on a medium with embedded maize cells functioning as feeder cultures. After 2-4 weeks, the cultures in the nitrocellulose filter are placed on a medium containing herbicide and maintained in the medium for 1-2 months. The nitrocellulose filters with the plant cells are transferred to fresh medium with herbicide and nurse cells every two weeks. The un-transformed cells cease growing and die after a time.

Other methods of transforming plants are described in B. Jenes *et al.*, and in S. Ritchie *et al.*, in S.-D. Kung *et al.* (Eds.); *Transgenic Plants*, vol. 1, *Engineering and Utilization*, Academic Press, Inc., Harcourt Brace Jovanovich (1993); and in L. Mannonen *et al.*, *Critical Reviews in Biotechnology*, vol. 14, pp. 287-310 (1994). See also the various references cited on pages 15-17 of published international patent application WO 00/26390, each of which is incorporated by reference.

A particularly preferred transformation vector, which may be used to transform seeds, germ cells, whole plants, or somatic cells of monocots or dicots, is the transposon-based vector disclosed in U.S. Patent 5,719,055. This vector may be delivered to plant cells through one of the techniques described above or, for example, via liposomes that fuse with the membranes of plant cell protoplasts.

The present invention can be applied to transform virtually any type of green plant, both monocot and dicot. Among the crop plants and other plants for which transformation for herbicide resistance is contemplated are (for example) rice, maize, wheat, millet, rye, oat, barley,

sorghum, sunflower, sweet potato, casava, alfalfa, sugar cane, sugar beet, canola and other *Brassica* species, sunflower, tomato, pepper, soybean, tobacco, melon, lettuce, celery, eggplant, carrot, squash, melon, cucumber and other cucurbits, beans, cabbage and other cruciferous vegetables, potato, tomato, peanut, pea, other vegetables, cotton, clover, cacao, grape, citrus, strawberries and other berries, fruit trees, and nut trees. The novel sequences may also be used to transform turfgrass, ornamental species, such as petunia and rose, and woody species, such as pine and poplar.

Enzyme Purification, Analysis, and Sequencing

Preliminary data (reported below) suggest that rice may produce at least three different AHAS isozymes, which would presumably be encoded by different AHAS nucleotide sequences in the rice genome, although the experiments completed as of the filing date of this application do not yet rule out the possibility that different isozymes could be encoded by a single gene, but produced by separate pathways such as alternative splicing of mRNA, or alternative post-translational processing of polypeptides. Incidentally, it is believed that the present patent application is the first published report that rice appears to have at least three different AHAS isozymes. To the inventor's knowledge, it had not previously been reported that rice had more than one form of the AHAS enzyme.

The sequence obtained for the first isozyme will be used to prepare primers for amplifying and sequencing the other isozymes, either from genomic DNA or from cDNA. Greater levels of resistance may be obtained in plants carrying resistant alleles in multiple AHAS nucleotide sequences. Such plants may readily be bred by crossing and backcrossing through means known in the art, or by site-directed mutagenesis. Even greater levels of resistance may be obtained by crossing such a "double mutant" or "triple mutant" with the metabolic-based herbicide resistant rice lines disclosed in U.S. Patent 5,545,822, as typified by the rice having ATCC accession number 75295, as discussed earlier, since its metabolic resistance is based on a separate, currently unknown mechanism.

The preliminary isozyme data reported below suggests that the resistance seen in some of the novel lines may have resulted from mutations in different AHAS isozymes. For example, in the non-resistant parental Cypress line, the putative AHAS-1 isozyme (defined below) appeared to account for about 25% of the total activity, while putative AHAS-2 and putative AHAS-3 together appeared to account for about 75% of total activity. By contrast, in ATCC 97523, putative AHAS-1 appeared to account for about 75% of total activity, with putative AHAS-2 and putative AHAS-3 together appearing to account for about 25% of total activity; while in PWC23

putative AHAS-1 appeared to account for about 95% of total activity, with putative AHAS-2 and putative AHAS-3 together appearing to account for about 5% of total activity.

It is hypothesized that the amino acid end-products of the process catalyzed by AHAS-1 may cause feedback suppression of AHAS-2 and AHAS-3 in the lines with low activities in the latter two isozymes.

In addition, total AHAS activity in many of the novel resistant lines exceeded that in the non-resistant Cypress parent.

Preliminary Note: It is believed that rice possesses multiple AHAS isozymes, perhaps two or three such isozymes. The procedures reported under the present heading ("Enzyme Purification, Analysis, and Sequencing") were conducted to attempt to separate the different isozymes. Since these experiments were conducted, it has come to the inventor's attention (B. J. Singh, private communication) that these particular isozyme separation procedures may be subject to artifacts, to experimental errors. As of the filing date of the present international Patent Cooperation Treaty application, this question had not been resolved to the inventor's satisfaction. While it is believed that rice does have multiple AHAS isozymes, the particular experimental data reported in this section, concerning enzyme purification and separation, may or may not be a reliable indication of that AHAS isozyme activity. Should these particular experimental data turn out not to be reliable, then other enzymatic purification and separation procedures known in the art may be substituted. Furthermore, it is important to note that the other results reported in this patent application do not depend upon whether the isozyme data reported in the present section are correct or incorrect.

The procedures used to separate the acetohydroxyacid synthase isozymes in rice from one another were substantially as described in B. Singh *et al.*, "Separation and Characterization of Two Forms of Acetohydroxyacid Synthase from Black Mexican Sweet Corn Cells," *J. Chromatogr.*, vol. 444, pp. 251-261 (1988). Suspension cells, or shoot tissues from greenhouse-grown plants at the 3-4 leaf stage of development, were used for crude enzyme extraction. For extraction from suspension cells, 16 grams of cells were harvested 8 days after subculturing of Cypress suspension cultures. Following crude enzyme pelleting, the enzyme was re-suspended in 25 mM potassium phosphate buffer (pH = 7.0) containing 5mM pyruvate, 5 mM EDTA, and 5 μ M FAD (flavin adenine dinucleotide). The isozymes were then separated by HPLC on a Waters 600 chromatograph (Amersham Pharmacia Biotech, Piscataway, NJ) at an eluent flow rate of 1 mL per minute. Following filtration through a 0.45 μ m Millex (Millipore, Bedford, MA) syringe filter, 2.00 mL of each sample was loaded onto a Mono Q HR 5/5 column (5 x 0.5 cm)

(Amersham Pharmacia Biotech, Piscataway, NJ) that had been pre-equilibrated with the same buffer. After injection and elution of 5 mL of eluent, a linear 20-minute gradient of 0 – 0.5 M potassium chloride in equilibration buffer was initiated. One-mL fractions were collected and assayed for AHAS enzyme activity. Additional purification procedures that are standard in the art may optionally be used, such as gel electrophoresis or additional HPLC separations.

Several rice lines have been assayed in this manner. The remaining resistant lines will be assayed in the same manner. Each of the sampled lines appeared to have at least three AHAS isozymes. Differences were noted among the lines with respect to total AHAS enzyme activity, the overall level of herbicide resistance, and the activity of the individual isozymes.

Table 9 depicts qualitatively the relative activities seen in several lines for the three putative isozymes that have been tentatively designated "AHAS-1," "AHAS-2," and "AHAS-3." (The numbering corresponds to the order in which the enzymes eluted from the HPLC.)

Table 9 -- Relative AHAS Isozyme Activity,
reported qualitatively on a 5-point scale, based on absorbance at 520 nm

	Cypress	ATCC 97523	PWC16	PWC23	CMC29	CMC31	WDC33
AHAS-1	1	2	0	5	3	0+	0+
AHAS-2	1	0+	1	0	0+	1	0+
AHAS-3	2	0+	1+	0+	1-	2	0+

To prepare isolated AHAS isozymes for direct amino acid sequencing, the same protocols were used as described above, except that aliquots were combined from four separate runs through the HPLC (4 injections, 2 mL/injection). The combined aliquots were concentrated by cool evaporation of the liquid using a Savant Instruments SpeedVac (Farmingdale, NY). The concentrated samples were then run through a PD-10 Sephadex G-25 (Amersham Pharmacia Biotech, Piscataway, NJ) desalting column prior to freeze-drying. The amino acid sequence of each of the three isozymes will be determined by Edman degradation. (The 4 x 1.0 mL = 4.0 mL combined aliquot having the highest peak for each isozyme was selected for amino acid sequencing, on the assumption that this aliquot had a relatively high and a relatively pure concentration of the particular isozyme.)

The Edman degradation technique and related protein analysis techniques are well known in the peptide art. Briefly, the amino-terminal residue of a polypeptide is labeled and cleaved from the peptide without disrupting the peptide bonds between the other amino acid residues. The Edman degradation sequentially removes one residue at a time from the amino end of a polypeptide. Phenyl isothiocyanate reacts with the uncharged terminal amino group of the peptide to form a phenylthiocarbamoyl derivative. Under acidic conditions, a cyclic derivative of the terminal amino acid is liberated, which leaves an intact peptide shortened by one amino acid. The cyclic compound is a phenylthiohydantoin (PTH)- amino acid. The PTH-amino acid is then identified by standard procedures. See generally R. Meyers (ed.), *Molecular Biology and Biotechnology*, pp. 731-741, and 764-773 (1995).

The polypeptide sequencing results will be used to complement the results from the mRNA RT-PCR sequencing described previously. The amino acid sequences will be confirmed by designing DNA primers from the observed amino acid partial sequences, cloning the AHAS nucleotide sequences from genomic or cDNA libraries of the wild type and mutant rice lines, and sequencing the nucleotide sequences thus cloned. The sequences will also be confirmed by comparing the complementarity of the sequences determined for the positive strands and the negative strands of each.

The amino acid sequence data will be used to design primers for PCR amplification of the putative AHAS isozymes, along with the data from the known AHAS coding sequence (e.g. SEQ ID NO. 14). Also, it is possible through standard techniques to digest the protein into smaller pieces, which are then sequenced individually to give amino acid sequences for internal regions of the protein.

Proposed Mechanisms of Action

Preliminary Note: The discussion under the present heading ("Proposed Mechanisms of Action") is subject to the same caveats mentioned under the previous heading concerning the isozyme purification and separation protocols that have been used to date. The validity of the other data reported here do not depend on these proposed mechanisms of action.

Without wishing to be bound by the theory presented in this section, the following hypotheses are consistent with the preliminary isozyme data described under the previous heading: Rice appears to have at least three AHAS isozymes, isozymes that are normally produced by a rice plant at different activity levels. Except for ATCC 75295, the mutations discovered by the present inventor for herbicide resistance in rice appear to be mutations in the

AHAS enzymes themselves, rather than mutations arising from another source such as a mutation in a metabolic pathway or a mutation in the regulation of an AHAS gene. In some of the resistant mutants, at least in the absence of herbicide, the relative activity levels of the three AHAS isozymes appear not to be substantially altered compared to wild-type, perhaps because the resistance mutation in these lines appears in the "predominant" isozyme. In at least one of the resistant mutants, the relative activities of all three isozymes appeared to be lower than wild-type.

On the other hand, there are resistant mutants in which one of the "alternate" isozymes appears to be preferentially produced, for unknown reasons. The increased activity of this mutant isozyme causes feedback inhibition of the other two isozymes; i.e., higher levels of amino acid products resulting from the activity of the mutant isozyme inhibit the expression of the other two isozymes through normal regulatory feedback mechanisms.

The herbicide appears to act by strongly (or even irreversibly) binding to the AHAS molecule. This binding does not completely eliminate AHAS activity, even in wild-type AHAS, although herbicide binding does reduce the activity (~50%) sufficiently to kill wild-type plant cells. There appears to be a "saturation" point in the herbicide concentration, e.g. ~10 μM (depending on the herbicide), above which activity of wild-type enzyme does not decrease substantially.

By contrast, even at much higher herbicide concentrations, e.g., even up to ~1000 μM , the activity of some of the mutant AHAS enzymes is still roughly comparable to the activity of wild-type enzyme in the absence of any herbicide, suggesting that the mutant AHAS enzymes do not bind the herbicides as strongly. Yet even those mutant AHAS enzymes that normally show strong resistance to AHAS-acting herbicides are nevertheless susceptible to some of the AHAS-acting herbicides. This property suggests that the resistance of that enzyme to certain herbicides is due to a weaker binding affinity between those herbicides and the mutant enzyme; and not, for example, to pre-herbicide overproduction of the AHAS-catalyzed amino acids that allows the plant cells to survive on "reserves" of those amino acids until the effect of the herbicide has worn off. Were such an overproduction responsible for the herbicide resistance, then across-the-board resistance to all AHAS-acting herbicides would be expected.

Miscellaneous

Through routine breeding practices known in the art, progeny will be bred from each of the resistant parent rice lines identified above. Once progeny are identified that are demonstrably resistant, those progeny will be used to breed varieties for commercial use. Crossing and back-crossing resistant plants with other germplasm through standard means will yield herbicide-

resistant varieties and hybrids having good productivity and other agronomically desirable properties. Alternatively, direct transformation into a variety or into a parent of a hybrid having agronomically desirable properties may be employed, as direct transformation can accelerate the overall selection and breeding process.

5

Because red rice and commercial rice belong to the same species, the planting of a herbicide-resistant commercial rice crop entails some risk that herbicide resistance would be transferred to red rice. However, rice is self-pollinating, and the frequency of outcrossing is low, even between immediately adjacent plants flowering in synchrony. The likelihood of transferring resistance to red rice could be minimized by breeding resistant varieties that flower significantly earlier than does red rice (e.g., using conventional breeding techniques, or by tissue culture such as anther culture). Maintaining an early-maturing phenotype in resistant varieties, for example, will be desirable to reduce the likelihood of outcrossing to red rice. In addition, breeding higher levels of resistance (e.g., by crossing lines with different AHAS isozymes with one another, or crossing lines with resistant AHAS enzymes with the metabolic resistance of ATCC 75295) will allow control of the outcrossed red rice by applying higher herbicide rates than the outcrossed red rice will tolerate.

If a strain of red rice should nevertheless develop that is resistant to the same herbicides as resistant commercial rice, the plants can always be treated with a broad range of other available herbicides -- particularly if the resistant red rice were discovered early, before having much opportunity to propagate.

The same or analogous techniques should be employed to inhibit the out-crossing of herbicide resistance into "weedy" or wild relatives of other crop species.

Because each of the herbicides tested inhibits the activity of acetohydroxyacid synthase, and because resistance to each of these herbicides has been demonstrated in the novel lines, it is expected that the novel herbicide resistant rice will show resistance to other herbicides that normally inhibit this enzyme. In addition to those discussed above, such herbicides include others of the imidazolinone and sulfonylurea classes, including at least the following: primisulfuron, chlorsulfuron, imazamethabenz methyl, and triasulfuron. Other classes of AHAS herbicides known in the art include triazolopyrimidines, triazolopyrimidine sulfonamides, sulfamoylureas, sulfonylcarboxamides, sulfonamides, pyrimidyl oxybenzoates, phthalides, pyrimidyl salicylates, carbamoylpyrazolines, sulfonylimino-triazinyl heteroazoles, N-protected valylanilides, sulfonylamide azines, pyrimidyl maleic acids, benzenesulfonyl carboxamides, substituted sulfonyldiamides, and ubiquinone-o.

As used in the specification and claims, the term "mutation-inducing conditions" refers to conditions that will cause mutations in a plant's genome at rates substantially higher than the background rate. A variety of such conditions are well-known to those in the art. They include, for example, exposing seeds to chemical mutagens or ionizing radiation as previously described. Such conditions also include growing cells in tissue culture (anther culture, callus culture, suspension culture, protoplast culture, etc.), with or without deliberately exposing the cells to additional mutation-inducing conditions other than those that are inherent in tissue culture. (It is known that tissue culture is *per se* conducive to the production of genetic variability, including mutations.) Depending on the particular mutation-inducing conditions used, mutations may best be induced at different stages in the life cycle, e.g., with dry seeds, with pre-germinated seeds, etc.

As used in the specification and claims, the term "imidazolinone" means a herbicidal composition comprising one or more chemical compounds of the imidazolinone class, including by way of example and not limitation, 2-(2-imidazolin-2-yl)pyridines, 2-(2-imidazolin-2-yl)quinolines, and 2-(2-imidazolin-2-yl) benzoates or derivatives thereof, including their optical isomers, diastereomers and/or tautomers exhibiting herbicidal activity, including by way of example and not limitation 2-[4,5-dihydro-4-methyl-4-(1-methylethyl)-5-oxo-1H-imidazol-2-yl]-3-quinolinecarboxylic acid (generic name imazaquin); 2-[4,5-dihydro-4-methyl-4-(1-methylethyl)-5-oxo-1H-imidazol-2-yl]-5-ethyl-3-pyridinecarboxylic acid (generic name imazethapyr); and 2-[4,5-dihydro-4-methyl-4-(1-methylethyl)-5-oxo-1H-imidazol-2-yl]-5-(methoxymethyl)-3-pyridinecarboxylic acid (generic name imazamox); 2-[4,5-dihydro-4-methyl-4-(1-methylethyl)-5-oxo-1H-imidazol-2-yl]-3-pyridinecarboxylic acid (generic name imazapyr); 2-[4,5-dihydro-4-methyl-4-(1-methylethyl)-5-oxo-1H-imidazol-2-yl]-5-methyl-3-pyridinecarboxylic acid (generic name imazameth, also known as imazapic); and the other examples of imidazolinone herbicides given in the specification.

As used in the specification and claims, the term "sulfonylurea" means a herbicidal composition comprising one or more chemical compounds of the sulfonylurea class, which generally comprise a sulfonylurea bridge, -SO₂NHCONH-, linking two aromatic or heteroaromatic rings, including by way of example and not limitation 2-((((4,6-dimethoxypyrimidin-2-yl) aminocarbonyl)) aminosulfonyl))-N,N-dimethyl-3-pyridinecarboxamide (generic name nicosulfuron); 3-[4,6-bis (difluoromethoxy)-pyrimidin-2-yl]-1-(2-methoxycarbonylphenylsulfonyl) urea (generic name primisulfuron); 2-[[[(4,6-dimethyl-2-

pyrimidinyl)amino]carbonyl]amino)sulfonyl]benzoic acid methyl ester (generic name sulfometuron methyl); methyl 2-[[[(4-methoxy-6-methyl-1,3,5-triazin-2-yl)amino]carbonyl]amino)sulfonyl]benzoate (generic name metsulfuron methyl); methyl-2-[[[N-(4-methoxy-6-methyl-1,3,5-triazin-2-yl)methylamino]carbonyl]amino)sulfonyl]benzoate (generic name tribenuron methyl); methyl-3-[[[(4-methoxy-6-methyl-1,3,5-triazin-2-yl)amino]carbonyl]amino)sulfonyl]-2-thiophenecarboxylate (generic name thifensulfuron methyl); 2-chloro-N-[(4-methoxy-6-methyl-1,3,5-triazin-2-yl)aminocarbonyl]benzenesulfonamide (generic name chlorsulfuron); ethyl 2-[[[(4-chloro-6-methoxypyrimidin-2-yl)amino]carbonyl]amino)sulfonyl]benzoate (generic name chlorimuron ethyl); methyl 2-[[[N-(4-methoxy-6-methyl-1,3,5-triazin-2-yl)methylamino]carbonyl]amino)sulfonyl]benzoate (generic name tribenuron methyl); 3-(6-methoxy-4-methyl-1,3,5-triazin-2-yl)-1-[2-(2-chloroethoxy)phenylsulfonyl]-urea (generic name triasulfuron); and the other examples of sulfonylurea herbicides given in the specification.

As used in the specification and claims, unless otherwise clearly indicated by context, the term "plant" is intended to encompass plants at any stage of maturity, as well as any cells, tissues, or organs taken or derived from any such plant, including without limitation any embryos, seeds, leaves, stems, flowers, fruits, roots, tubers, single cells, gametes, anther cultures, callus cultures, suspension cultures, other tissue cultures, or protoplasts. Also, unless otherwise clearly indicated by context, the term "plant" is intended to refer to a photosynthetic organism or green plant including algae, mosses, ferns, gymnosperms, and angiosperms. The term excludes, however, both prokaryotes, and eukaryotes that do not carry out photosynthesis such as yeast, other fungi, and the so-called red plants and brown plants that do not carry out photosynthesis.

Unless otherwise clearly indicated by context, the "genome" of a plant refers to the entire DNA sequence content of the plant, including nuclear chromosomes, mitochondrial chromosomes, chloroplast chromosomes, plasmids, and other extra-nuclear or extra-chromosomal DNA. If, for example, a herbicide resistance nucleotide sequence is incorporated into the cells of a transformed plant in a plasmid or other genetic element that might not otherwise be consistently maintained and inherited by the plant and its progeny, then the herbicide resistance trait itself may be used to apply selective pressure upon such plants to maintain the herbicide resistance phenotype and genotype. Such a plant is considered to have the herbicide resistance nucleotide sequence in its "genome" within the contemplation of this definition.

Unless otherwise clearly indicated by context, the "progeny" of a plant includes a plant of any subsequent generation whose ancestry can be traced to that plant.

Unless otherwise clearly indicated by context, a "derivative" of a herbicide-resistant plant includes both the progeny of that herbicide-resistant plant; as the term "progeny" is defined above; and also any mutant, recombinant, or genetically-engineered derivative of that plant, whether of the same species or of a different species; where, in either case, the herbicide-resistance characteristics of the original herbicide-resistant plant have been transferred to the derivative plant. Thus a "derivative" of a rice plant with a resistant AHAS enzyme would include, by way of example and not limitation, any of the following plants that express the same resistant AHAS enzyme: F₁ progeny rice plants; F₂ progeny rice plants; F₃₀ progeny rice plants, a transgenic corn plant transformed with a herbicide resistance nucleotide sequence from the resistant rice plant, and a transgenic sweet potato plant transformed with a herbicide resistance nucleotide sequence from the resistant rice plant.

The following definitions should be understood to apply throughout the specification and claims, unless otherwise clearly indicated by context.

An "isolated" nucleic acid sequence is an oligonucleotide sequence that is located outside a living cell. A cell comprising an "isolated" nucleic acid sequence is a cell that has been transformed with a nucleic acid sequence that at one time was located outside a living cell; or a cell that is the progeny of, or a derivative of, such a cell.

A "functional" or "normal" AHAS enzyme is one that is capable of catalyzing the first step in the pathway for synthesis of the essential amino acids isoleucine, leucine, and valine; regardless of whether the enzyme expresses herbicide resistance.

A "resistant" plant is one that produces a functional AHAS enzyme, and that is capable of reaching maturity when grown in the presence of normally inhibitory levels of a herbicide that normally inhibits AHAS. The term "resistant" or "resistance," as used herein, is also intended to encompass "tolerant" plants, i.e., those plants that phenotypically evidence adverse, but not lethal, reactions to one or more AHAS herbicides. A "resistant" AHAS enzyme is a functional AHAS enzyme that retains substantially greater activity than does a wild-type AHAS enzyme in the presence of normally inhibitory levels of an AHAS herbicide, as measured by *in vitro* assays of the respective enzymes' activities. A "wild-type" or "sensitive" plant is one that produces a functional AHAS enzyme, where the plant is sensitive to normally inhibitory levels of a herbicide that normally inhibits AHAS. A "resistant" plant is a plant that is resistant to normally inhibitory levels of a herbicide that normally inhibits AHAS (either due to a resistant AHAS enzyme or

another mechanism of resistance in the plant). Note that within the contemplation of this last definition, "wild-type" plants include cultivated varieties; the designation "wild-type" refers to the presence or absence of normal levels of herbicide sensitivity, and in the context of this specification and the claims the term "wild-type" carries no connotation as to whether a particular
5 plant is the product of cultivation and artificial selection; or is found in nature in an uncultivated state.

A "wild-type" AHAS enzyme or "wild-type" AHAS sequence is an AHAS enzyme or a DNA sequence encoding an AHAS enzyme, respectively, that does not impart herbicide resistance. Thus, within the scope of this definition, a "wild-type" AHAS may, for example,
10 contain one or more mutations, provided that the mutations do not impart herbicide resistance. In some species, such as rice, as was seen for example in the varieties Kinmaze and Cypress, more than one wild-type AHAS may naturally exist in different varieties. A "wild-type" AHAS includes, for example, any of these multiple AHAS enzymes from different varieties. A "wild-type" AHAS also includes, for example, a hybrid of two or more of these wild-type AHAS
15 enzymes. (A "hybrid" of different AHAS enzymes corresponds exactly to at least one of the "parent" enzymes at every amino acid in its sequence; for example, an AHAS that is identical to the Kinmaze AHAS at amino acids 1 through 300, and that is identical to the Cypress AHAS at amino acids 301 through the carboxy terminus.)

The complete disclosures of all references cited in this specification are hereby
20 incorporated by reference, as are the complete disclosures of the present inventor's United States provisional patent application serial number 60/107,255, filed 05 November 1998; United States provisional patent application serial number 60/163,765, filed 05 November 1999; international patent application number PCT/US99/26062, filed 05 November 1999; and United States provisional application serial number 60/203,434, filed 10 May 2000. In the event of an
25 otherwise irreconcilable conflict, however, the present specification shall control. In particular, the preliminary nucleotide sequence data contained in these prior applications is not incorporated to the extent that it is superseded by the sequence data contained in the present specification.

Notes on herbicide nomenclature -- the following listing gives trade names, generic
30 names, and chemical names for various herbicides: Pursuit™ or Newpath™ (imazethapyr: (±)-2-[4,5-dihydro-4-methyl-4-(1-methylethyl)-5-oxo-1H-imidazol-2-yl]-5-ethyl-3-pyridinecarboxylic acid); Scepter™ (imazaquin: 2-[4,5-dihydro-4-methyl-4-(1-methylethyl)-5-oxo-1H-imidazol-2-yl]-3-quinolinecarboxylic acid); Accent™ (nicosulfuron: 2-((((4,6-dimethoxypyrimidin-2-yl) aminocarbonyl)) aminosulfonyl))-N,N-dimethyl-3-pyridinecarboxamide); Beacon™
35 (primisulfuron: 3-[4,6-bis (difluoromethoxy)-pyrimidin-2-yl]-1-(2-

methoxycarbonylphenylsulfonyl) urea); Raptor™ (imazamox: (+)-5-methoxymethyl-2-(4-isopropyl-4-methyl-5-oxo-2-imidazolin-2-yl) nicotinic acid; Cadre™ (imazapic: (±)-2-[4,5-dihydro-4-methyl-4-(1-methyl-ethyl)-5-oxo-1*H*-imidazol-2-yl]-5-methyl-3-pyridinecarboxylic acid; alternate chemical name (±)-2-(4-isopropyl-4-methyl-5-oxo-2-imidazolin-2-yl)-5-methylnicotinic acid); Arsenal™ (imazapyr: 2-[4,5-dihydro-4-methyl-4-(1-methylethyl)-5-oxo-1*H*-imidazol-2-yl]-3-pyridinecarboxylic acid); Oüst™ (sulfometuron methyl: chemical name 2-[[[(4,6-dimethyl-2-pyrimidinyl)amino]carbonyl]amino]sulfonyl]benzoic acid methyl ester); Ally™ (metsulfuron methyl: methyl 2-[[[(4-methoxy-6-methyl-1,3,5-triazin-2-yl)amino]carbonyl]amino]sulfonyl]benzoate); Harmony™ (mixture of thifensulfuron methyl and tribenuron methyl: mixture of methyl-3-[[[(4-methoxy-6-methyl-1,3,5-triazin-2-yl)amino]carbonyl]amino]sulfonyl]-2-thiophenecarboxylate and methyl-2-[[[N-(4-methoxy-6-methyl-1,3,5-triazin-2-yl) methylamino]carbonyl]amino]sulfonyl]benzoate); Pinnacle™ (thifensulfuron methyl: methyl-3-[[[(4-methoxy-6-methyl-1,3,5-triazin-2-yl)amino]carbonyl]amino]sulfonyl]-2-thiophenecarboxylate); Glean™ or Telar™ (chlorsulfuron: 2-chloro-*N*-[(4-methoxy-6-methyl-1,3,5-triazin-2-yl)aminocarbonyl]benzenesulfonamide); Classic™ (chlorimuron ethyl: ethyl 2-[[[(4-chloro-6-methoxypyrimidin-2-yl)amino]carbonyl]amino]sulfonyl benzoate); Express™ (tribenuron methyl: methyl 2-[[[N-(4-methoxy-6-methyl-1,3,5-triazin-2-yl)methylamino]carbonyl]amino]sulfonyl benzoate); Assert™ (imazamethabenz methyl: *m*-toluic acid, 6-(4-isopropyl-4-methyl-5-oxo-2-imidazolin-2-yl)-, methyl ester; and *p*-toluic acid, 2-(4-isopropyl-4-methyl-5-oxo-2-imidazolin-2-yl)-, methyl ester); and Amber™ (triasulfuron: 3-(6-methoxy-4-methyl-1,3,5-triazin-2-yl)-1-[2-(2-chloroethoxy)-phenylsulfonyl]-urea); Staple™ (pyrithiobac sodium: sodium 2-chloro-6-[(4,6-dimethoxy pyrimidin-2-yl)thio]benzoate); and Matrix™ (rimsulfuron: *N*-[(4,6-dimethoxypyrimidin-2-yl)aminocarbonyl]-3-(ethylsulfonyl)-2-pyridinesulfonamide).

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Note on amino acid numbering convention used in the specification and claims: As used in the Claims, and as used in the specification unless context clearly indicates otherwise, the amino acids of rice AHAS are numbered as corresponding to the numbering shown for the wild-type (Cypress) AHAS sequence (SEQ ID NO 17), or the corresponding position in other AHAS coding sequences where variations exist (such as were seen here for the variety Kinmaze). In particular, amino acid position 627 is the amino acid corresponding to amino acid 627 in SEQ ID NO 17, which is the serine residue near the carboxy terminus in the wild type rice AHAS. Nucleotide positions are generally indicated indirectly, by reference to the number of the amino acid encoded by a particular codon. For example, the codon in the wild-type (Cypress) AHAS coding sequence that corresponds to amino acid 627 is the AGT codon appearing in SEQ ID NO

35

14 at nucleotides 1879-1881. By contrast, in the wild-type (Kimmaze) sequence of SEQ ID NO 2, this codon appears at nucleotides 1926-1928. Amino acid positions and corresponding nucleotide positions for other species are determined by homology-- for example, the amino acid or codon that is in the position that is homologous to amino acid 627 (wild type serine) in rice, or to the corresponding codon. Such homology may be determined through software commonly used in the art, such as GAP, PILEUP, or BLAST.

INDICATIONS RELATING TO DEPOSITED MICROORGANISM
OR OTHER BIOLOGICAL MATERIAL

(PCT Rule 13bis)

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BUDAPEST TREATY ON THE INTERNATIONAL RECOGNITION OF THE DEPOSIT OF MICROORGANISMS FOR THE PURPOSES OF PATENT PROCEDURE

INTERNATIONAL FORM

RECEIPT IN THE CASE OF AN ORIGINAL DEPOSIT ISSUED PURSUANT TO RULE 7.3 AND VIABILITY STATEMENT ISSUED PURSUANT TO RULE 10.2

To: (Name and Address of Depositor or Attorney)

Taylor, Porter, Brooks & Phillips, L.L.P.
Attn: John H. Runnels
P.O. Box 2471
Baton Rouge, LA 70821-2471

Deposited on Behalf of: Board of Supervisors of Louisiana State University and Agricultural and
Mechanical College (Ref. Docket 98A9-PCT)

Identification Reference by Depositor:

Patent Deposit Designation

Oryza sativa L. rice seeds:

CMC29
CMC31
PWC 16
PWC23

PTA-902
PTA-903
PTA-904
PTA-905

The seeds were accompanied by: a scientific description X a proposed taxonomic description indicated above.
The seeds were received November 2, 1999 by this International Depository Authority and have been accepted.

AT YOUR REQUEST: X We will inform you of requests for the seeds for 30 years.

The seeds will be made available if a patent office signatory to the Budapest Treaty certifies one's right to receive, or if a U.S. Patent is issued citing the seeds and ATCC is instructed by the United States Patent & Trademark Office or the depositor to release said seeds.

If the seeds should die or be destroyed during the effective term of the deposit, it shall be your responsibility to replace them with viable seeds of the same.

The seeds will be maintained for a period of at least 30 years from date of deposit, or five years after the most recent request for a sample, whichever is longer. The United States and many other countries are signatory to the Budapest Treaty.

The viability of the seeds cited above was tested November 22, 1999. On that date, the seeds were viable.

International Depository Authority: American Type Culture Collection, Manassas, VA 20110-2209 USA.

Signature of person having authority to represent ATCC:

Barbara M. Hailey
Barbara M. Hailey, Administrator, Patent Depository

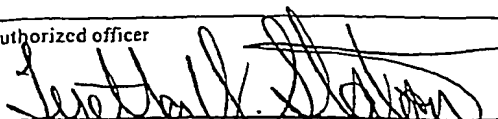
Date: November 22, 1999

Applicant's or agent's file reference 98A9.2-PCT Croughan	International application No.
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INDICATIONS RELATING TO DEPOSITED MICROORGANISM
OR OTHER BIOLOGICAL MATERIAL

(PCT Rule 13bis)

A. The indications made below relate to the deposited microorganism or other biological material referred to in the description on page <u>17</u> , line <u>25-30</u>	
B. IDENTIFICATION OF DEPOSIT Further deposits are identified on an additional sheet <input checked="" type="checkbox"/>	
Name of depositary institution American Type Culture Collection	
Address of depositary institution (including postal code and country) 10801 University Boulevard Manassas, VA 20110-2209 United States of America	
Date of deposit 02 November 1999	Accession Number PTA-903
C. ADDITIONAL INDICATIONS (leave blank if not applicable) This information is continued on an additional sheet <input type="checkbox"/>	
D. DESIGNATED STATES FOR WHICH INDICATIONS ARE MADE (if the indications are not for all designated States)	
E. SEPARATE FURNISHING OF INDICATIONS (leave blank if not applicable) The indications listed below will be submitted to the International Bureau later (specify the general nature of the indications e.g., "Accession Number of Deposit")	

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BUDAPEST TREATY ON THE INTERNATIONAL RECOGNITION OF THE DEPOSIT OF MICROORGANISMS FOR THE PURPOSES OF PATENT PROCEDURE

INTERNATIONAL FORM

RECEIPT IN THE CASE OF AN ORIGINAL DEPOSIT ISSUED PURSUANT TO RULE 7.3 AND VIABILITY STATEMENT ISSUED PURSUANT TO RULE 10.2

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Deposited on Behalf of: Board of Supervisors of Louisiana State University and Agricultural and
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Identification Reference by Depositor:

Patent Deposit Designation

Oryza sativa L. rice seeds:

CMC29
CMC31
PWC 16
PWC23

PTA-902
PTA-903
PTA-904
PTA-905

The seeds were accompanied by: ☐ a scientific description ☒ a proposed taxonomic description indicated above.
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AT YOUR REQUEST: ☒ We will inform you of requests for the seeds for 30 years.

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Signature of person having authority to represent ATCC:

Barbara M. Hailey
Barbara M. Hailey, Administrator, Patent Depository

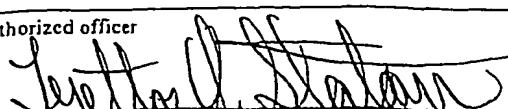
Date: November 22, 1999

Applicant's or agent's file reference 98A9.2-PCT Croughan	International application No.
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INDICATIONS RELATING TO DEPOSITED MICROORGANISM
OR OTHER BIOLOGICAL MATERIAL

(PCT Rule 13bis)

A. The indications made below relate to the deposited microorganism or other biological material referred to in the description on page <u>17</u> , line <u>25-30</u>	
B. IDENTIFICATION OF DEPOSIT Further deposits are identified on an additional sheet <input checked="" type="checkbox"/>	
Name of depositary institution American Type Culture Collection	
Address of depositary institution (including postal code and country) 10801 University Boulevard Manassas, VA 20110-2209 United States of America	
Date of deposit 02 November 1999	Accession Number PTA-904
C. ADDITIONAL INDICATIONS (leave blank if not applicable) This information is continued on an additional sheet <input type="checkbox"/>	
D. DESIGNATED STATES FOR WHICH INDICATIONS ARE MADE (if the indications are not for all designated States)	
E. SEPARATE FURNISHING OF INDICATIONS (leave blank if not applicable)	
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BUDAPEST TREATY ON THE INTERNATIONAL RECOGNITION OF THE DEPOSIT OF MICROORGANISMS FOR THE PURPOSES OF PATENT PROCEDURE

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Deposited on Behalf of: Board of Supervisors of Louisiana State University and Agricultural and
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Identification Reference by Depositor:

Patent Deposit Designation

Oryza sativa L. rice seeds:

CMC29
CMC31
PWC 16
PWC23

PTA-902
PTA-903
PTA-904
PTA-905

The seeds were accompanied by: a scientific description X a proposed taxonomic description indicated above.
The seeds were received November 2, 1999 by this International Depository Authority and have been accepted.

AT YOUR REQUEST: X We will inform you of requests for the seeds for 30 years.

The seeds will be made available if a patent office signatory to the Budapest Treaty certifies one's right to receive,
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Treaty.

The viability of the seeds cited above was tested November 22, 1999. On that date, the seeds were viable.

International Depository Authority: American Type Culture Collection, Manassas, VA 20110-2209 USA.

Signature of person having authority to represent ATCC:

Barbara M. Hailey
Barbara M. Hailey, Administrator, Patent Depository

Date: November 22, 1999

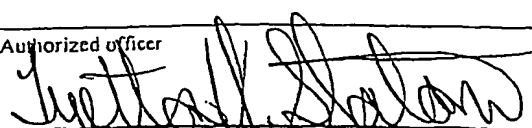
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Applicant's or agent's file reference 98A9.2-PCT Croughan	International application No.
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INDICATIONS RELATING TO DEPOSITED MICROORGANISM
OR OTHER BIOLOGICAL MATERIAL

(PCT Rule 13bis)

A. The indications made below relate to the deposited microorganism or other biological material referred to in the description on page <u>17</u> line <u>25-30</u>	
B. IDENTIFICATION OF DEPOSIT Further deposits are identified on an additional sheet <input checked="" type="checkbox"/>	
Name of depositary institution American Type Culture Collection	
Address of depositary institution (including postal code and country) 10801 University Boulevard Manassas, VA 20110-2209 United States of America	
Date of deposit 02 November 1999	Accession Number PTA-905
C. ADDITIONAL INDICATIONS (leave blank if not applicable) This information is continued on an additional sheet <input type="checkbox"/>	
D. DESIGNATED STATES FOR WHICH INDICATIONS ARE MADE (if the indications are not for all designated States)	
E. SEPARATE FURNISHING OF INDICATIONS (leave blank if not applicable) The indications listed below will be submitted to the International Bureau later (specify the general nature of the indications e.g., "Accession Number of Deposit")	

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BUDAPEST TREATY ON THE INTERNATIONAL RECOGNITION OF THE DEPOSIT OF MICROORGANISMS FOR THE PURPOSES OF PATENT PROCEDURE

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Attn: John H. Runnels
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Baton Rouge, LA 70821-2471

Deposited on Behalf of: Board of Supervisors of Louisiana State University and Agricultural and
Mechanical College (Ref. Docket 98A9-PCT)

Identification Reference by Depositor:

Patent Deposit Designation

Oryza sativa L. rice seeds:

CMC29
CMC31
PWC 16
PWC23

PTA-902
PTA-903
PTA-904
PTA-905

The seeds were accompanied by: a scientific description X a proposed taxonomic description indicated above.
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The viability of the seeds cited above was tested November 22, 1999. On that date, the seeds were viable.

International Depository Authority: American Type Culture Collection, Manassas, VA 20110-2209 USA.

Signature of person having authority to represent ATCC:

Barbara M. Hailey
Barbara M. Hailey, Administrator, Patent Depository

Date: November 22, 1999

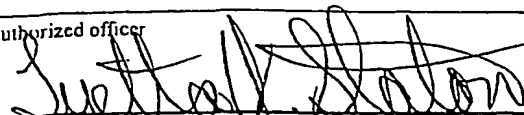
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Applicant's or agent's file reference 98A9.2-PCT Croughan	International application No.
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INDICATIONS RELATING TO DEPOSITED MICROORGANISM
OR OTHER BIOLOGICAL MATERIAL

(PCT Rule 13bis)

A. The indications made below relate to the deposited microorganism or other biological material referred to in the description on page <u>17</u> , line <u>25-30</u>	
B. IDENTIFICATION OF DEPOSIT	
Further deposits are identified on an additional sheet <input checked="" type="checkbox"/>	
Name of depositary institution American Type Culture Collection	
Address of depositary institution (including postal code and country) 10801 University Boulevard Manassas, VA 20110-2209 United States of America	
Date of deposit 02 November 1999	Accession Number PTA-906
C. ADDITIONAL INDICATIONS (leave blank if not applicable)	
This information is continued on an additional sheet <input type="checkbox"/>	
D. DESIGNATED STATES FOR WHICH INDICATIONS ARE MADE (if the indications are not for all designated States)	
E. SEPARATE FURNISHING OF INDICATIONS (leave blank if not applicable)	
The indications listed below will be submitted to the International Bureau later (specify the general nature of the indications e.g., "Accession Number of Deposit")	

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BUDAPEST TREATY ON THE INTERNATIONAL RECOGNITION OF THE DEPOSIT OF MICROORGANISMS FOR THE PURPOSES OF PATENT PROCEDURE

INTERNATIONAL FORM

RECEIPT IN THE CASE OF AN ORIGINAL DEPOSIT ISSUED PURSUANT TO RULE 7.3 AND VIABILITY STATEMENT ISSUED PURSUANT TO RULE 10.2

To: (Name and Address of Depositor or Attorney)

Taylor, Porter, Brooks & Phillips, L.L.P.
Attn: John H. Runnels
P.O. Box 2471
Baton Rouge, LA 70821-2471

Deposited on Behalf of: Board of Supervisors of Louisiana State University and Agricultural and
Mechanical College (Ref. Docket 98A9-PCT)

Identification Reference by Depositor:

Patent Deposit Designation

Oryza sativa L. rice seeds:

WDC33
WDC37
WDC38

PTA-906
PTA-907
PTA-908

The seeds were accompanied by: a scientific description X a proposed taxonomic description indicated above.
The seeds were received November 2, 1999 by this International Depository Authority and have been accepted.

AT YOUR REQUEST: X We will inform you of requests for the seeds for 30 years.

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The viability of the seeds cited above was tested November 22, 1999. On that date, the seeds were viable.

International Depository Authority: American Type Culture Collection, Manassas, VA 20110-2209 USA.

Signature of person having authority to represent ATCC:

Barbara M. Hailey
Barbara M. Hailey, Administrator, Patent Depository

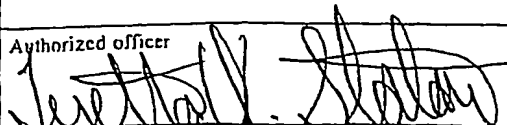
Date: November 22, 1999

Applicant's or agent's file reference 98A9.2-PCT Croughan	International application No.
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INDICATIONS RELATING TO DEPOSITED MICROORGANISM
OR OTHER BIOLOGICAL MATERIAL

(PCT Rule 13bis)

A. The indications made below relate to the deposited microorganism or other biological material referred to in the description on page <u>17</u> ; line <u>25-30</u>	
B. IDENTIFICATION OF DEPOSIT Further deposits are identified on an additional sheet <input checked="" type="checkbox"/>	
Name of depositary institution American Type Culture Collection	
Address of depositary institution (including postal code and country) 10801 University Boulevard Manassas, VA 20110-2209 United States of America	
Date of deposit 02 November 1999	Accession Number PTA-907
C. ADDITIONAL INDICATIONS (leave blank if not applicable) This information is continued on an additional sheet <input type="checkbox"/>	
D. DESIGNATED STATES FOR WHICH INDICATIONS ARE MADE (if the indications are not for all designated States)	
E. SEPARATE FURNISHING OF INDICATIONS (leave blank if not applicable)	
The indications listed below will be submitted to the International Bureau later (specify the general nature of the indications e.g., "Accession Number of Deposit")	

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BUDAPEST TREATY ON THE INTERNATIONAL RECOGNITION OF THE DEPOSIT OF MICROORGANISMS FOR THE PURPOSES OF PATENT PROCEDURE

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Attn: John H. Runnels
P.O. Box 2471
Baton Rouge, LA 70821-2471

Deposited on Behalf of:

Board of Supervisors of Louisiana State University and Agricultural and
Mechanical College (Ref. Docket 98A9-PCT)

Identification Reference by Depositor:

Patent Deposit Designation

Oryza sativa L. rice seeds:

WDC33
WDC37
WDC38

PTA-906
PTA-907
PTA-908

The seeds were accompanied by: a scientific description X a proposed taxonomic description indicated above.
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AT YOUR REQUEST: X We will inform you of requests for the seeds for 30 years.

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The viability of the seeds cited above was tested November 22, 1999. On that date, the seeds were viable.

International Depository Authority: American Type Culture Collection, Manassas, VA 20110-2209 USA.

Signature of person having authority to represent ATCC:

Barbara M. Hailey
Barbara M. Hailey, Administrator, Patent Depository


Date: November 22, 1999

Applicant's or agent's filing reference 98A9.2-PCT Croughan	International application No.
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INDICATIONS RELATING TO DEPOSITED MICROORGANISM
OR OTHER BIOLOGICAL MATERIAL

(PCT Rule 13bis)

A. The indications made below relate to the deposited microorganism or other biological material referred to in the description on page <u>17</u> line <u>25-30</u>	
B. IDENTIFICATION OF DEPOSIT	
Further deposits are identified on an additional sheet <input checked="" type="checkbox"/>	
Name of depositary institution American Type Culture Collection	
Address of depositary institution (including postal code and country) 10801 University Boulevard Manassas, VA 20110-2209 United States of America	
Date of deposit 02 November 1999	Accession Number PTA-908
C. ADDITIONAL INDICATIONS (leave blank if not applicable) This information is continued on an additional sheet <input type="checkbox"/>	
D. DESIGNATED STATES FOR WHICH INDICATIONS ARE MADE (if the indications are not for all designated States)	
E. SEPARATE FURNISHING OF INDICATIONS (leave blank if not applicable)	
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BUDAPEST TREATY ON THE INTERNATIONAL RECOGNITION OF THE DEPOSIT OF MICROORGANISMS FOR THE PURPOSES OF PATENT PROCEDURE

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Attn: John H. Runnels
P.O. Box 2471
Baton Rouge, LA 70821-2471

Deposited on Behalf of: Board of Supervisors of Louisiana State University and Agricultural and
Mechanical College (Ref. Docket 98A9-PCT)

Identification Reference by Depositor:

Patent Deposit Designation

Oryza sativa L. rice seeds:

WDC33
WDC37
WDC38

PTA-906
PTA-907
PTA-908

The seeds were accompanied by: a scientific description X a proposed taxonomic description indicated above.
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International Depository Authority: American Type Culture Collection, Manassas, VA 20110-2209 USA.

Signature of person having authority to represent ATCC:

Barbara M. Hailey
Barbara M. Hailey, Administrator, Patent Depository


Date: November 22, 1999

Applicant's or agent's file reference 98A9.2-PCT Croughan	International application No.
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**INDICATIONS RELATING TO DEPOSITED MICROORGANISM
OR OTHER BIOLOGICAL MATERIAL**

(PCT Rule 13bis)

A. The indications made below relate to the deposited microorganism or other biological material referred to in the description on page <u>16</u> , line <u>11-18</u>	
B. IDENTIFICATION OF DEPOSIT	
Further deposits are identified on an additional sheet <input checked="" type="checkbox"/>	
Name of depositary institution American Type Culture Collection	
Address of depositary institution (including postal code and country) 10801 University Boulevard Manassas, VA 20110-2209 United States of America	
Date of deposit 05 November 1998	Accession Number 203419
C. ADDITIONAL INDICATIONS (leave blank if not applicable) This information is continued on an additional sheet <input type="checkbox"/>	
D. DESIGNATED STATES FOR WHICH INDICATIONS ARE MADE (if the indications are not for all designated States)	
E. SEPARATE FURNISHING OF INDICATIONS (leave blank if not applicable)	
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BUDAPEST TREATY ON THE INTERNATIONAL RECOGNITION OF THE DEPOSIT OF MICROORGANISMS FOR THE PURPOSES OF PATENT PROCEDURE

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Attn: John H. Runnels
P.O. Box 2471
Baton Rouge, LA 70821-2471

Deposited on Behalf of: Board of Supervisors of Louisiana State University and Agricultural
and Mechanical College (Ref. Docket 98A9)

Identification Reference by Depositor:

ATCC Designation

Rice seed (<i>Oryza sativa</i> L.) SSC01	203419
Rice seed (<i>Oryza sativa</i> L.) SSC02	203420
Rice seed (<i>Oryza sativa</i> L.) SSC03	203421
Rice seed (<i>Oryza sativa</i> L.) SSC04	203422

The seeds were accompanied by: ☐ a scientific description ☒ a proposed taxonomic description indicated above. The seeds were received November 5, 1998 by this International Depository Authority and have been accepted.

AT YOUR REQUEST: ☒ We will inform you of requests for the seeds for 30 years.

The seeds will be made available if a patent office signatory to the Budapest Treaty certifies one's right to receive, or if a U.S. Patent is issued citing the seeds and ATCC is instructed by the United States Patent & Trademark Office or the depositor to release said seeds.

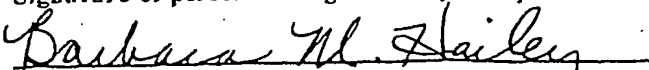
If the seeds should die or be destroyed during the effective term of the deposit, it shall be your responsibility to replace them with viable seeds of the same.

The seeds will be maintained for a period of at least 30 years from date of deposit, or five years after the most recent request for a sample, whichever is longer. The United States and many other countries are signatory to the Budapest Treaty.

The viability of the seeds cited above was tested November 17, 1998. On that date, the seeds were viable.

International Depository Authority: American Type Culture Collection, Manassas, VA 20110-2209 USA.

Signature of person having authority to represent ATCC:


Barbara M. Hailey, Administrator, Patent Depository


Date: November 18, 1998

Applicant's or agent's file reference 98A9.2-PCT Croughan	International application No.
--	-------------------------------

INDICATIONS RELATING TO DEPOSITED MICROORGANISM
OR OTHER BIOLOGICAL MATERIAL

(PCT Rule 13bis)

A. The indications made below relate to the deposited microorganism or other biological material referred to in the description on page <u>16</u> , line <u>11-18</u>	
B. IDENTIFICATION OF DEPOSIT Further deposits are identified on an additional sheet <input checked="" type="checkbox"/>	
Name of depositary institution <p style="text-align: center;">American Type Culture Collection</p>	
Address of depositary institution (including postal code and country) <p style="text-align: center;">10801 University Boulevard Manassas, VA 20110-2209 United States of America</p>	
Date of deposit <p style="text-align: center;">05 November 1998</p>	Accession Number <p style="text-align: center;">203420</p>
C. ADDITIONAL INDICATIONS (leave blank if not applicable) This information is continued on an additional sheet <input type="checkbox"/>	
D. DESIGNATED STATES FOR WHICH INDICATIONS ARE MADE (if the indications are not for all designated States)	
E. SEPARATE FURNISHING OF INDICATIONS (leave blank if not applicable)	
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For receiving Office use only	For International Bureau use only
<input checked="" type="checkbox"/> This sheet was received with the international application	<input type="checkbox"/> This sheet was received by the International Bureau on:
Authorized officer 	Authorized officer

ATCC

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BUDAPEST TREATY ON THE INTERNATIONAL RECOGNITION OF THE DEPOSIT OF MICROORGANISMS FOR THE PURPOSES OF PATENT PROCEDURE

INTERNATIONAL FORM

RECEIPT IN THE CASE OF AN ORIGINAL DEPOSIT ISSUED PURSUANT TO RULE 7.3 AND VIABILITY STATEMENT ISSUED PURSUANT TO RULE 10.2

To: (Name and Address of Depositor or Attorney)

Taylor, Porter, Brooks & Phillips, L.L.P.
Attn: John H. Runnels
P.O. Box 2471
Baton Rouge, LA 70821-2471

Deposited on Behalf of: Board of Supervisors of Louisiana State University and Agricultural
and Mechanical College (Ref. Docket 98A9)

Identification Reference by Depositor:

ATCC Designation

Rice seed (*Oryza sativa* L.) SSC01
Rice seed (*Oryza sativa* L.) SSC02
Rice seed (*Oryza sativa* L.) SSC03
Rice seed (*Oryza sativa* L.) SSC04

203419
203420
203421
203422

The seeds were accompanied by: ☐ a scientific description ☒ a proposed taxonomic description indicated
above. The seeds were received November 5, 1998 by this International Depository Authority and have been
accepted.

AT YOUR REQUEST: ☒ We will inform you of requests for the seeds for 30 years.

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Trademark Office or the depositor to release said seeds.

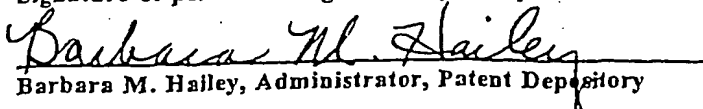
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International Depository Authority: American Type Culture Collection, Manassas, VA 20110-2209 USA.

Signature of person having authority to represent ATCC:


Barbara M. Hailey, Administrator, Patent Depository

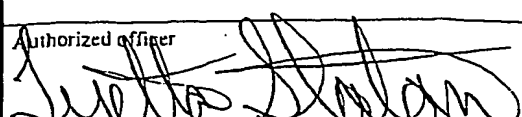
Date: November 18, 1998

Applicant's or agent's file reference 98A9.2-PCT Croughan	International application No.
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INDICATIONS RELATING TO DEPOSITED MICROORGANISM
OR OTHER BIOLOGICAL MATERIAL

(PCT Rule 13bis)

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Further deposits are identified on an additional sheet <input checked="" type="checkbox"/>	
Name of depositary institution American Type Culture Collection	
Address of depositary institution (including postal code and country) 10801 University Boulevard Manassas, VA 20110-2209 United States of America	
Date of deposit 05 November 1998	Accession Number 203421
C. ADDITIONAL INDICATIONS (leave blank if not applicable)	
This information is continued on an additional sheet <input type="checkbox"/>	
D. DESIGNATED STATES FOR WHICH INDICATIONS ARE MADE (if the indications are not for all designated States)	
E. SEPARATE FURNISHING OF INDICATIONS (leave blank if not applicable).	
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Authorized officer 	Authorized officer

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**BUDAPEST TREATY ON THE INTERNATIONAL RECOGNITION OF
THE DEPOSIT OF MICROORGANISMS FOR THE PURPOSES OF PATENT PROCEDURE****INTERNATIONAL FORM****RECEIPT IN THE CASE OF AN ORIGINAL DEPOSIT ISSUED PURSUANT TO RULE 7.3
AND VIABILITY STATEMENT ISSUED PURSUANT TO RULE 10.2****To: (Name and Address of Depositor or Attorney)**Taylor, Porter, Brooks & Phillips, L.L.P.
Attn: John H. Runnels
P.O. Box 2471
Baton Rouge, LA 70821-2471Deposited on Behalf of: Board of Supervisors of Louisiana State University and Agricultural
and Mechanical College (Ref. Docket 98A9)**Identification Reference by Depositor:****ATCC Designation**

Rice seed (<i>Oryza sativa</i> L.) SSC01	203419
Rice seed (<i>Oryza sativa</i> L.) SSC02	203420
Rice seed (<i>Oryza sativa</i> L.) SSC03	203421
Rice seed (<i>Oryza sativa</i> L.) SSC04	203422

The seeds were accompanied by: ☐ a scientific description ☒ a proposed taxonomic description indicated above. The seeds were received November 5, 1998 by this International Depository Authority and have been accepted.**AT YOUR REQUEST:** ☒ We will inform you of requests for the seeds for 30 years.

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Signature of person having authority to represent ATCC:

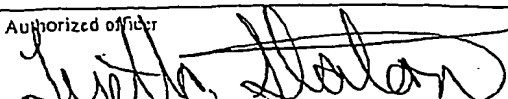

Barbara M. Hailey, Administrator, Patent DepositoryDate: November 18, 1998

Applicant's or agent's file reference 98A9.2-PCT Croughan	International application No.
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INDICATIONS RELATING TO DEPOSITED MICROORGANISM
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(PCT Rule 13bis)

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Name of depository institution American Type Culture Collection	
Address of depository institution (including postal code and country) 10801 University Boulevard Manassas, VA 20110-2209 United States of America	
Date of deposit 05 November 1998	Accession Number 203422
C. ADDITIONAL INDICATIONS (leave blank if not applicable) This information is continued on an additional sheet <input type="checkbox"/>	
D. DESIGNATED STATES FOR WHICH INDICATIONS ARE MADE (if the indications are not for all designated States)	
E. SEPARATE FURNISHING OF INDICATIONS (leave blank if not applicable)	
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BUDAPEST TREATY ON THE INTERNATIONAL RECOGNITION OF THE DEPOSIT OF MICROORGANISMS FOR THE PURPOSES OF PATENT PROCEDURE

INTERNATIONAL FORM

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AND VIABILITY STATEMENT ISSUED PURSUANT TO RULE 10.2

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Attn: John H. Runnels
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Deposited on Behalf of: Board of Supervisors of Louisiana State University and Agricultural
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Identification Reference by Depositor:

ATCC Designation

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Rice seed (<i>Oryza sativa</i> L.) SSC02	203420
Rice seed (<i>Oryza sativa</i> L.) SSC03	203421
Rice seed (<i>Oryza sativa</i> L.) SSC04	203422

The seeds were accompanied by: ☐ a scientific description ☒ a proposed taxonomic description indicated above. The seeds were received November 5, 1998 by this International Depository Authority and have been accepted.

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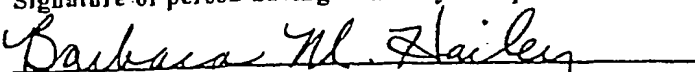
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International Depository Authority: American Type Culture Collection, Manassas, VA 20110-2209 USA.

Signature of person having authority to represent ATCC:


Barbara M. Hailey, Administrator, Patent Depository

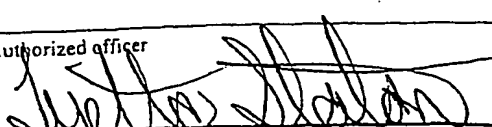
Date: November 18, 1998

Applicant's or agent's file reference 98A9.2-PCT Croughan	International application No.
--	-------------------------------

INDICATIONS RELATING TO DEPOSITED MICROORGANISM
OR OTHER BIOLOGICAL MATERIAL

(PCT Rule 13bis)

A. The indications made below relate to the deposited microorganism or other biological material referred to in the description on page <u>16</u> , line <u>11-18</u>	
B. IDENTIFICATION OF DEPOSIT	
Further deposits are identified on an additional sheet <input checked="" type="checkbox"/>	
Name of depositary institution American Type Culture Collection	
Address of depositary institution (including postal code and country) 10801 University Boulevard Manassas, VA 20110-2209 United States of America	
Date of deposit 05 November 1998	Accession Number 203423
C. ADDITIONAL INDICATIONS (leave blank if not applicable)	
This information is continued on an additional sheet <input type="checkbox"/>	
D. DESIGNATED STATES FOR WHICH INDICATIONS ARE MADE (if the indications are not for all designated States)	
E. SEPARATE FURNISHING OF INDICATIONS (leave blank if not applicable)	
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BUDAPEST TREATY ON THE INTERNATIONAL RECOGNITION OF
THE DEPOSIT OF MICROORGANISMS FOR THE PURPOSES OF PATENT PROCEDURE

INTERNATIONAL FORM

RECEIPT IN THE CASE OF AN ORIGINAL DEPOSIT ISSUED PURSUANT TO RULE 7.3
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Taylor, Porter, Brooks & Phillips, L.L.P.
Attn: John H. Runnels
P.O. Box 2471
Baton Rouge, LA 70821-2471

RECEIVED
11/23/98
TAYLOR, PORTER, BROOKS & PHILLIPS

Deposited on Behalf of: Board of Supervisors of Louisiana State University and Agricultural
and Mechanical College (Ref. Docket 98A9)

Identification Reference by Depositor:

ATCC Designation

Rice seed (*Oryza sativa* L.) SSC05
Rice seed (*Oryza sativa* L.) SSC06
Rice seed (*Oryza sativa* L.) SSC07
Rice Seed (*Oryza sativa* L.) SSC08

203423
203424
203425
203426

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International Depository Authority: American Type Culture Collection, Manassas, VA 20110-2209 USA.

Signature of person having authority to represent ATCC:

Barbara M. Hailey
Barbara M. Hailey, Administrator, Patent Depository

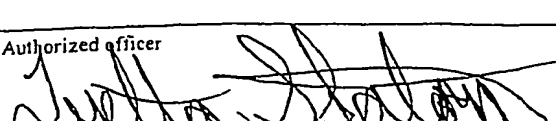
Date: November 18, 1998

Applicant's or agent's file reference 98A9.2-PCT Croughan	International application No. 1118
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INDICATIONS RELATING TO DEPOSITED MICROORGANISM
OR OTHER BIOLOGICAL MATERIAL

(PCT Rule 13bis)

A. The indications made below relate to the deposited microorganism or other biological material referred to in the description on page 16 , line 1118	
B. IDENTIFICATION OF DEPOSIT Further deposits are identified on an additional sheet <input checked="" type="checkbox"/>	
Name of depositary institution American Type Culture Collection	
Address of depositary institution (including postal code and country) 10801 University Boulevard Manassas, VA 20110-2209 United States of America	
Date of deposit 05 November 1998	Accession Number 203424
C. ADDITIONAL INDICATIONS (leave blank if not applicable) This information is continued on an additional sheet <input type="checkbox"/>	
D. DESIGNATED STATES FOR WHICH INDICATIONS ARE MADE (if the indications are not for all designated States)	
E. SEPARATE FURNISHING OF INDICATIONS (leave blank if not applicable)	
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**BUDAPEST TREATY ON THE INTERNATIONAL RECOGNITION OF
THE DEPOSIT OF MICROORGANISMS FOR THE PURPOSES OF PATENT PROCEDURE****INTERNATIONAL FORM****RECEIPT IN THE CASE OF AN ORIGINAL DEPOSIT ISSUED PURSUANT TO RULE 7.3
AND VIABILITY STATEMENT ISSUED PURSUANT TO RULE 10.2****To: (Name and Address of Depositor or Attorney)**Taylor, Porter, Brooks & Phillips, L.L.P.
Attn: John H. Runnels
P.O. Box 2471
Baton Rouge, LA 70821-2471**RECEIVED**
11/23/98
TAYLOR, PORTER, BROOKS & PHILLIPS**Deposited on Behalf of:** Board of Supervisors of Louisiana State University and Agricultural
and Mechanical College (Ref. Docket 98A9)**Identification Reference by Depositor:****ATCC Designation**

Rice seed (<i>Oryza sativa</i> L.) SSC05	203423
Rice seed (<i>Oryza sativa</i> L.) SSC06	203424
Rice seed (<i>Oryza sativa</i> L.) SSC07	203425
Rice Seed (<i>Oryza sativa</i> L.) SSC08	203426

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Signature of person having authority to represent ATCC:

Barbara M. Hailey
Barbara M. Hailey, Administrator, Patent Depository

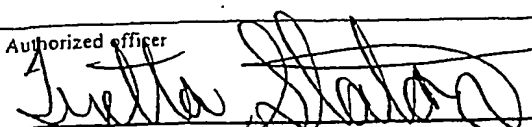
Date: November 18, 1998

Applicant's or agent's file reference 98A9.2-PCT Croughan	International application No.
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Date of deposit 05 November 1998	Accession Number 203425
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**BUDAPEST TREATY ON THE INTERNATIONAL RECOGNITION OF
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P.O. Box 2471
Baton Rouge, LA 70821-2471**RECEIVED**
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TAYLOR, PORTER, BROOKS & PHILLIPS**Deposited on Behalf of:** Board of Supervisors of Louisiana State University and Agricultural
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Rice seed (*Oryza sativa* L.) SSC06
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Rice Seed (*Oryza sativa* L.) SSC08203423
203424
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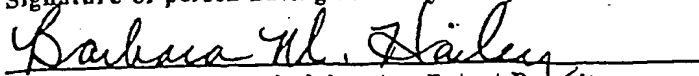
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International Depository Authority: American Type Culture Collection, Manassas, VA 20110-2209 USA.

Signature of person having authority to represent ATCC:
Barbara M. Hailey, Administrator, Patent Depository**Date:** November 18, 1998

Applicant's or agent's file reference 98A9.2-PCT Croughan	International application No.
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INDICATIONS RELATING TO DEPOSITED MICROORGANISM
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Rice seed (*Oryza sativa* L.) SSC06
Rice seed (*Oryza sativa* L.) SSC07
Rice Seed (*Oryza sativa* L.) SSC08

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If the seeds should die or be destroyed during the effective term of the deposit, it shall be your responsibility to replace them with viable seeds of the same.

The seeds will be maintained for a period of at least 30 years from date of deposit, or five years after the most recent request for a sample, whichever is longer. The United States and many other countries are signatory to the Budapest Treaty.

The viability of the seeds cited above was tested November 17, 1998. On that date, the seeds were viable.

International Depository Authority: American Type Culture Collection, Manassas, VA 20110-2209 USA.

Signature of person having authority to represent ATCC:

Barbara M. Hailey
Barbara M. Hailey, Administrator, Patent Depository


Date: November 18, 1998

Applicant's or agent's file reference, 98A9.2-PCT Croughan	International application No. 98/0115072
--	---

**INDICATIONS RELATING TO DEPOSITED MICROORGANISM
OR OTHER BIOLOGICAL MATERIAL**

(PCT Rule 13bis)

A. The indications made below relate to the deposited microorganism or other biological material referred to in the description on page 16 , line 11-18	
B. IDENTIFICATION OF DEPOSIT Further deposits are identified on an additional sheet <input checked="" type="checkbox"/>	
Name of depositary institution American Type Culture Collection	
Address of depositary institution (including postal code and country) 10801 University Boulevard Manassas, VA 20110-2209 United States of America	
Date of deposit 05 November 1998	Accession Number 203427
C. ADDITIONAL INDICATIONS (leave blank if not applicable) This information is continued on an additional sheet <input type="checkbox"/>	
D. DESIGNATED STATES FOR WHICH INDICATIONS ARE MADE (if the indications are not for all designated States)	
E. SEPARATE FURNISHING OF INDICATIONS (leave blank if not applicable)	
The indications listed below will be submitted to the International Bureau later (specify the general nature of the indications e.g., "Accession Number of Deposit") 	

For receiving Office use only <input checked="" type="checkbox"/> This sheet was received with the international application Authorized officer 	For International Bureau use only <input type="checkbox"/> This sheet was received by the International Bureau on: Authorized officer
---	--

ATCC

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**BUDAPEST TREATY ON THE INTERNATIONAL RECOGNITION OF
THE DEPOSIT OF MICROORGANISMS FOR THE PURPOSES OF PATENT PROCEDURE
INTERNATIONAL FORM****RECEIPT IN THE CASE OF AN ORIGINAL DEPOSIT ISSUED PURSUANT TO RULE 7.3
AND VIABILITY STATEMENT ISSUED PURSUANT TO RULE 10.2****To: (Name and Address of Depositor or Attorney)**Taylor, Porter, Brooks & Phillips, L.L.P.
Attn: John H. Runnels
P.O. Box 2471
Baton Rouge, LA 70821-2471**RECEIVED**

11/23/98

TAYLOR, PORTER, BROOKS & PHILLIPS

Deposited on Behalf of: Board of Supervisors of Louisiana State University and Agricultural
and Mechanical College (Ref. Docket 98A9)**Identification Reference by Depositor:****ATCC Designation**

Rice seed (<i>Oryza sativa</i> L.) SSC09	203427
Rice seed (<i>Oryza sativa</i> L.) SSC10	203428
Rice seed (<i>Oryza sativa</i> L.) SSC11	203429
Rice seed (<i>Oryza sativa</i> L.) SSC12	203430

The seeds were accompanied by: ☐ a scientific description ☒ a proposed taxonomic description indicated above. The seeds were received November 5, 1998 by this International Depository Authority and have been accepted.

AT YOUR REQUEST: ☒ We will inform you of requests for the seeds for 30 years.

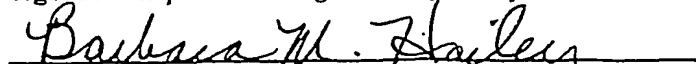
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International Depository Authority: American Type Culture Collection, Manassas, VA 20110-2209 USA.

Signature of person having authority to represent ATCC:
Barbara M. Hailey, Administrator, Patent Depository**Date:** November 18, 1998

Applicant's or agent's file reference	98A9.2-PCT Croughan	International application No.	
--	---------------------	-------------------------------	--

INDICATIONS RELATING TO DEPOSITED MICROORGANISM
OR OTHER BIOLOGICAL MATERIAL

(PCT Rule 13*bis*)

A. The indications made below relate to the deposited microorganism or other biological material referred to in the description on page <u>16</u> , line <u>11-18</u>	
B. IDENTIFICATION OF DEPOSIT	
Further deposits are identified on an additional sheet <input checked="" type="checkbox"/>	
Name of depositary institution American Type Culture Collection	
Address of depositary institution (including postal code and country) 10801 University Boulevard Manassas, VA 20110-2209 United States of America	
Date of deposit 05 November 1998	Accession Number 203428
C. ADDITIONAL INDICATIONS (leave blank if not applicable)	
This information is continued on an additional sheet <input type="checkbox"/>	
D. DESIGNATED STATES FOR WHICH INDICATIONS ARE MADE (if the indications are not for all designated States)	
E. SEPARATE FURNISHING OF INDICATIONS (leave blank if not applicable)	
The indications listed below will be submitted to the International Bureau later (specify the general nature of the indications e.g., "Accession Number of Deposit")	

<p style="text-align: center;">For receiving Office use only</p> <p><input checked="" type="checkbox"/> This sheet was received with the international application</p>	<p style="text-align: center;">For International Bureau use only</p> <p><input type="checkbox"/> This sheet was received by the International Bureau on:</p>
<p>Authorized officer</p> <p><i>[Signature]</i></p>	<p>Authorized officer</p>

ATCC

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**BUDAPEST TREATY ON THE INTERNATIONAL RECOGNITION OF
THE DEPOSIT OF MICROORGANISMS FOR THE PURPOSES OF PATENT PROCEDURE
INTERNATIONAL FORM**

**RECEIPT IN THE CASE OF AN ORIGINAL DEPOSIT ISSUED PURSUANT TO RULE 7.3
AND VIABILITY STATEMENT ISSUED PURSUANT TO RULE 10.2**

To: (Name and Address of Depositor or Attorney)

Taylor, Porter, Brooks & Phillips, L.L.P.

Attn: John H. Runnels

P.O. Box 2471

Baton Rouge, LA 70821-2471

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11/23/98

TAYLOR, PORTER, BROOKS & PHILLIPS

Deposited on Behalf of: Board of Supervisors of Louisiana State University and Agricultural
and Mechanical College (Ref. Docket 98A9)

Identification Reference by Depositor: ATCC Designation

Rice seed (<i>Oryza sativa</i> L.) SSC09	203427
Rice seed (<i>Oryza sativa</i> L.) SSC10	203428
Rice seed (<i>Oryza sativa</i> L.) SSC11	203429
Rice seed (<i>Oryza sativa</i> L.) SSC12	203430

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Signature of person having authority to represent ATCC:

Barbara M. Hailey
Barbara M. Hailey, Administrator, Patent Depository

Date: November 18, 1998

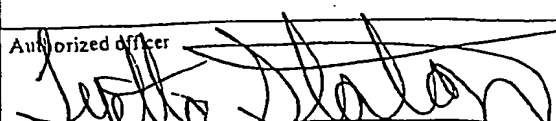
102

Applicant's or agent's file reference, 98A9.2-PCT Croughan	International application No.
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INDICATIONS RELATING TO DEPOSITED MICROORGANISM
OR OTHER BIOLOGICAL MATERIAL

(PCT Rule 13bis)

A. The indications made below relate to the deposited microorganism or other biological material referred to in the description on page <u>16</u> , line <u>11-18</u>	
B. IDENTIFICATION OF DEPOSIT Further deposits are identified on an additional sheet <input checked="" type="checkbox"/>	
Name of depositary institution American Type Culture Collection	
Address of depositary institution (including postal code and country) 10801 University Boulevard Manassas, VA 20110-2209 United States of America	
Date of deposit 05 November 1998	Accession Number 203429
C. ADDITIONAL INDICATIONS (leave blank if not applicable) This information is continued on an additional sheet <input type="checkbox"/>	
D. DESIGNATED STATES FOR WHICH INDICATIONS ARE MADE (if the indications are not for all designated States)	
E. SEPARATE FURNISHING OF INDICATIONS (leave blank if not applicable)	
The indications listed below will be submitted to the International Bureau later (specify the general nature of the indications e.g., "Accession Number of Deposit")	

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BUDAPEST TREATY ON THE INTERNATIONAL RECOGNITION OF
THE DEPOSIT OF MICROORGANISMS FOR THE PURPOSES OF PATENT PROCEDURE
INTERNATIONAL FORM

RECEIPT IN THE CASE OF AN ORIGINAL DEPOSIT ISSUED PURSUANT TO RULE 7.3
AND VIABILITY STATEMENT ISSUED PURSUANT TO RULE 10.2

To: (Name and Address of Depositor or Attorney)

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Baton Rouge, LA 70821-2471

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11/23/98

TAYLOR, PORTER, BROOKS & PHILLIPS

Deposited on Behalf of: Board of Supervisors of Louisiana State University and Agricultural
and Mechanical College (Ref. Docket 98A9)

Identification Reference by Depositor:

ATCC Designation

Rice seed (<i>Oryza sativa</i> L.) SSC09	203427
Rice seed (<i>Oryza sativa</i> L.) SSC10	203428
Rice seed (<i>Oryza sativa</i> L.) SSC11	203429
Rice seed (<i>Oryza sativa</i> L.) SSC12	203430

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International Depository Authority: American Type Culture Collection, Manassas, VA 20110-2209 USA.

Signature of person having authority to represent ATCC:

Barbara M. Hailey
Barbara M. Hailey, Administrator, Patent Depository


Date: November 18, 1998

Applicant's or agent's file reference 98A9.2-PCT Croughan	International application No. 98/011507
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**INDICATIONS RELATING TO DEPOSITED MICROORGANISM
OR OTHER BIOLOGICAL MATERIAL**

(PCT Rule 13bis)

A. The indications made below relate to the deposited microorganism or other biological material referred to in the description on page 16 , line 11-18	
B. IDENTIFICATION OF DEPOSIT Further deposits are identified on an additional sheet <input checked="" type="checkbox"/>	
Name of depositary institution American Type Culture Collection	
Address of depositary institution (including postal code and country) 10801 University Boulevard Manassas, VA 20110-2209 United States of America	
Date of deposit 05 November 1998	Accession Number 203430
C. ADDITIONAL INDICATIONS (leave blank if not applicable) This information is continued on an additional sheet <input type="checkbox"/>	
D. DESIGNATED STATES FOR WHICH INDICATIONS ARE MADE (if the indications are not for all designated States)	
E. SEPARATE FURNISHING OF INDICATIONS (leave blank if not applicable)	
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For receiving Office use only <input checked="" type="checkbox"/> This sheet was received with the international application Authorized officer 	For International Bureau use only <input type="checkbox"/> This sheet was received by the International Bureau on: Authorized officer
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BUDAPEST TREATY ON THE INTERNATIONAL RECOGNITION OF
THE DEPOSIT OF MICROORGANISMS FOR THE PURPOSES OF PATENT PROCEDURE
INTERNATIONAL FORM

RECEIPT IN THE CASE OF AN ORIGINAL DEPOSIT ISSUED PURSUANT TO RULE 7.3
AND VIABILITY STATEMENT ISSUED PURSUANT TO RULE 10.2

To: (Name and Address of Depositor or Attorney)

Taylor, Porter, Brooks & Phillips, L.L.P.

Attn: John H. Runnels

P.O. Box 2471

Baton Rouge, LA 70821-2471

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TAYLOR, PORTER, BROOKS & PHILLIPS

Deposited on Behalf of: Board of Supervisors of Louisiana State University and Agricultural
and Mechanical College (Ref. Docket 98A9)

Identification Reference by Depositor: ATCC Designation

Rice seed (<i>Oryza sativa</i> L.) SSC09	203427
Rice seed (<i>Oryza sativa</i> L.) SSC10	203428
Rice seed (<i>Oryza sativa</i> L.) SSC11	203429
Rice seed (<i>Oryza sativa</i> L.) SSC12	203430

The seeds were accompanied by: ☐ a scientific description ☒ a proposed taxonomic description indicated
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International Depository Authority: American Type Culture Collection, Manassas, VA 20110-2209 USA.

Signature of person having authority to represent ATCC:

Barbara M. Hailey
Barbara M. Hailey, Administrator, Patent Depository

Date: November 18, 1998


106

Applicant's or agent's file reference 98A9.2-PCT Croughan	International application No. 98/0115072
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**INDICATIONS RELATING TO DEPOSITED MICROORGANISM
OR OTHER BIOLOGICAL MATERIAL**

(PCT Rule 13bis)

A. The indications made below relate to the deposited microorganism or other biological material referred to in the description on page <u>16</u> , line <u>11-18</u>	
B. IDENTIFICATION OF DEPOSIT Further deposits are identified on an additional sheet <input checked="" type="checkbox"/>	
Name of depositary institution American Type Culture Collection	
Address of depositary institution (including postal code and country) 10801 University Boulevard Manassas, VA 20110-2209 United States of America	
Date of deposit 05 November 1998	Accession Number 203431
C. ADDITIONAL INDICATIONS (leave blank if not applicable) This information is continued on an additional sheet <input type="checkbox"/>	
D. DESIGNATED STATES FOR WHICH INDICATIONS ARE MADE (if the indications are not for all designated States)	
E. SEPARATE FURNISHING OF INDICATIONS (leave blank if not applicable)	
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BUDAPEST TREATY ON THE INTERNATIONAL RECOGNITION OF
THE DEPOSIT OF MICROORGANISMS FOR THE PURPOSES OF PATENT PROCEDURE
INTERNATIONAL FORM

RECEIPT IN THE CASE OF AN ORIGINAL DEPOSIT ISSUED PURSUANT TO RULE 7.3
AND VIABILITY STATEMENT ISSUED PURSUANT TO RULE 10.2

To: (Name and Address of Depositor or Attorney)

Taylor, Porter, Brooks & Phillips, L.L.P.

Attn: John H. Runnels

P.O. Box 2471

Baton Rouge, LA 70821-2471

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11/23/98

TAYLOR, PORTER, BROOKS & PHILLIPS

Deposited on Behalf of: Board of Supervisors of Louisiana State University and Agricultural
and Mechanical College (Ref. Docket 98A9)

Identification Reference by Depositor:

ATCC Designation

Rice seed (*Oryza sativa* L.) SSC13

203431

Rice seed (*Oryza sativa* L.) SSC14

203432

Rice seed (*Oryza sativa* L.) SSC15

203433

The seeds were accompanied by: ☐ a scientific description ☒ a proposed taxonomic description indicated
above. The seeds were received November 5, 1998 by this International Depository Authority and have been
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AT YOUR REQUEST: ☒ We will inform you of requests for the seeds for 30 years.

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The viability of the seeds cited above was tested November 17, 1998. On that date, the seeds were viable.

International Depository Authority: American Type Culture Collection, Manassas, VA 20110-2209 USA.

Signature of person having authority to represent ATCC:

Barbara M. Halley
Barbara M. Halley, Administrator, Patent Depository

Date: November 18, 1998

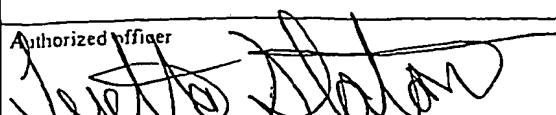
108

Applicant's or agent's file reference 98A9.2-PCT Croughan	International application No. 98/011507
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**INDICATIONS RELATING TO DEPOSITED MICROORGANISM
OR OTHER BIOLOGICAL MATERIAL**

(PCT Rule 13bis)

A. The indications made below relate to the deposited microorganism or other biological material referred to in the description on page 16 , line 11-18	
B. IDENTIFICATION OF DEPOSIT	
Further deposits are identified on an additional sheet <input checked="" type="checkbox"/>	
Name of depositary institution American Type Culture Collection	
Address of depositary institution (including postal code and country) 10801 University Boulevard Manassas, VA 20110-2209 United States of America	
Date of deposit 05 November 1998	Accession Number 203432
C. ADDITIONAL INDICATIONS (leave blank if not applicable) This information is continued on an additional sheet <input type="checkbox"/>	
D. DESIGNATED STATES FOR WHICH INDICATIONS ARE MADE (if the indications are not for all designated States)	
E. SEPARATE FURNISHING OF INDICATIONS (leave blank if not applicable)	
The indications listed below will be submitted to the International Bureau later (specify the general nature of the indications e.g., "Accession Number of Deposit")	

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<input checked="" type="checkbox"/> This sheet was received with the international application	<input type="checkbox"/> This sheet was received by the International Bureau on:
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BUDAPEST TREATY ON THE INTERNATIONAL RECOGNITION OF
THE DEPOSIT OF MICROORGANISMS FOR THE PURPOSES OF PATENT PROCEDURE
INTERNATIONAL FORM

RECEIPT IN THE CASE OF AN ORIGINAL DEPOSIT ISSUED PURSUANT TO RULE 7.3
AND VIABILITY STATEMENT ISSUED PURSUANT TO RULE 10.2

To: (Name and Address of Depositor or Attorney)

Taylor, Porter, Brooks & Phillips, L.L.P.

Attn: John H. Runnels

P.O. Box 2471

Baton Rouge, LA 70821-2471

RECEIVED11/23/98
TAYLOR, PORTER, BROOKS & PHILLIPS

Deposited on Behalf of: Board of Supervisors of Louisiana State University and Agricultural
and Mechanical College (Ref. Docket 98A9)

Identification Reference by Depositor: ATCC Designation

Rice seed (<i>Oryza sativa</i> L.) SSC13	203431
Rice seed (<i>Oryza sativa</i> L.) SSC14	203432
Rice seed (<i>Oryza sativa</i> L.) SSC15	203433

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International Depository Authority: American Type Culture Collection, Manassas, VA 20110-2209 USA.

Signature of person having authority to represent ATCC:

Barbara M. Hailey
Barbara M. Hailey, Administrator, Patent Depository

Date: November 18, 1998


110

Applicant's or agent's file reference 98A9.2-PCT Croughan	International application No. 98/000000
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**INDICATIONS RELATING TO DEPOSITED MICROORGANISM
OR OTHER BIOLOGICAL MATERIAL**

(PCT Rule 13bis)

A. The indications made below relate to the deposited microorganism or other biological material referred to in the description on page <u>16</u> , line <u>11-18</u>	
B. IDENTIFICATION OF DEPOSIT Further deposits are identified on an additional sheet <input checked="" type="checkbox"/>	
Name of depositary institution American Type Culture Collection	
Address of depositary institution (including postal code and country) 10801 University Boulevard Manassas, VA 20110-2209 United States of America	
Date of deposit 05 November 1998	Accession Number 203433
C. ADDITIONAL INDICATIONS (leave blank if not applicable) This information is continued on an additional sheet <input type="checkbox"/>	
D. DESIGNATED STATES FOR WHICH INDICATIONS ARE MADE (if the indications are not for all designated States)	
E. SEPARATE FURNISHING OF INDICATIONS (leave blank if not applicable)	
The indications listed below will be submitted to the International Bureau later (specify the general nature of the indications e.g., "Accession Number of Deposit") 	

For receiving Office use only <input checked="" type="checkbox"/> This sheet was received with the international application Authorized officer 	For International Bureau use only <input type="checkbox"/> This sheet was received by the International Bureau on: Authorized officer
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ATCC

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**BUDAPEST TREATY ON THE INTERNATIONAL RECOGNITION OF
THE DEPOSIT OF MICROORGANISMS FOR THE PURPOSES OF PATENT PROCEDURE
INTERNATIONAL FORM****RECEIPT IN THE CASE OF AN ORIGINAL DEPOSIT ISSUED PURSUANT TO RULE 7.3
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Taylor, Porter, Brooks & Phillips, L.L.P.

Attn: John H. Runnels

P.O. Box 2471

Baton Rouge, LA 70821-2471

RECEIVED

11/23/98

TAYLOR, PORTER, BROOKS & PHILLIPS

Deposited on Behalf of: Board of Supervisors of Louisiana State University and Agricultural
and Mechanical College (Ref. Docket 98A9)**Identification Reference by Depositor:** **ATCC Designation**

Rice seed (<i>Oryza sativa</i> L.) SSC13	203431
Rice seed (<i>Oryza sativa</i> L.) SSC14	203432
Rice seed (<i>Oryza sativa</i> L.) SSC15	203433

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Signature of person having authority to represent ATCC:

Barbara M. Hailey
Barbara M. Hailey, Administrator, Patent Depository

Date: November 18, 1998

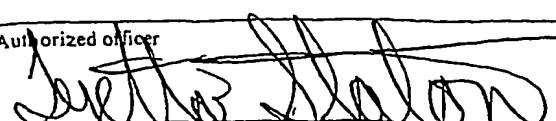
112

Applicant's or agent's filerference 98A9.2-PCT Croug han	International application No.
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INDICATIONS RELATING TO DEPOSITED MICROORGANISM
OR OTHER BIOLOGICAL MATERIAL

(PCT Rule 13bis)

A. The indications made below relate to the deposited microorganism or other biological material referred to in the description on page <u>19</u> , line <u>14-17</u>	
B. IDENTIFICATION OF DEPOSIT Further deposits are identified on an additional sheet <input checked="" type="checkbox"/>	
Name of depositary institution American Type Culture Collection	
Address of depositary institution (including postal code and country) 10801 University Boulevard Manassas, VA 20110-2209 United States of America	
Date of deposit 25 April 1996	Accession Number 97523
C. ADDITIONAL INDICATIONS (leave blank if not applicable) This information is continued on an additional sheet <input type="checkbox"/>	
D. DESIGNATED STATES FOR WHICH INDICATIONS ARE MADE (if the indications are not for all designated States)	
E. SEPARATE FURNISHING OF INDICATIONS (leave blank if not applicable) The indications listed below will be submitted to the International Bureau later (specify the general nature of the indications e.g., "Accession Number of Deposit")	

<input checked="" type="checkbox"/> For receiving Office use only This sheet was received with the international application	<input type="checkbox"/> For International Bureau use only This sheet was received by the International Bureau on:
Authorized officer 	Authorized officer



American Type Culture Collection

12301 Parklawn Drive • Rockville, MD 20852 USA • Telephone: (301)231-5520 Telex: 898-055 ATCCNORTH • FAX: 301-770-2587

BUDAPEST TREATY ON THE INTERNATIONAL RECOGNITION OF THE DEPOSIT OF MICROORGANISMS FOR THE PURPOSES OF PATENT PROCEDURE

INTERNATIONAL FORM

RECEIPT IN THE CASE OF AN ORIGINAL DEPOSIT ISSUED PURSUANT TO RULE 7.3 AND VIABILITY STATEMENT ISSUED PURSUANT TO RULE 10.2

To: (Name and Address of Depositor or Attorney)

Taylor, Porter, Brooks & Phillips, L.L.P.

Attn: John H. Runnels

P.O. Box 2471

Baton Rouge, LA 70821-2471

Deposited on Behalf of: Board of Supervisors of Louisiana State University and
Agricultural and Mechanical College

Identification Reference by Depositor:

ATCC Designation

Rice seed, SPCW-1

97523

The seeds were accompanied by: ☐ a scientific description ☐ a proposed taxonomic description
indicated above.

The seeds were received April 25, 1996 by this International Depository Authority and have been
accepted.

AT YOUR REQUEST:

☒ We will inform you of requests for the seeds for 30 years.

The seeds will be made available if a patent office signatory to the Budapest Treaty certifies one's right
to receive, or if a U.S. Patent is issued citing the seeds and ATCC is instructed by the United States
Patent & Trademark Office or the depositor to release said seeds.

If the seeds should die or be destroyed during the effective term of the deposit, it shall be your
responsibility to replace them with viable seeds of the same.

The seeds will be maintained for a period of at least 30 years from date of deposit, or five years after
the most recent request for a sample, whichever is longer. The United States and many other countries
are signatory to the Budapest Treaty.

The viability of the seeds cited above was tested May 2, 1996. On that date, the seeds were viable.

International Depository Authority: American Type Culture Collection, Rockville, Md. 20852 USA

Signature of person having authority to represent ATCC:

Barbara M. Hailey

Barbara M. Hailey, Administrator, Patent Depository

Date: May 3, 1996

SEQUENCE LISTING

5 <110> Board of Supervisors of Louisiana State University and
 Agricultural and Mechanical College
 Croughan, Timothy

10 <120> RESISTANCE TO ACETOHYDROXYACID SYNTHASE-INHIBITING HERBICIDES

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 <151> 2000-05-10

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	Gly	Glu	Glu	Ile	Pro	Pro	Gln	Tyr	Ala	Ile	Gln	Val	Leu	Asp	Glu	Leu	
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55	Thr	Lys	Gly	Glu	Ala	Ile	Ile	Ala	Thr	Gly	Val	Gly	Gln	His	Gln	Met	
		450				455						460					
	Trp	Ala	Ala	Gln	Tyr	Tyr	Thr	Tyr	Lys	Arg	Pro	Arg	Gln	Trp	Leu	Ser	
60		465			470					475					480		
	Ser	Ala	Gly	Leu	Gly	Ala	Met	Gly	Phe	Gly	Leu	Pro	Ala	Ala	Ala	Gly	
				485					490						495		

123

Ala Ser Val Ala Asn Pro Gly Val Thr Val Val Asp Ile Asp Gly Asp
500 505 510

5 Gly Ser Phe Leu Met Asn Ile Gln Glu Leu Ala Leu Ile Arg Ile Glu
515 520 525

Asn Leu Pro Val Lys Val Met Val Leu Asn Asn Gln His Leu Gly Met
530 535 540

10 Val Val Gln Leu Glu Asp Arg Phe Tyr Lys Ala Asn Arg Ala His Thr
545 550 555 560

Tyr Leu Gly Asn Pro Glu Cys Glu Ser Glu Ile Tyr Pro Asp Phe Val
565 570 575

15 Thr Ile Ala Lys Gly Phe Asn Ile Pro Ala Val Arg Val Thr Lys Lys
580 585 590

20 Ser Glu Val Arg Ala Ala Ile Lys Lys Met Leu Glu Thr Pro Gly Pro
595 600 605

Tyr Leu Leu Asp Ile Ile Val Pro His Gln Glu His Val Leu Pro Met
610 615 620

25 Ile Pro Ile Gly Gly Ala Phe Lys Asp Met Ile Leu Asp Gly Asp Gly
625 630 635 640

Arg Thr Val Tyr

30 <210> 6

<211> 18

35 <212> DNA

<213> Artificial sequence

40 <220>

<221> misc_feature

45 <223> HvAls 3 primer

<400> 6

50 tggcgaggca cggcgccc

<210> 7

55 <211> 18

<212> DNA

<213> Artificial Sequence

60 <220>

124

<221> misc_feature
<223> HvAls 4 primer
5
<400> 7
gacgtggccg cttgtaag 18
10
<210> 8
<211> 18
15
<212> DNA
<213> Artificial Sequence
20
<220>
<221> misc_feature
25
<223> HvAls 6 primer
30
<400> 8
agtacgaggt cctgccat 18
<210> 9
35
<211> 208
<212> DNA
<213> Oryza sativa
40
<220>
45
<221> misc_feature
<223> Partial AHAS sequence, line PWC16
50
<400> 9
gtgagagcga gatatatcca gattttgtga ctattgctaa agggttcaat attcctgcag 60
tccgtgtaac aaagaagagt gaagtcctg cgcctcatca gaagatgctc gagaccccag 120
55
ggccatactt gttggatata atcgccccac accaggagca tgtgctgcct atgatcccaa 180
atggggggcgc attcaaggac atgacccct 208
60
<210> 10
<211> 182

125

<212> DNA
<213> Oryza sativa

5

<220>
<221> misc_feature
10 <223> Partial AHAS sequence, line PWC23

15 <400> 10
gtgactattg ctaaagggtt caatattcct gcagtccgtg taacaaagaa gagggaagtc 60
cgtgccgcca tcaagaagat gctcgagacc ccagggccat acttggtgga tatcatcgtc 120
20 ccacaccagg agcatgtgct gcctatgatc ccaaattgggg gcgcattcaa ggacatgatc 180
ct 182

25 <210> 11
<211> 208
<212> DNA
30 <213> Oryza sativa

35 <220>
<221> misc_feature
<223> Partial AHAS sequence, line CMC29
40

<400> 11
45 gtgagagcga gatatatcca gattttgtga ctattgctaa agggttcaat attcctgcag 60
tccgtgtaac aaagaagagt gaagtcctgt cgcgccatcaa gaagatgctc gagaccccag 120
ggccatactt gttggatata atcgccccac accaggagca tgtgctgcct atgatcccaa 180
50 atggggggcgc attcaaggac atgaccc 208

<210> 12
55 <211> 182
<212> DNA
<213> Oryza sativa
60
<220>

126

<221> misc_feature
<223> Partial AHAS sequence, line WDC33

5

<400> 12
gtgactattg ctaaagggtt caatattcct gcagtcctg taacaaagaa gagtgaagtc 60
10 cgtgccgcca tcaagaagat gtcgagacc ccagggccat acttggtgga tatcatcgtc 120
ccacaccagg agcatgtgct gcctatgac ccaaattggg gcgcattcaa ggacatgac 180
15 ct 182

<210> 13
20 <211> 188
<212> DNA
<213> Oryza sativa

25

<220>
30 <221> misc_feature
<223> Partial AHAS sequence, line WDC38

35 <400> 13
gattttgtga ctattgctaa agggttcaat attcctgcag tccgtgtaac aaagaagagt 60
gaagtccgtg ccgccatcaa gaagatgctc gagaccccag ggccatactt gttggatata 120
40 atcgtccac accaggagca tgtgtgcct atgatccaa atgggggcgc attcaaggac 180
atgaccc 188

45 <210> 14
<211> 1986
<212> DNA
50 <213> Oryza sativa

55 <220>
<221> misc_feature
60 <223> Complete AHAS sequence, wild type, cultivar Cypress

<400> 14
atggctacga ccgccgcggc cgcggccgcc acctgtccg ccgccgcgac ggccaagacc 60

	ggccgtaaga accaccagcg acaccacgtc ctccccgctc gaggccgggt gggggcgggcg	120
	gcggtcaggt gctcgggcgt gtccccggtc accccgccgt ccccggcgcc gccggccacg	180
5	ccgctccggc cgtggggggc ggccgagccc cgcaagggcg cggacatcct cgtggaggcg	240
	ctggagcgggt gggcgctcag cgacgtgttc gcctaccggg gggcgcgctc catggagatc	300
10	caccaggcgc tgacgcgtc cccggtcac accaaccacc tcttcgccca cgagcagggc	360
	gaggcgttcg cggcgctcgg gtacgcgcgc gcgtccggcc gcgtcgggggt ctgcgtcgcc	420
	acctccggcc cgggggcaac caacctcgtg tccgcgctcg ccgacgcgct gctcgactcc	480
15	gtccccgatgg tcgccatcac gggccaggtc ccccgccgca tgatcggcac cgacgccttc	540
	caggagacgc ccatagtcca ggtcacccgc tccatcacca agcacaatta ccttgtcctt	600
20	gatgtggagg acatcccccg cgtcatacag gaagccttct tctcgcgtc ctcgggccgt	660
	cctggccccg tgctggtcga catccccaa gacatccagc agcagatggc tgtgccagtc	720
	tgggacacct cgatgaatct accgggggtac attgcacgcc tgcccaagcc acccgcgaca	780
25	gaattgcttg agcaggctct gcgtctggtt ggcgagtcac ggcgcccgat tctctatgtc	840
	ggtggtggct gctctgcac tggtgatgaa ttgcgcgggt ttgttgagct gaccggcatc	900
30	ccagttacaa ccaactctgat gggcctcggc aatttcccca gtgatgatcc gttgtccctg	960
	cgcatgcttg ggatgcatgg cacgggtgtac gcaaattatg cggtgataa ggctgacctg	1020
	ttgcttgcat ttggcgctcg gtttgatgat cgtgtgacag ggaaaattga ggcttttgca	1080
35	agcagggcca agattgtgca cattgacatt gatccagcgg agattggaaa gaacaagcaa	1140
	ccacatgtgt caatttgccg agatgttaag cttgctttac agggcttgaa tgctctgcta	1200
40	gaccagagca caacaaagac aagtctctgat tttagtgcac ggcacaatga gttggaccag	1260
	cagaagaggg agtttccctc ggggtacaag acttttggtg aagagatccc accgcaatat	1320
	gctattcagg tgctggatga gctgacgaaa ggggaggcaa tcatcgctac tgggtgttga	1380
45	cagcaccaga tgtgggcggc acaatattac acctacaagc ggccacggca gtggctgtct	1440
	tcggctggtc tgggcgcaat gggatttggg ctgcctgctg cagctgggtg ttctgtggct	1500
50	aaccaggtg tcacagttgt tgatattgat ggggatggta gcttctcat gaacattcag	1560
	gagttggcat tgatccgcac tgagaacctc cgggtgaagg tgatggtgtt gaacaaccaa	1620
	catttgggta tggttgtgca atgggaggat aggttttaca aggcaaata ggcgcataca	1680
55	tacttgggca acccagaatg tgagagcgag atatatccag attttgtgac tattgctaaa	1740
	gggttcaata ttctgcagt ccgtgtaaca aagaagagtg aagtccgtgc cgccatcaag	1800
60	aagatgctcg agaccccagg gccatacttg ttggatatca tcgtcccaca ccaggagcat	1860
	gtgctgccta tgatcccaag tgggggcgca ttcaaggaca tgatcctgga tgggtgatggc	1920
	aggactatgt attaacttat aatctgtatg ttggcaaagc accagcccgg cctatgtttg	1980

acctga

5 <210> 15
 <211> 360
 <212> PRT
 10 <213> Oryza sativa

 15 <220>
 <221> misc_feature
 <223> Partial AHAS sequence, line CMC31
 20
 <400> 15
 25 Arg Arg Pro Ile Leu Tyr Val Gly Gly Gly Cys Ser Ala Ser Gly Asp
 1 5 10 15
 Glu Leu Arg Arg Phe Val Glu Leu Thr Gly Ile Pro Val Thr Thr Thr
 20 25 30
 30 Leu Met Gly Leu Gly Asn Phe Pro Ser Asp Asp Pro Leu Ser Leu Arg
 35 40 45
 Met Leu Gly Met His Gly Thr Val Tyr Ala Asn Tyr Ala Val Asp Lys
 50 55 60
 35 Ala Asp Leu Leu Leu Ala Phe Gly Val Arg Phe Asp Asp Arg Val Thr
 65 70 75 80
 40 Gly Lys Ile Glu Ala Phe Ala Ser Arg Ala Lys Ile Val His Ile Asp
 85 90 95
 Ile Asp Pro Ala Glu Ile Gly Lys Asn Lys Gln Pro His Val Ser Ile
 100 105 110
 45 Cys Ala Asp Val Lys Leu Ala Leu Gln Gly Leu Asn Ala Leu Leu Asp
 115 120 125
 Gln Ser Thr Thr Lys Thr Ser Ser Asp Phe Ser Ala Trp His Asn Glu
 130 135 140
 50 Leu Asp Gln Gln Lys Arg Glu Phe Pro Leu Gly Tyr Lys Thr Phe Gly
 145 150 155 160
 Glu Glu Ile Pro Pro Gln Tyr Ala Ile Gln Val Leu Asp Glu Leu Thr
 165 170 175
 55 Lys Gly Glu Ala Ile Ile Ala Thr Gly Val Gly Gln His Gln Met Trp
 180 185 190
 60 Ala Ala Gln Tyr Tyr Thr Tyr Lys Arg Pro Arg Gln Trp Leu Ser Ser
 195 200 205
 Ala Gly Leu Gly Ala Met Gly Phe Gly Leu Pro Ala Ala Ala Gly Ala
 210 215 220

129

Ser Val Ala Asn Pro Gly Val Thr Val Val Asp Ile Asp Gly Asp Gly
 225 230 235 240
 5 Ser Phe Leu Met Asn Ile Gln Glu Leu Ala Leu Ile Arg Ile Glu Asn
 245 250 255
 Leu Pro Val Lys Val Met Val Leu Asn Asn Gln His Leu Gly Met Val
 260 265 270
 10 Val Gln Trp Glu Asp Arg Phe Tyr Lys Ala Asn Arg Ala His Thr Tyr
 275 280 285
 Leu Gly Asn Pro Glu Cys Glu Ser Glu Ile Tyr Pro Asp Phe Val Thr
 290 295 300
 15 Ile Ala Lys Gly Phe Asn Ile Pro Ala Val Arg Val Thr Lys Lys Ser
 305 310 315 320
 Glu Val Arg Ala Ala Ile Lys Lys Met Leu Glu Thr Pro Gly Pro Tyr
 325 330 335
 20 Leu Leu Asp Ile Ile Val Pro His Gln Glu His Val Leu Pro Met Ile
 340 345 350
 25 Pro Lys Gly Ala His Ser Arg Thr
 355 360
 <210> 16
 30 <211> 528
 <212> DNA
 <213> Oryza sativa
 35
 <220>
 40 <221> misc_feature
 <223> Partial AHAS sequence, line CMC31
 45
 <400> 16
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 gttgatattg atggggatgg tagcttctc atgaacattc aggagttggc attgatccgc 120
 50 attgagaacc tcccggtgaa ggtgatggtg ttgaacaacc aacatttggg tatggttgtg 180
 caatgggagg ataggtttta caaggcaaat agggcgcata catacttggg caaccagaa 240
 55 tgtgagagcg agatatatcc agattttgtg actattgcta aagggttcaa tattcctgca 300
 gtccgtgtaa caaagaagag tgaagtccgt gccgccatca agaagatgct cgagacccca 360
 gggccatact tgttggatat catcgccca caccaggagc atgtgctgcc tatgatccca 420
 60 aatgggggcg cattcaagga catgatcctg gatggtgatg gcaggactat gtattaatct 480
 ataatctgta tgttggcaaa gcaccagccc ggcctatggt tgacctga 528

<210> 17
 <211> 644
 5 <212> PRT
 <213> Oryza sativa
 10 <220>
 <221> misc_feature
 15 <223> Inferred complete AHAS sequence, wild type var. Cypress
 <400> 17
 20 Met Ala Thr Thr Ala Ala Ala Ala Ala Ala Thr Leu Ser Ala Ala Ala
 1 5 10 15
 Thr Ala Lys Thr Gly Arg Lys Asn His Gln Arg His His Val Leu Pro
 25 20 25 30
 Ala Arg Gly Arg Val Gly Ala Ala Ala Val Arg Cys Ser Ala Val Ser
 35 40 45
 30 Pro Val Thr Pro Pro Ser Pro Ala Pro Pro Ala Thr Pro Leu Arg Pro
 50 55 60
 Trp Gly Pro Ala Glu Pro Arg Lys Gly Ala Asp Ile Leu Val Glu Ala
 65 70 75 80
 35 Leu Glu Arg Cys Gly Val Ser Asp Val Phe Ala Tyr Pro Gly Gly Ala
 85 90 95
 Ser Met Glu Ile His Gln Ala Leu Thr Arg Ser Pro Val Ile Thr Asn
 40 100 105 110
 His Leu Phe Arg His Glu Gln Gly Glu Ala Phe Ala Ala Ser Gly Tyr
 115 120 125
 45 Ala Arg Ala Ser Gly Arg Val Gly Val Cys Val Ala Thr Ser Gly Pro
 130 135 140
 Gly Ala Thr Asn Leu Val Ser Ala Leu Ala Asp Ala Leu Leu Asp Ser
 145 150 155 160
 50 Val Pro Met Val Ala Ile Thr Gly Gln Val Pro Arg Arg Met Ile Gly
 165 170 175
 Thr Asp Ala Phe Gln Glu Thr Pro Ile Val Glu Val Thr Arg Ser Ile
 55 180 185 190
 Thr Lys His Asn Tyr Leu Val Leu Asp Val Glu Asp Ile Pro Arg Val
 195 200 205
 60 Ile Gln Glu Ala Phe Phe Leu Ala Ser Ser Gly Arg Pro Gly Pro Val
 210 215 220
 Leu Val Asp Ile Pro Lys Asp Ile Gln Gln Gln Met Ala Val Pro Val
 225 230 235 240

	Trp	Asp	Thr	Ser	Met	Asn	Leu	Pro	Gly	Tyr	Ile	Ala	Arg	Leu	Pro	Lys	
					245						250						255
5	Pro	Pro	Ala	Thr	Glu	Leu	Leu	Glu	Gln	Val	Leu	Arg	Leu	Val	Gly	Glu	
				260					265					270			
	Ser	Arg	Arg	Pro	Ile	Leu	Tyr	Val	Gly	Gly	Gly	Cys	Ser	Ala	Ser	Gly	
			275					280					285				
10	Asp	Glu	Leu	Arg	Arg	Phe	Val	Glu	Leu	Thr	Gly	Ile	Pro	Val	Thr	Thr	
		290					295					300					
	Thr	Leu	Met	Gly	Leu	Gly	Asn	Phe	Pro	Ser	Asp	Asp	Pro	Leu	Ser	Leu	
	305					310					315					320	
15	Arg	Met	Leu	Gly	Met	His	Gly	Thr	Val	Tyr	Ala	Asn	Tyr	Ala	Val	Asp	
					325					330					335		
	Lys	Ala	Asp	Leu	Leu	Leu	Ala	Phe	Gly	Val	Arg	Phe	Asp	Asp	Arg	Val	
				340					345					350			
20	Thr	Gly	Lys	Ile	Glu	Ala	Phe	Ala	Ser	Arg	Ala	Lys	Ile	Val	His	Ile	
			355					360					365				
	Asp	Ile	Asp	Pro	Ala	Glu	Ile	Gly	Lys	Asn	Lys	Gln	Pro	His	Val	Ser	
		370					375					380					
	Ile	Cys	Ala	Asp	Val	Lys	Leu	Ala	Leu	Gln	Gly	Leu	Asn	Ala	Leu	Leu	
	385					390					395					400	
30	Asp	Gln	Ser	Thr	Thr	Lys	Thr	Ser	Ser	Asp	Phe	Ser	Ala	Trp	His	Asn	
					405					410					415		
	Glu	Leu	Asp	Gln	Gln	Lys	Arg	Glu	Phe	Pro	Leu	Gly	Tyr	Lys	Thr	Phe	
				420					425					430			
	Gly	Glu	Glu	Ile	Pro	Pro	Gln	Tyr	Ala	Ile	Gln	Val	Leu	Asp	Glu	Leu	
			435					440					445				
40	Thr	Lys	Gly	Glu	Ala	Ile	Ile	Ala	Thr	Gly	Val	Gly	Gln	His	Gln	Met	
		450					455					460					
	Trp	Ala	Ala	Gln	Tyr	Tyr	Thr	Tyr	Lys	Arg	Pro	Arg	Gln	Trp	Leu	Ser	
	465					470					475					480	
45	Ser	Ala	Gly	Leu	Gly	Ala	Met	Gly	Phe	Gly	Leu	Pro	Ala	Ala	Ala	Gly	
					485					490					495		
	Ala	Ser	Val	Ala	Asn	Pro	Gly	Val	Thr	Val	Val	Asp	Ile	Asp	Gly	Asp	
				500					505					510			
	Gly	Ser	Phe	Leu	Met	Asn	Ile	Gln	Glu	Leu	Ala	Leu	Ile	Arg	Ile	Glu	
			515					520					525				
55	Asn	Leu	Pro	Val	Lys	Val	Met	Val	Leu	Asn	Asn	Gln	His	Leu	Gly	Met	
		530					535					540					
	Val	Val	Gln	Trp	Glu	Asp	Arg	Phe	Tyr	Lys	Ala	Asn	Arg	Ala	His	Thr	
	545					5											

132

Thr Ile Ala Lys Gly Phe Asn Ile Pro Ala Val Arg Val Thr Lys Lys
 580 585 590
 Ser Glu Val Arg Ala Ala Ile Lys Lys Met Leu Glu Thr Pro Gly Pro
 595 600 605
 Tyr Leu Leu Asp Ile Ile Val Pro His Gln Glu His Val Leu Pro Met
 610 615 620
 10 Ile Pro Ser Gly Gly Ala Phe Lys Asp Met Ile Leu Asp Gly Asp Gly
 625 630 635 640
 Arg Thr Met Tyr
 15
 <210> 18
 <211> 1986
 20 <212> DNA
 <213> Oryza sativa
 25
 <220>
 <221> misc_feature
 30 <223> Inferred complete AHAS sequence, lines PWC16, PWC23, CMC29, WDC33
 and WDC38
 35 <400> 18
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 ggccgtaaga accaccagcg acaccacgtc cttcccgtc gaggcggggt gggggcggcg 120
 40 gcggtcaggt gctcggcggt gtccccggtc accccgcgct ccccggcgcc gccggccacg 180
 ccgctccggc cgtggggggc ggccgagccc cgcaagggcg cggacatcct cgtggaggcg 240
 ctggagcggg gcggcgtcag cgacgtgttc gcctaccgag gcggcgcgtc catggagatc 300
 45 caccaggcgc tgacgcgctc cccggtcacc accaaccacc tcttcgcca cgagcagggc 360
 gaggcgttcg cggcgctcgg gtacgcgcgc gcgtccggcc gcgtcggggt ctgcgtcgcc 420
 50 acctccggcc ccggggcaac caacctcgtg tccgcgctcg ccgacgcgct gctcgactcc 480
 gtcccgatgg tcgccatcac gggccaggtc ccccgccgca tgatcggcac cgacgccttc 540
 caggagacgc ccatagtcga ggtaaccgac tccatcacca agcacaatta ccttgctcctt 600
 55 gatgtggagg acatcccccg cgtcatacag gaagccttct tctcgcgctc ctcgggccgt 660
 cctggccccg tgctggtcga catccccaaag gacatccagc agcagatggc tgtgccagtc 720
 60 tgggacacct cgatgaatct accgggggtac attgcacgcc tgcccaagcc accgcgcaca 780
 gaattgcttg agcaggtctt gcgtctggtt ggcgagtcac ggcgcccgat tctctatgtc 840
 ggtggtggct gctctgcacc tggatgatgaa ttgcgccggt ttgttgagct gaccggcacc 900

ccagttacaa ccactctgat gggcctcggc aatttcccca gtgatgatcc gttgtccctg 960
 cgcattgcttg ggatgcatgg cacggtgtac gcaaattatg cggaggataa ggctgacctg 1020
 5 ttgcttgcatt ttggcgtgcg gtttgatgat cgtgtgacag ggaaaattga ggcttttgca 1080
 agcagggcca agattgtgca cattgacatt gatccagcgg agattggaaa gaacaagcaa 1140
 10 ccacatgtgt caatttgcgc agatgttaag cttgctttac agggcttgaa tgctctgcta 1200
 gaccagagca caacaaagac aagttctgat tttagtgcatt ggcacaatga gttggaccag 1260
 cagaagaggg agtttctctt ggggtacaag acttttggtg aagagatccc accgcaatat 1320
 15 gctattcagg tgctggatga gctgacgaaa ggggaggcaa tcatcgctac tgggtgttga 1380
 cagcaccaga tgtgggaggc acaatattac acctacaagc ggccacggca gtggctgtct 1440
 tcggctggctc tgggagcaat gggatttggg ctgctgctg cagctggctg ttctgtggct 1500
 20 aaccaggtg tcacagttgt tgatattgat ggggatggta gcttcctcat gaacattcag 1560
 gagttggcat tgatccgcat tgagaacctc ccggtgaagg tgatgggtgt gaacaaccaa 1620
 25 catttgggta tgggtgtgca atgggaggat aggttttaca aggcaaatag ggcgcatata 1680
 tacttgggca acccagaatg tgagagcgag atatattcag attttgtgac tattgctaaa 1740
 gggttcaata ttctgcagt ccgtgtaaca aagaagagtg aagtcctgct cgccatcaag 1800
 30 aagatgctcg agaccccgagg gccatacttg ttggatatca tcgtcccaca ccaggagcat 1860
 gtgctgccta tgatcccaaa tgggggagca ttcaaggaca tgatcctgga tgggtgatggc 1920
 35 aggactatgt attaatctat aatctgtatg ttggcaaagc accagcccgg cctatgtttg 1980
 acctga 1986
 40 <210> 19
 <211> 644
 <212> PRT
 45 <213> Oryza sativa
 50 <220>
 <221> misc_feature
 55 <223> Inferred complete AHAS sequence, lines PWC16, PWC23, CMC29, WDC33
 & WDC38
 60 <400> 19
 Met Ala Thr Thr Ala Ala Ala Ala Ala Thr Leu Ser Ala Ala Ala
 1 5 10 15

134

Thr Ala Lys Thr Gly Arg Lys Asn His Gln Arg His His Val Leu Pro
 20 25 30
 5 Ala Arg Gly Arg Val Gly Ala Ala Val Arg Cys Ser Ala Val Ser
 35 40 45
 Pro Val Thr Pro Pro Ser Pro Ala Pro Pro Ala Thr Pro Leu Arg Pro
 50 55 60
 10 Trp Gly Pro Ala Glu Pro Arg Lys Gly Ala Asp Ile Leu Val Glu Ala
 65 70 75 80
 Leu Glu Arg Cys Gly Val Ser Asp Val Phe Ala Tyr Pro Gly Gly Ala
 85 90 95
 15 Ser Met Glu Ile His Gln Ala Leu Thr Arg Ser Pro Val Ile Thr Asn
 100 105 110
 20 His Leu Phe Arg His Glu Gln Gly Glu Ala Phe Ala Ala Ser Gly Tyr
 115 120 125
 Ala Arg Ala Ser Gly Arg Val Gly Val Cys Val Ala Thr Ser Gly Pro
 130 135 140
 25 Gly Ala Thr Asn Leu Val Ser Ala Leu Ala Asp Ala Leu Leu Asp Ser
 145 150 155 160
 Val Pro Met Val Ala Ile Thr Gly Gln Val Pro Arg Arg Met Ile Gly
 165 170 175
 30 Thr Asp Ala Phe Gln Glu Thr Pro Ile Val Glu Val Thr Arg Ser Ile
 180 185 190
 35 Thr Lys His Asn Tyr Leu Val Leu Asp Val Glu Asp Ile Pro Arg Val
 195 200 205
 Ile Gln Glu Ala Phe Phe Leu Ala Ser Ser Gly Arg Pro Gly Pro Val
 210 215 220
 40 Leu Val Asp Ile Pro Lys Asp Ile Gln Gln Gln Met Ala Val Pro Val
 225 230 235 240
 Trp Asp Thr Ser Met Asn Leu Pro Gly Tyr Ile Ala Arg Leu Pro Lys
 245 250 255
 45 Pro Pro Ala Thr Glu Leu Leu Glu Gln Val Leu Arg Leu Val Gly Glu
 260 265 270
 50 Ser Arg Arg Pro Ile Leu Tyr Val Gly Gly Gly Cys Ser Ala Ser Gly
 275 280 285
 Asp Glu Leu Arg Arg Phe Val Glu Leu Thr Gly Ile Pro Val Thr Thr
 290 295 300
 55 Thr Leu Met Gly Leu Gly Asn Phe Pro Ser Asp Asp Pro Leu Ser Leu
 305 310 315 320
 Arg Met Leu Gly Met His Gly Thr Val Tyr Ala Asn Tyr Ala Val Asp
 325 330 335
 60 Lys Ala Asp Leu Leu Leu Ala Phe Gly Val Arg Phe Asp Asp Arg Val
 340 345 350

135

Thr Gly Lys Ile Glu Ala Phe Ala Ser Arg Ala Lys Ile Val His Ile
 355 360 365
 5 Asp Ile Asp Pro Ala Glu Ile Gly Lys Asn Lys Gln Pro His Val Ser
 370 375 380
 Ile Cys Ala Asp Val Lys Leu Ala Leu Gln Gly Leu Asn Ala Leu Leu
 385 390 395 400
 10 Asp Gln Ser Thr Thr Lys Thr Ser Ser Asp Phe Ser Ala Trp His Asn
 405 410 415
 Glu Leu Asp Gln Gln Lys Arg Glu Phe Pro Leu Gly Tyr Lys Thr Phe
 420 425 430
 15 Gly Glu Glu Ile Pro Pro Gln Tyr Ala Ile Gln Val Leu Asp Glu Leu
 435 440 445
 20 Thr Lys Gly Glu Ala Ile Ile Ala Thr Gly Val Gly Gln His Gln Met
 450 455 460
 Trp Ala Ala Gln Tyr Tyr Thr Tyr Lys Arg Pro Arg Gln Trp Leu Ser
 465 470 475 480
 25 Ser Ala Gly Leu Gly Ala Met Gly Phe Gly Leu Pro Ala Ala Ala Gly
 485 490 495
 Ala Ser Val Ala Asn Pro Gly Val Thr Val Val Asp Ile Asp Gly Asp
 500 505 510
 30 Gly Ser Phe Leu Met Asn Ile Gln Glu Leu Ala Leu Ile Arg Ile Glu
 515 520 525
 Asn Leu Pro Val Lys Val Met Val Leu Asn Asn Gln His Leu Gly Met
 530 535 540
 Val Val Gln Trp Glu Asp Arg Phe Tyr Lys Ala Asn Arg Ala His Thr
 545 550 555 560
 40 Tyr Leu Gly Asn Pro Glu Cys Glu Ser Glu Ile Tyr Pro Asp Phe Val
 565 570 575
 Thr Ile Ala Lys Gly Phe Asn Ile Pro Ala Val Arg Val Thr Lys Lys
 580 585 590
 45 Ser Glu Val Arg Ala Ala Ile Lys Lys Met Leu Glu Thr Pro Gly Pro
 595 600 605
 Tyr Leu Leu Asp Ile Ile Val Pro His Gln Glu His Val Leu Pro Met
 610 615 620
 50 Ile Pro Asn Gly Gly Ala Phe Lys Asp Met Ile Leu Asp Gly Asp Gly
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 55 Arg Thr Met Tyr
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 60 <211> 1985
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<220>

5 <221> misc_feature

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15 gcggtcagggt gtcggcggt gtccccggtc acccgcgcgt ccccggcgcc gccggccaacg	180
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25 acctccggcc cgggggcaac caacctcgtg tccgcgcgc cgcagcgct gctcgactcc	480
gtcccgatgg tcgccatcac gggccaggtc cccgcgcgca tgatcggcac cgacgccttc	540
30 caggagacgc ccatagtcga ggtcaccgc tccatcacca agcacaatta ccttgctcct	600
gatgtggagg acatcccccg cgtcatacag gaagccttct tctcgcgcgc ctcggggcgt	660
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 20 <212> PRT
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 40 20 25 30
 Ala Arg Gly Arg Val Gly Ala Ala Ala Val Arg Cys Ser Ala Val Ser
 35 40 45
 45 Pro Val Thr Pro Pro Ser Pro Ala Pro Pro Ala Thr Pro Leu Arg Pro
 50 55 60
 Trp Gly Pro Ala Glu Pro Arg Lys Gly Ala Asp Ile Leu Val Glu Ala
 50 65 70 75 80
 Leu Glu Arg Cys Gly Val Ser Asp Val Phe Ala Tyr Pro Gly Gly Ala
 85 90 95
 Ser Met Glu Ile His Gln Ala Leu Thr Arg Ser Pro Val Ile Thr Asn
 55 100 105 110
 His Leu Phe Arg His Glu Gln Gly Glu Ala Phe Ala Ala Ser Gly Tyr
 115 120 125
 60 Ala Arg Ala Ser Gly Arg Val Gly Val Cys Val Ala Thr Ser Gly Pro
 130 135 140
 Gly Ala Thr Asn Leu Val Ser Ala Leu Ala Asp Ala Leu Leu Asp Ser
 145 150 155 160

138

	Val	Pro	Met	Val	Ala	Ile	Thr	Gly	Gln	Val	Pro	Arg	Arg	Met	Ile	Gly	
					165					170					175		
5	Thr	Asp	Ala	Phe	Gln	Glu	Thr	Pro	Ile	Val	Glu	Val	Thr	Arg	Ser	Ile	
				180					185					190			
	Thr	Lys	His	Asn	Tyr	Leu	Val	Leu	Asp	Val	Glu	Asp	Ile	Pro	Arg	Val	
			195					200					205				
10	Ile	Gln	Glu	Ala	Phe	Phe	Leu	Ala	Ser	Ser	Gly	Arg	Pro	Gly	Pro	Val	
		210					215					220					
	Leu	Val	Asp	Ile	Pro	Lys	Asp	Ile	Gln	Gln	Gln	Met	Ala	Val	Pro	Val	
15						230					235				240		
	Trp	Asp	Thr	Ser	Met	Asn	Leu	Pro	Gly	Tyr	Ile	Ala	Arg	Leu	Pro	Lys	
					245					250					255		
20	Pro	Pro	Ala	Thr	Glu	Leu	Leu	Glu	Gln	Val	Leu	Arg	Leu	Val	Gly	Glu	
				260					265					270			
	Ser	Arg	Arg	Pro	Ile	Leu	Tyr	Val	Gly	Gly	Gly	Cys	Ser	Ala	Ser	Gly	
				275				280					285				
25	Asp	Glu	Leu	Arg	Arg	Phe	Val	Glu	Leu	Thr	Gly	Ile	Pro	Val	Thr	Thr	
		290					295					300					
	Thr	Leu	Met	Gly	Leu	Gly	Asn	Phe	Pro	Ser	Asp	Asp	Pro	Leu	Ser	Leu	
30		305				310					315					320	
	Arg	Met	Leu	Gly	Met	His	Gly	Thr	Val	Tyr	Ala	Asn	Tyr	Ala	Val	Asp	
					325					330					335		
35	Lys	Ala	Asp	Leu	Leu	Leu	Ala	Phe	Gly	Val	Arg	Phe	Asp	Asp	Arg	Val	
				340					345					350			
	Thr	Gly	Lys	Ile	Glu	Ala	Phe	Ala	Ser	Arg	Ala	Lys	Ile	Val	His	Ile	
			355					360					365				
40	Asp	Ile	Asp	Pro	Ala	Glu	Ile	Gly	Lys	Asn	Lys	Gln	Pro	His	Val	Ser	
		370					375					380					
	Ile	Cys	Ala	Asp	Val	Lys	Leu	Ala	Leu	Gln	Gly	Leu	Asn	Ala	Leu	Leu	
45		385				390					395					400	
	Asp	Gln	Ser	Thr	Thr	Lys	Thr	Ser	Ser	Asp	Phe	Ser	Ala	Trp	His	Asn	
					405					410					415		
50	Glu	Leu	Asp	Gln	Gln	Lys	Arg	Glu	Phe	Pro	Leu	Gly	Tyr	Lys	Thr	Phe	
				420					425					430			
	Gly	Glu	Glu	Ile	Pro	Pro	Gln	Tyr	Ala	Ile	Gln	Val	Leu	Asp	Glu	Leu	
			435					440					445				
55	Thr	Lys	Gly	Glu	Ala	Ile	Ile	Ala	Thr	Gly	Val	Gly	Gln	His	Gln	Met	
		450					455					460					
	Trp	Ala	Ala	Gln	Tyr	Tyr	Thr	Tyr	Lys	Arg	Pro	Arg	Gln	Trp	Leu	Ser	
60		465				470				475					480		
	Ser	Ala	Gly	Leu	Gly	Ala	Met	Gly	Phe	Gly	Leu	Pro	Ala	Ala	Ala	Gly	
					485					490					495		

139

Ala Ser Val Ala Asn Pro Gly Val Thr Val Val Asp Ile Asp Gly Asp
500 505 510

5 Gly Ser Phe Leu Met Asn Ile Gln Glu Leu Ala Leu Ile Arg Ile Glu
515 520 525

Asn Leu Pro Val Lys Val Met Val Leu Asn Asn Gln His Leu Gly Met
530 535 540

10 Val Val Gln Trp Glu Asp Arg Phe Tyr Lys Ala Asn Arg Ala His Thr
545 550 555 560

Tyr Leu Gly Asn Pro Glu Cys Glu Ser Glu Ile Tyr Pro Asp Phe Val
565 570 575

15 Thr Ile Ala Lys Gly Phe Asn Ile Pro Ala Val Arg Val Thr Lys Lys
580 585 590

20 Ser Glu Val Arg Ala Ala Ile Lys Lys Met Leu Glu Thr Pro Gly Pro
595 600 605

Tyr Leu Leu Asp Ile Ile Val Pro His Gln Glu His Val Leu Pro Met
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25 Ile Pro Lys Gly Ala His Ser Arg Thr
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<221> misc_feature

60 <223> RA 5 primer

<400> 23
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What is claimed:

1. A nucleic acid sequence encoding a functional acetohydroxyacid synthase (AHAS) having about 95% or greater amino acid sequence homology with a wild-type rice AHAS; wherein the encoded AHAS exhibits resistance to at least one herbicide that normally interferes with the wild-type rice AHAS; and wherein, as compared to the wild-type rice AHAS, the encoded AHAS has a serine-to-asparagine substitution at amino acid position 627.

2. A nucleic acid sequence as recited in Claim 1; wherein said nucleic acid sequence is an isolated nucleic acid sequence.

3. A nucleic acid sequence as recited in Claim 1, wherein the encoded AHAS is identical to the wild-type AHAS, except for the serine-to-asparagine substitution at amino acid position 627.

4. A nucleic acid sequence as recited in Claim 1, wherein the herbicide is selected from the group consisting of herbicidally effective imidazolinones, sulfonylureas, triazolopyrimidines, pyrimidylloxybenzoates, and phthalide compounds.

5. A nucleic acid sequence as recited in Claim 1, wherein the herbicide is selected from the group consisting of imazethapyr, imazapic, imazameth, imazapyr, nicosulfuron, sulfometuron methyl, imazaquin, primisulfuron, imazamox, chlorimuron ethyl, metsulfuron methyl, rimsulfuron, thifensulfuron methyl, pyriithiobac sodium, tribenuron methyl, and derivatives of these herbicides.

6. A transformation vector comprising a nucleic acid sequence as recited in Claim 1.

7. A host cell comprising a nucleic acid sequence as recited in Claim 1.

8. A nucleic acid construct comprising a nucleic acid sequence as recited in Claim 1, wherein said sequence is operably linked to a promoter that is functional in plants.

9. A nucleic acid construct as recited in Claim 8, wherein said promoter is a native plant AHAS promoter.

10. A nucleic acid construct as recited in Claim 8, wherein said promoter is a native rice AHAS promoter.

11. A nucleic acid construct as recited in Claim 8, wherein said promoter is the cauliflower mosaic virus 35S promoter.

12. A method of conferring AHAS resistance to a plant, comprising incorporating a nucleic acid construct as recited in Claim 8 into the genome of a plant.

13. A plant transformed with a nucleic acid construct as recited in Claim 8.

14. A process for controlling weeds in the vicinity of a plant as recited in Claim 13, said process comprising applying a herbicide to the weeds and to the plant, wherein the herbicide normally inhibits acetohydroxyacid synthase, at levels of the herbicide that would normally inhibit the growth of a plant of the same species.

15. A rice plant transformed with a nucleic acid construct as recited in Claim 8.

16. A process for controlling weeds in the vicinity of a rice plant as recited in Claim 15, said process comprising applying a herbicide to the weeds and to the rice plant, wherein the herbicide normally inhibits acetohydroxyacid synthase, at levels of the herbicide that would normally inhibit the growth of a rice plant.

17. A nucleic acid sequence encoding a functional acetohydroxyacid synthase (AHAS) identical to a herbicide resistant AHAS expressed by a rice plant selected from the group consisting of the rice plants with ATCC accession numbers PTA-904, PTA-905, PTA-906, PTA-907, PTA-908, PTA-902, and PTA-903.

18. A nucleic acid sequence as recited in Claim 17, wherein said nucleic acid sequence is an isolated nucleic acid sequence.

19. A nucleic acid sequence as recited in Claim 17, wherein said nucleic acid sequence encodes an AHAS identical to the herbicide resistant AHAS expressed by the rice plant with ATCC accession number PTA-904.

20. A nucleic acid sequence as recited in Claim 17, wherein said nucleic acid sequence encodes an AHAS identical to the herbicide resistant AHAS expressed by the rice plant with ATCC accession number PTA-905.

5 21. A nucleic acid sequence as recited in Claim 17, wherein said nucleic acid sequence encodes an AHAS identical to the herbicide resistant AHAS expressed by the rice plant with ATCC accession number PTA-906.

10 22. A nucleic acid sequence as recited in Claim 17, wherein said nucleic acid sequence encodes an AHAS identical to the herbicide resistant AHAS expressed by the rice plant with ATCC accession number PTA-907.

15 23. A nucleic acid sequence as recited in Claim 17, wherein said nucleic acid sequence encodes an AHAS identical to the herbicide resistant AHAS expressed by the rice plant with ATCC accession number PTA-908.

20 24. A nucleic acid sequence as recited in Claim 17, wherein said nucleic acid sequence encodes an AHAS identical to the herbicide resistant AHAS expressed by the rice plant with ATCC accession number PTA-902.

25 25. A nucleic acid sequence as recited in Claim 17, wherein said nucleic acid sequence encodes an AHAS identical to the herbicide resistant AHAS expressed by the rice plant with ATCC accession number PTA-903.

26 26. A transformation vector comprising a nucleic acid sequence as recited in Claim 17.

27. A host cell comprising a nucleic acid sequence as recited in Claim 17.

30 28. A nucleic acid construct comprising a nucleic acid sequence as recited in Claim 17, wherein said sequence is operably linked to a promoter that is functional in plants.

29. A nucleic acid construct as recited in Claim 28, wherein said promoter is a native plant AHAS promoter.

30. A nucleic acid construct as recited in Claim 28, wherein said promoter is a native rice AHAS promoter.

5 31. A nucleic acid construct as recited in Claim 28, wherein said promoter is the cauliflower mosaic virus 35S promoter.

32. A method of conferring AHAS resistance to a plant, comprising incorporating a nucleic acid construct as recited in Claim 28 into the genome of a plant.

10 33. A plant transformed with a nucleic acid construct as recited in Claim 28.

34. A process for controlling weeds in the vicinity of a plant as recited in Claim 33, said process comprising applying a herbicide to the weeds and to the plant, wherein the herbicide normally inhibits acetohydroxyacid synthase, at levels of the herbicide that would normally
15 inhibit the growth of a plant of the same species.

35. A rice plant transformed with a nucleic acid construct as recited in Claim 28.

20 36. A process for controlling weeds in the vicinity of a rice plant as recited in Claim 35, said process comprising applying a herbicide to the weeds and to the rice plant, wherein the herbicide normally inhibits acetohydroxyacid synthase, at levels of the herbicide that would normally inhibit the growth of a rice plant.

37. A nucleic acid sequence encoding a functional acetohydroxyacid synthase (AHAS);

wherein:

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(a) the encoded AHAS is identical to the herbicide resistant acetohydroxyacid synthase expressed by a herbicide resistant rice plant; and

10

(b) the growth of the herbicide resistant rice plant is resistant to inhibition by at least one herbicide that normally inhibits acetohydroxyacid synthase, at levels of the herbicide that would normally inhibit the growth of a rice plant; and

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(c) the herbicide resistant rice plant is the derivative of a rice plant obtained by exposing parent herbicide sensitive rice plants to mutation-inducing conditions; growing rice plants from the exposed plants, or growing rice plants from progeny of the exposed plants, in the presence of at least one herbicide that normally inhibits acetohydroxyacid synthase, at levels of the herbicide that would normally inhibit the growth of a rice plant; and selecting for further propagation rice plants that grow without significant injury in the presence of the herbicide; and

20

(d) the herbicide resistant rice plant expresses a functional acetohydroxyacid synthase that is resistant to inhibition by at least one herbicide that normally inhibits acetohydroxyacid synthase, at levels of the herbicide that would normally inhibit the growth of a rice plant; and

25

(e) *provided that* the encoded AHAS differs from the AHAS produced by the rice plant with ATCC accession number 97523;

30

or wherein:

(f) the encoded AHAS has about 95% or greater amino acid sequence homology with that expressed by a herbicide resistant rice plant as recited above; and

(ii) the encoded AHAS exhibits resistance to at least one herbicide that normally interferes with a wild-type rice AHAS; and

(iii) the amino acid sequence of the encoded AHAS differs from the amino acid sequence of the AHAS of the parent rice plant in at least one location where the amino acid sequence of the AHAS expressed by the herbicide resistant rice plant differs from the amino acid sequence of the AHAS of the parent rice plant.

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38. A nucleic acid sequence as recited in Claim 37, wherein the encoded AHAS does not have an isoleucine residue at amino acid position 627.

10

39. A nucleic acid sequence as recited in Claim 37, wherein said nucleic acid sequence is an isolated nucleic acid sequence.

15

40. A nucleic acid sequence as recited in Claim 37, wherein the amino acid sequence of the encoded AHAS is identical to the amino acid sequence of the AHAS expressed by the herbicide resistant rice plant in at least one location where the amino acid sequence of the AHAS expressed by the herbicide resistant rice plant differs from the amino acid sequence of the AHAS of the parent rice plant.

20

41. A nucleic acid sequence as recited in Claim 37, wherein the encoded AHAS is identical to the AHAS expressed by the herbicide resistant rice plant.

42. A nucleic acid sequence as recited in Claim 37, wherein the herbicide is selected from the group consisting of herbicidally effective imidazolinones, sulfonylureas, triazolopyrimidines, pyrimidylxybenzoates, and phthalide compounds.

25

43. A nucleic acid sequence as recited in Claim 37, wherein the herbicide is selected from the group consisting of imazethapyr, imazapic, imazameth, imazapyr, nicosulfuron, sulfometuron methyl, imazaquin, primisulfuron, imazamox, chlorimuron ethyl, metsulfuron methyl, rimsulfuron, thifensulfuron methyl, pyrithiobac sodium, tribenuron methyl, and derivatives of these herbicides.

30

44. A transformation vector comprising a nucleic acid sequence as recited in Claim

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37.

45. A host cell comprising a nucleic acid sequence as recited in Claim 37.

46. A nucleic acid construct comprising a nucleic acid sequence as recited in Claim 37, wherein said sequence is operably linked to a promoter that is functional in plants.

47. A nucleic acid construct as recited in Claim 46; wherein said promoter is a native plant AHAS promoter.

48. A nucleic acid construct as recited in Claim 46, wherein said promoter is a native rice AHAS promoter.

49. A nucleic acid construct as recited in Claim 46, wherein said promoter is the cauliflower mosaic virus 35S promoter.

50. A method of conferring AHAS resistance to a plant, comprising incorporating a nucleic acid construct as recited in Claim 46 into the genome of a plant.

51. A plant transformed with a nucleic acid construct as recited in Claim 46.

52. A process for controlling weeds in the vicinity of a plant as recited in Claim 51, said process comprising applying a herbicide to the weeds and to the plant, wherein the herbicide normally inhibits acetohydroxyacid synthase, at levels of the herbicide that would normally inhibit the growth of a plant of the same species.

53. A rice plant transformed with a nucleic acid construct as recited in Claim 46.

54. A process for controlling weeds in the vicinity of a rice plant as recited in Claim 53, said process comprising applying a herbicide to the weeds and to the rice plant, wherein the herbicide normally inhibits acetohydroxyacid synthase, at levels of the herbicide that would normally inhibit the growth of a rice plant.

55. An isolated nucleic acid sequence encoding a functional acetohydroxyacid synthase (AHAS), wherein said AHAS has about 95% or greater amino acid sequence homology with the herbicide resistant AHAS expressed by the rice plant having ATCC accession number 97523; wherein said AHAS exhibits resistance to at least one herbicide that normally interferes with a wild-type AHAS; and wherein the amino acid sequence of the encoded AHAS differs from the amino acid sequence of the AHAS of rice line AS3510 in at least one location where the amino acid sequence of the herbicide resistant AHAS expressed by the rice plant having ATCC accession number 97523 differs from the amino acid sequence of the AHAS of rice line AS3510.

56. A nucleic acid sequence as recited in Claim 55, wherein the amino acid sequence of the encoded AHAS is identical to the amino acid sequence of the AHAS expressed by the rice plant having ATCC accession number 97523 in at least one location where the amino acid sequence of the AHAS expressed by the ATCC 97523 rice plant differs from the amino acid sequence of the AHAS of rice line AS3510.

57. A nucleic acid sequence as recited in Claim 55, wherein the herbicide is selected from the group consisting of herbicidally effective imidazolinones, sulfonylureas, triazolopyrimidines, pyrimidylxybenzoates, and phthalide compounds.

58. A nucleic acid sequence as recited in Claim 55, wherein the herbicide is selected from the group consisting of imazethapyr, imazapic, imazameth, imazapyr, nicosulfuron, sulfometuron methyl, imazaquin, primisulfuron, imazamox, chlorimuron ethyl, metsulfuron methyl, rimsulfuron, thifensulfuron methyl, pyriithobac sodium, tribenuron methyl, and derivatives of these herbicides.

59. A transformation vector comprising a nucleic acid sequence as recited in Claim 55.

60. A host cell comprising a nucleic acid sequence as recited in Claim 55.

61. A nucleic acid construct comprising a nucleic acid sequence as recited in Claim 55, wherein said sequence is operably linked to a promoter that is functional in plants.

62. A nucleic acid construct as recited in Claim 61, wherein said promoter is a native plant AHAS promoter.

63. A nucleic acid construct as recited in Claim 61, wherein said promoter is a native rice AHAS promoter.

64. A nucleic acid construct as recited in Claim 61, wherein said promoter is the cauliflower mosaic virus 35S promoter.

65. A method of conferring AHAS resistance to a plant, comprising incorporating a nucleic acid construct as recited in Claim 61 into the genome of a plant.

66. A plant transformed with a nucleic acid construct as recited in Claim 61.

67. A process for controlling weeds in the vicinity of a plant as recited in Claim 66, said process comprising applying a herbicide to the weeds and to the plant, wherein the herbicide normally inhibits acetohydroxyacid synthase, at levels of the herbicide that would normally inhibit the growth of a plant of the same species.

68. A rice plant transformed with a nucleic acid construct as recited in Claim 61.

69. A process for controlling weeds in the vicinity of a rice plant as recited in Claim 68, said process comprising applying a herbicide to the weeds and to the rice plant, wherein the herbicide normally inhibits acetohydroxyacid synthase, at levels of the herbicide that would normally inhibit the growth of a rice plant.

70. An isolated nucleic acid sequence, wherein said nucleic acid sequence encodes a functional acetohydroxyacid synthase having the amino acid sequence of the AHAS expressed by the rice plant having ATCC accession number 97523.

71. A transformation vector comprising a nucleic acid sequence as recited in Claim 70.

72. A host cell comprising a nucleic acid sequence as recited in Claim 70.

73. A nucleic acid construct comprising a nucleic acid sequence as recited in Claim 70, wherein said sequence is operably linked to a promoter that is functional in plants.

74. A nucleic acid construct as recited in Claim 73, wherein said promoter is a native plant AHAS promoter.

5 75. A nucleic acid construct as recited in Claim 73, wherein said promoter is a native rice AHAS promoter.

76. A nucleic acid construct as recited in Claim 73, wherein said promoter is the cauliflower mosaic virus 35S promoter.

10 77. A method of conferring AHAS resistance to a plant, comprising incorporating a nucleic acid construct as recited in Claim 73 into the genome of a plant.

78. A plant transformed with a nucleic acid construct as recited in Claim 73.

15 79. A process for controlling weeds in the vicinity of a plant as recited in Claim 78, said process comprising applying a herbicide to the weeds and to the plant, wherein the herbicide normally inhibits acetohydroxyacid synthase, at levels of the herbicide that would normally inhibit the growth of a plant of the same species.

20 80. A rice plant transformed with a nucleic acid construct as recited in Claim 73.

81. A process for controlling weeds in the vicinity of a rice plant as recited in Claim 80, said process comprising applying a herbicide to the weeds and to the rice plant, wherein the herbicide normally inhibits acetohydroxyacid synthase, at levels of the herbicide that would
25 normally inhibit the growth of a rice plant.

82. A nucleic acid sequence encoding a functional acetohydroxyacid synthase (AHAS) having about 95% or greater amino acid sequence homology with the wild-type AHAS from a green plant; wherein the encoded AHAS exhibits resistance to at least one herbicide that
30 normally interferes with the wild-type AHAS; and wherein the encoded AHAS has a lysine, arginine, or histidine substitution at the amino acid position homologous to amino acid position 627 of rice AHAS, with the amino acids between the lysine, arginine, or histidine substitution and the carboxy terminus of the encoded AHAS being those resulting from a frame shift mutation in the nucleic acid sequence at or immediately subsequent to the codon in the nucleic acid
35 sequence corresponding to the lysine, arginine, or histidine substitution.

83. A nucleic acid sequence as recited in Claim 82, wherein said nucleic acid sequence is an isolated nucleic acid sequence.

5 84. A nucleic acid sequence as recited in Claim 82, wherein the encoded AHAS has a lysine substitution at the amino acid position homologous to amino acid position 627 of rice AHAS, with the amino acids between the lysine substitution and the carboxy terminus of the encoded AHAS being those resulting from a frame shift mutation in the nucleic acid sequence at or immediately subsequent to the codon in the nucleic acid sequence corresponding to the lysine
10 substitution.

85. A nucleic acid sequence as recited in Claim 84, wherein the encoded AHAS is identical to the wild-type AHAS, except for the lysine substitution and except for the changes in amino acids resulting from the frame shift mutation.

15 86. A nucleic acid sequence as recited in Claim 82, wherein the encoded AHAS has about 95% or greater amino acid sequence homology with a wild-type rice AHAS; wherein the encoded AHAS exhibits resistance to at least one herbicide that normally interferes with the wild-type rice AHAS; and wherein, as compared to the wild-type rice AHAS, the encoded
20 AHAS has a serine-to-lysine, serine-to-arginine, or serine-to-histidine substitution at amino acid position 627, with the amino acids between the lysine, arginine, or histidine substitution and the carboxy terminus of the encoded AHAS being those resulting from a frame shift mutation in the nucleic acid sequence at or immediately subsequent to the codon in the nucleic acid sequence corresponding to the lysine, arginine, or histidine substitution.

25 87. A nucleic acid sequence as recited in Claim 86, wherein as compared to the wild-type rice AHAS, the encoded AHAS has a serine-to-lysine substitution at amino acid position 627, with the amino acids between the lysine substitution and the carboxy terminus of the encoded AHAS being those resulting from a frame shift mutation in the nucleic acid sequence at or immediately subsequent to the codon in the nucleic acid sequence corresponding to the lysine
30 substitution.

88. A nucleic acid sequence as recited in Claim 87, wherein the encoded AHAS is identical to the wild-type AHAS, except for the lysine substitution and except for the changes in amino acids resulting from the frame shift mutation.
35

89. A nucleic acid sequence as recited in Claim 82, wherein the herbicide is selected from the group consisting of herbicidally effective imidazolinones, sulfonylureas, triazolopyrimidines, pyrimidylxybenzoates, and phthalide compounds.

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90. A nucleic acid sequence as recited in Claim 82, wherein the herbicide is selected from the group consisting of imazethapyr, imazapic, imazameth, imazapyr, nicosulfuron, sulfometuron methyl, imazaquin, primisulfuron, imazamox, chlorimuron ethyl, metsulfuron methyl, rimsulfuron, thifensulfuron methyl, pyriothiac sodium, tribenuron methyl, and derivatives of these herbicides.

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91. A transformation vector comprising a nucleic acid sequence as recited in Claim 82.

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92. A host cell comprising a nucleic acid sequence as recited in Claim 82.

93. A nucleic acid construct comprising a nucleic acid sequence as recited in Claim 82, wherein said sequence is operably linked to a promoter that is functional in plants.

20 94. A nucleic acid construct as recited in Claim 93, wherein said promoter is a native plant AHAS promoter.

95. A nucleic acid construct as recited in Claim 93, wherein said promoter is a native rice AHAS promoter.

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96. A nucleic acid construct as recited in Claim 93, wherein said promoter is the cauliflower mosaic virus 35S promoter.

30 97. A method of conferring AHAS resistance to a plant, comprising incorporating a nucleic acid construct as recited in Claim 93 into the genome of a plant.

98. A method as recited in Claim 97, wherein the nucleic acid construct is incorporated into the genome of the plant by site-directed mutagenesis.

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99. A plant transformed with a nucleic acid construct as recited in Claim 93.

100. A plant transformed with a nucleic acid construct as recited in Claim 93 by site-directed mutagenesis.

101. A process for controlling weeds in the vicinity of a plant as recited in Claim 99, said process comprising applying a herbicide to the weeds and to the plant, wherein the herbicide normally inhibits acetohydroxyacid synthase, at levels of the herbicide that would normally inhibit the growth of a plant of the same species.

102. A rice plant transformed with a nucleic acid construct as recited in Claim 93.

103. A rice plant transformed with a nucleic acid construct as recited in Claim 93 by site-directed mutagenesis.

104. A process for controlling weeds in the vicinity of a rice plant as recited in Claim 102, said process comprising applying a herbicide to the weeds and to the rice plant, wherein the herbicide normally inhibits acetohydroxyacid synthase, at levels of the herbicide that would normally inhibit the growth of a rice plant.

105. A nucleic acid sequence encoding a functional acetohydroxyacid synthase (AHAS) having about 95% or greater amino acid sequence homology with the wild-type AHAS from a green plant; wherein the encoded AHAS exhibits resistance to at least one herbicide that normally interferes with the wild-type AHAS; and wherein the encoded AHAS has a glutamine, glutamic acid, or aspartic acid substitution at the amino acid position homologous to amino acid position 627 of rice AHAS.

106. A nucleic acid sequence as recited in Claim 105, wherein said nucleic acid sequence is an isolated nucleic acid sequence.

107. A nucleic acid sequence as recited in Claim 105, wherein the encoded AHAS is identical to the wild-type AHAS, except for the glutamine, glutamic acid, or aspartic acid substitution.

108. A nucleic acid sequence as recited in Claim 105, wherein the encoded AHAS has about 95% or greater amino acid sequence homology with a wild-type rice AHAS; wherein the encoded AHAS exhibits resistance to at least one herbicide that normally interferes with the wild-type rice AHAS; and wherein, as compared to the wild-type rice AHAS, the encoded AHAS has a serine-to-glutamine, serine-to-glutamic acid, or serine-to-aspartic acid substitution at amino acid position 627.

109. A nucleic acid sequence as recited in Claim 108, wherein the encoded AHAS is identical to the wild-type rice AHAS, except for the serine-to-glutamine, serine-to-glutamic acid, or serine-to-aspartic acid substitution.

110. A nucleic acid sequence as recited in Claim 105, wherein the herbicide is selected from the group consisting of herbicidally effective imidazolinones, sulfonylureas, triazolopyrimidines, pyrimidylxybenzoates, and phthalide compounds.

111. A nucleic acid sequence as recited in Claim 105, wherein the herbicide is selected from the group consisting of imazethapyr, imazapic, imazameth, imazapyr, nicosulfuron, sulfometuron methyl, imazaquin, primisulfuron, imazamox, chlorimuron ethyl, metsulfuron methyl, rimsulfuron, thifensulfuron methyl, pyriothobac sodium, tribenuron methyl, and derivatives of these herbicides.

112. A transformation vector comprising a nucleic acid sequence as recited in Claim 105.

113. A host cell comprising a nucleic acid sequence as recited in Claim 105.

114. A nucleic acid construct comprising a nucleic acid sequence as recited in Claim 105, wherein said sequence is operably linked to a promoter that is functional in plants.

115. A nucleic acid construct as recited in Claim 114, wherein said promoter is a native plant AHAS promoter.

116. A nucleic acid construct as recited in Claim 114, wherein said promoter is a native rice AHAS promoter.

117. A nucleic acid construct as recited in Claim 114, wherein said promoter is the cauliflower mosaic virus 35S promoter.

118. A method of conferring AHAS resistance to a plant, comprising incorporating a nucleic acid construct as recited in Claim 114 into the genome of a plant.

119. A method as recited in Claim 118, wherein the nucleic acid construct is incorporated into the genome of the plant by site-directed mutagenesis.

120. A plant transformed with a nucleic acid construct as recited in Claim 114.

121. A plant transformed with a nucleic acid construct as recited in Claim 114 by site-directed mutagenesis.

122. A process for controlling weeds in the vicinity of a plant as recited in Claim 120, said process comprising applying a herbicide to the weeds and to the plant, wherein the herbicide normally inhibits acetohydroxyacid synthase, at levels of the herbicide that would normally inhibit the growth of a plant of the same species.

123. A rice plant transformed with a nucleic acid construct as recited in Claim 114.

124. A rice plant transformed with a nucleic acid construct as recited in Claim 114 by site-directed mutagenesis.

125. A process for controlling weeds in the vicinity of a rice plant as recited in Claim 123, said process comprising applying a herbicide to the weeds and to the rice plant, wherein the herbicide normally inhibits acetohydroxyacid synthase, at levels of the herbicide that would normally inhibit the growth of a rice plant.

126. A nucleic acid sequence comprising a sequence selected from the group consisting of SEQ ID NOS 1, 10, 18, and 20; or comprising a sequence that encodes the same amino acid sequence in accordance with the degeneracy of the genetic code.

127. A nucleic acid sequence as recited in Claim 126, wherein said nucleic acid sequence is an isolated nucleic acid sequence.

128. A nucleic acid sequence as recited in Claim 126, wherein said nucleic acid sequence comprises a sequence selected from the group consisting of SEQ ID NOS 1, 10, 18, and 20.

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129. A nucleic acid sequence as recited in Claim 128, wherein said nucleic acid sequence comprises SEQ ID NO 1.

130. A nucleic acid sequence as recited in Claim 128, wherein said nucleic acid sequence comprises SEQ ID NO 10.

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131. A nucleic acid sequence as recited in Claim 128, wherein said nucleic acid sequence comprises SEQ ID NO 18.

132. A nucleic acid sequence as recited in Claim 128, wherein said nucleic acid sequence comprises SEQ ID NO 20.

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133. A transformation vector comprising a nucleic acid sequence as recited in Claim 126.

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134. A host cell comprising a nucleic acid sequence as recited in Claim 126.

135. A nucleic acid construct comprising a nucleic acid sequence as recited in Claim 126, wherein said sequence is operably linked to a promoter that is functional in plants.

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136. A nucleic acid construct as recited in Claim 135, wherein said promoter is a native plant AHAS promoter.

137. A nucleic acid construct as recited in Claim 135, wherein said promoter is a native rice AHAS promoter.

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138. A nucleic acid construct as recited in Claim 135, wherein said promoter is the cauliflower mosaic virus 35S promoter.

139. A method of conferring AHAS resistance to a plant, comprising incorporating a nucleic acid construct as recited in Claim 135 into the genome of a plant.

140. A plant transformed with a nucleic acid construct as recited in Claim 135.

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141. A plant transformed with a nucleic acid construct as recited in Claim 135 by site-directed mutagenesis.

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142. A process for controlling weeds in the vicinity of a plant as recited in Claim 140, said process comprising applying a herbicide to the weeds and to the plant, wherein the herbicide normally inhibits acetohydroxyacid synthase, at levels of the herbicide that would normally inhibit the growth of a plant of the same species.

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143. A rice plant transformed with a nucleic acid construct as recited in Claim 135.

144. A rice plant transformed with a nucleic acid construct as recited in Claim 135 by site-directed mutagenesis.

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145. A process for controlling weeds in the vicinity of a rice plant as recited in Claim 143, said process comprising applying a herbicide to the weeds and to the rice plant, wherein the herbicide normally inhibits acetohydroxyacid synthase, at levels of the herbicide that would normally inhibit the growth of a rice plant.

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
15 November 2001 (15.11.2001)

PCT

(10) International Publication Number
WO 01/085970 A3

(51) International Patent Classification⁷: C12N 15/82.
9/88. A01H 1/00, 5/10

(21) International Application Number: PCT/US01/15072

(22) International Filing Date: 9 May 2001 (09.05.2001)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
60/203,434 10 May 2000 (10.05.2000) US

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(81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU,

CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SI, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Declarations under Rule 4.17:

- as to applicant's entitlement to apply for and be granted a patent (Rule 4.17(ii)) for all designations
- as to the applicant's entitlement to claim the priority of the earlier application (Rule 4.17(iii)) for all designations
- of inventorship (Rule 4.17(iv)) for US only

Published:

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

(88) Date of publication of the international search report:
11 July 2002

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: RESISTANCE TO ACETOHYDROXYACID SYNTHASE-INHIBITING HERBICIDES

(57) Abstract: Nucleotide sequences are disclosed that may be used to impart herbicide resistance to green plants. The sources of novel resistance were originally isolated in mutant rice plants. The sequences impart pre-emergence resistance, post-emergence resistance, or both pre-emergence resistance and post-emergence resistance to multiple herbicides. To date, resistance has been demonstrated against at least the following herbicides: imazethapyr, imazapic, imazapyr, imazamox, sulfometuron methyl, imazaquin, chlorimuron ethyl, metsulfuron methyl, rimsulfuron, thifensulfuron methyl, pyriithiobac sodium, trihenuron methyl, and nicosulfuron. Green plants transformed with these sequences are resistant to these herbicides and to derivatives of these herbicides, and to at least some of the other herbicides that normally inhibit acetoxyhydroxyacid synthase (AHAS), particularly imidazolinone and sulfonylurea herbicides.

WO 01/085970 A3

INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 01/15072

A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 C12N15/82 C12N9/88 A01H1/00 A01H5/10

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C12N A01H

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, SEQUENCE SEARCH, WPI Data, PAJ, BIOSIS, FSTA, CAB Data, SCISEARCH, BIOTECHNOLOGY ABS, CHEM ABS Data.

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P, X	WO 00 27182 A (UNIV LOUISIANA STATE (US)) 18 May 2000 (2000-05-18) cited in the application the whole document	37-69
X	WO 97 41218 A (UNIV LOUISIANA STATE) 6 November 1997 (1997-11-06) cited in the application	14, 16, 34, 36, 52, 54, 67, 69-81, 101, 104, 122, 125, 142, 145
Y	page 9, line 31 -page 11, line 25; claims 10-18	1, 17, 37, 55
	-/--	

☒ Further documents are listed in the continuation of box C

☒ Patent family members are listed in annex.

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- *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- *S* document member of the same patent family

Date of the actual completion of the international search

26 April 2002

Date of mailing of the international search report

07/05/2002

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INTERNATIONAL SEARCH REPORT

International Application No.

PCT/US 01/15072

C. (Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	HATTORI J ET AL: "MULTIPLE RESISTANCE TO SULFONYLUREAS AND IMIDAZOLINONES CONFERRED BY AN ACETOHYDROXYACID SYNTHASE GENE WITH SEPARATE MUTATIONS FOR SELECTIVE RESISTANCE" MOLECULAR AND GENERAL GENETICS, SPRINGER VERLAG, BERLIN, DE, vol. 232, 1992, pages 167-173, XP002056388 ISSN: 0026-8925, the whole document	1, 17, 37, 55
A	OTT ET AL: "rational molecular design and genetic engineering of herbicide resistant crops by structure modelling and site directed mutagenesis of acetohydroxyacid synthase" JOURNAL OF MOLECULAR BIOLOGY, LONDON, GB, vol. 263, 1996, pages 359-368, XP002118465 ISSN: 0022-2836, page 362 - page 363	98, 100, 103, 119, 121, 124, 141, 144
A	US 5 767 366 A (MURAI NORIMOTO ET AL) 16 June 1998 (1998-06-16) cited in the application the whole document	1-14

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Continuation of Box I.2

Claims Nos.: 1-145, all partially

In view of the large number and also the wording of the claims presently on file, which render it difficult, if not impossible, to determine the matter for which protection is sought, the present application fails to comply with the clarity and conciseness requirements of Article 6 PCT (see also Rule 6.1(a) PCT) to such an extent that a meaningful search is impossible. Consequently, the search has been carried out for those parts of the application which do appear to be clear (and concise), namely the putative invention as described on page 12, line 28 to page 13, line 33, i.e. rice (*Oryza sativa*) acetohydroxyacid synthase (AHAS) nucleic acids which confer herbicide resistance to green plants and in which the serine molecule at position 627 has been replaced by respectively asparagine, glutamine, glutamic acid, aspartic acid, lysine, arginine or histidine, as well as vectors, hosts, nucleic acid constructs and transgenic plants comprising said nucleic acids.

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.

INTERNATIONAL SEARCH REPORT

information on patent family members

Initial Application No

PCT/US 01/15072

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